



Robert P. Astorino, County Executive

WESTCHESTER COUNTY
DEPARTMENT OF PROBATION

2014 BUDGET HIGHLIGHTS



ROCCO A. POZZI
COMMISSIONER

NOVEMBER 26TH, 2013

MISSION STATEMENT

The mission of the Department of Probation, as a law enforcement agency and a partner in the criminal justice system, is to protect the citizens and visitors of the county by providing a balance of prevention, intervention, and control strategies for offenders, victims, and families, and to enforce the orders and conditions imposed by the courts.

DEPARTMENT INITIATIVES:

NEW

- Implementation of a new Caseload Explorer software system
- Probation serves as the lead for the Mid-Hudson Regional Youth Justice Team
- Probation is a collaborative partner in a grant submitted by New York State Division of Criminal Justice Services in collaboration with the New York State Office of Mental Health. Other collaborating partners include local probation and mental health departments in Onondaga and Schenectady, NY counties. The project targets youth at probation intake who are accused of nonviolent acts of delinquency and present with significant mental health or co-occurring disorder needs.
- Implement a new juvenile community service program
- Implement a mentorship program in conjunction with the Mount Vernon Fire Department for at risk Mount Vernon youth
- Westchester is one of five counties in the state to receive federal funding for a mental health collaborative initiative with Community Mental Health, NYS DCJS, and the state Office of Mental Health to better service adults in the criminal justice system.

ON-GOING

- Implementation of a new Caseload Explorer software system
- Train officers in the use of cognitive life skills. This is listed above
- Protection of the community by providing intake screening and investigative services for all cases in the jurisdiction of the Family Court, including juvenile delinquency, person-in-need-of-supervision (PINS), adoption, family offense, conciliation, custody, visitation and spousal support. (Family Court).
- Protection of families and enhanced family functioning by providing timely, effective and appropriate levels of probation and/or social services for a wide range of problems facing families and children under 18 years of age. (Family Court).
- Nontraditional work hour home/employment/community visits and surveillance (Family and Criminal Courts).
- Protection of the community by providing the County and Magistrate's Courts with comprehensive social and legal histories (pre-sentence reports) of individuals charged with criminal offenses or awaiting sentencing within the criminal court system. (Criminal Court).

- Providing the courts with alternative-to-incarceration programs in specific priority areas that maximize the effectiveness of non- institutional supervision of criminal offenders. (Criminal Court).
- Assisting victims of crime through supportive victim resource services; assistance in the preparation of Victim Impact Statements to be attached to the pre-sentence report, allocution statements to be read to the Court at sentencing, a safety plan for victims of Domestic Violence; treatment/counseling referrals and collecting court-ordered restitution from probationers. (Criminal Court).
- Arresting probation violators (Criminal Court).
- Providing probation services efficiently and in a cost-effective manner (Administrative Support).
- Participation in the County's Disproportionate Minority Contact Initiative (Federal Mandate).
- Adult Risk/Need Instrument for non-offense/behavior specific cases.
- Warrant sweeps on a variety of Criminal Court cases including but not limited to Sex Offenders, Batterers, and DWI Probationers.
- Web based Warrant Watch in Collaboration with the County Department of Public Safety.
- Full Participation of Operation IMPACT.
- Collaboration with US Immigration and Customs Enforcement regarding undocumented aliens.
- Family Court Probation/Police Collaboration.

DEPARTMENT ACCOMPLISHMENTS:

NEW

- Implementation of new supervision rule policy and protocols.
- One of only three jurisdictions in the nation to participate in a national DWI female offender study with the Traffic Injury Research Foundation.
- Rewrite of the Administrative Fee Policy.
- Graduated 2 Supervising Probation Officers from the Westchester County Leadership Program including the only female in a class of 35.
- One of only three states to participate in a national APPA research study to formulate a DWI risk assessment tool.

ON-GOING

- Creation of the High Impact Unit that deals with the highest risk level offenders.
- Female DWI caseload pilot project.
- With fewer resources, we effectively continue to develop strategies to reduce the reliance on detention & out of home placement which result in significant tax levy savings. (Family Court)
- Department wide training in Actuarial Risk Need Instrument for Family and Criminal Courts.
- Developed interagency referral protocols for status offenders (PINS) with Westchester County Department of Social Services and with the school systems.

- Collaboration between County Agencies (Probation, Public Safety, Health, Community Mental Health, DSS and Law) to better serve the needs of Westchester County communities.
- Collaboration and participation with the US Marshals, ICE, DEA, ATF, local/county police agencies and New York City Police Intelligence to investigate and affect arrests of criminals targeted in their sweeps.
- Numerous staff members named to various NYS Office of Probation and Correctional Alternatives state-wide committees.
- Outreach to all adult female probationers to raise awareness of and ascertain if domestic violence has been a factor in their lives.
- Continued success of the County ATI Programs in containing DOC costs.
- Continued recognition of the Department's leadership within the Criminal Justice System.
- Continuing collaboration with State and Federal Criminal Justice Agencies.

TAX LEVY

The 2014 Proposed Budget currently before the Board of Legislators proposes a total tax levy of \$17,606,599, a decrease of \$201,153 (1.13%) over the 2013 Adopted Budget of \$17,807,752. This decrease is a net effect of both a reduction in staff as well as expenses.

EXPENDITURES

Annual Regular (1010)

The 2014 Proposed Budget is \$17,485,295 which is \$67,069 (0.38%) lower than the 2013 Adopted Budget of \$17,552,364. This is the net result of reorganization and the elimination of 3 positions (see section entitled Position Count).

Contractual Services (4380)

The 2014 Proposed Budget is \$6,247,772 which reflects an \$11,884 (0.19%) net decrease from the 2013 Adopted Budget of \$6,259,606. This is due to a net effect between Departmental and Detention Contracts.

Interdepartmental Charges (599)

The 2014 Proposed Budget is \$3,342,299 which reflects a \$78,245 (2.29%) decrease in total interdepartmental billings, over the 2013 Adopted Budget of \$3,420,544. Variances have occurred across all Interdepartmentals.

REVENUE

Interdepartmental Revenue (9508)

The 2014 Proposed Budget revenue of \$1,379,908 represents COPS funding funneled through DSS, and is an increase of \$59,903 (4.53%) from the 2013 Adopted Budget of \$1,320,005.

State Aid

The 2014 Proposed Budget reflects anticipated total revenue of \$8,382,897, which is \$31,817 (0.38%) less than the 2013 Adopted Budget of \$8,414,714. The decrease of \$31,917 is Detention revenue. Anticipated revenue will only be realized if expenses are maximized.

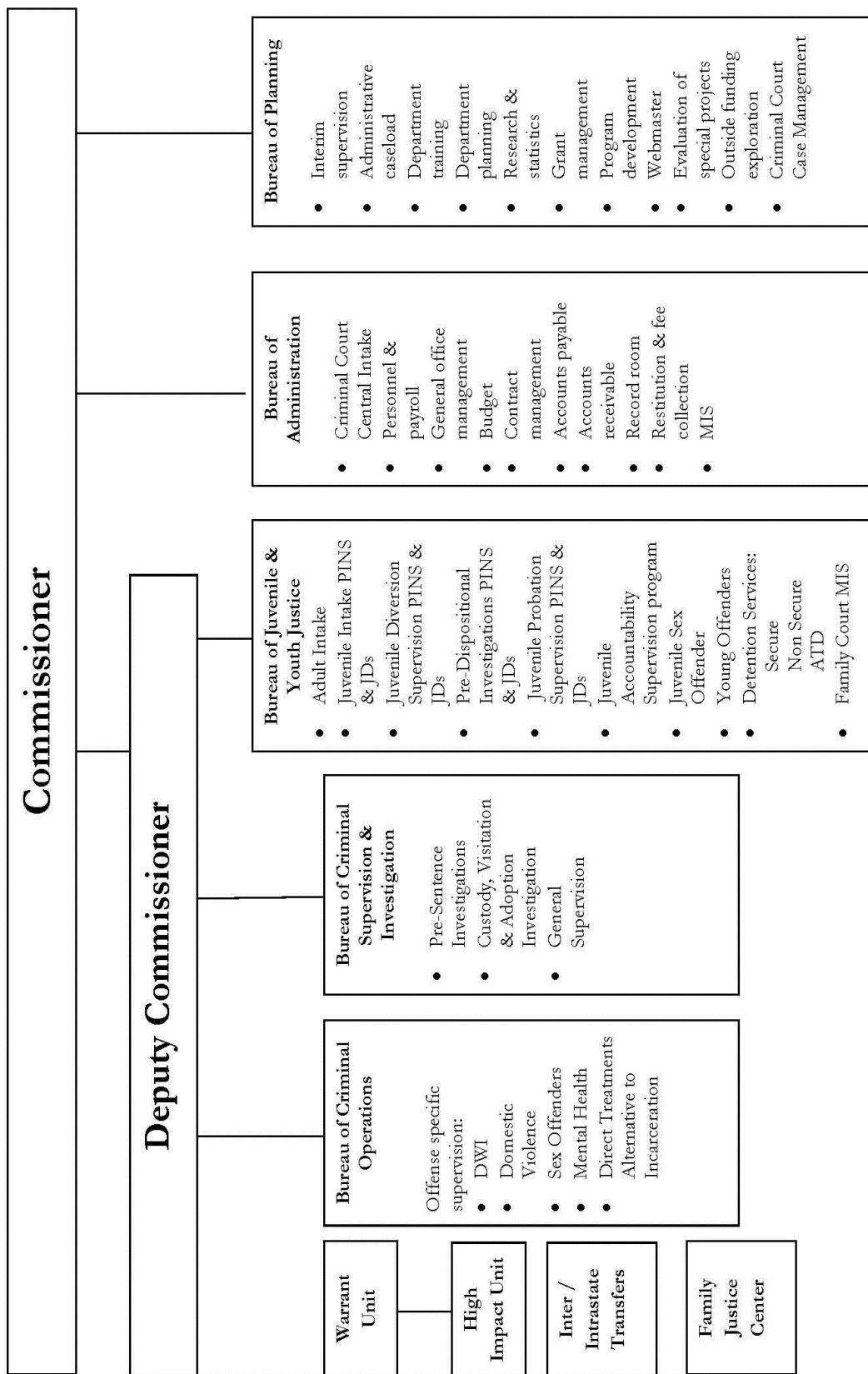
Other

The 2014 Proposed Budget is \$315,000 which reflects an increase of \$5,000 (1.61%) in Departmental Income from the 2013 Adopted budget of \$310,000. This is due to an anticipated increase in DWI Administration Fee collection.

POSITION COUNT

The Department began Year 2013 with 206 positions in line 1010 (Annual Regular) Operating Budget. Resulting from a reorganization of the department, we are proposing a 2014 position count of 203. Two lines (Secretary II and 1 Probation Officer) were recertified to Supervising Probation Officer and the three net lines slated to be abolished are: 2 Probation Officers and 1 Program Specialist (Crime Analyst). The decrease from 206 positions to 203 represents a 1.5% reduction in staff.

WESTCHESTER COUNTY DEPARTMENT OF PROBATION 2014



DEPARTMENT OF PROBATION (39)

OBJECTS OF EXPENDITURE:		ADOPTED 2013	REQUESTED 2014	Analysis
Personal Service:				
Annual-Regular:				
	Group			
Commissioner		1	1	
Deputy Commissioner	XVIII	1	1	
Chief of Plan. Rsrch. & Staff Dev.	XV	1	1	
Asst. Commissioner	XV	3	3	
Dir. Of Administrative Services	XV	1	1	
Supervising Probation Officer	XIII	24	26	1 PO line & 1 Secty II line recertified to SPO
Prog. Coord. (Probation Training)	XIII	1	1	
Supervisor-Fiscal Operation	XIII	1	1	
Accountant III	XII	1	1	
Probation Officer	XI	155	152	2 abolished, 1 recertify to Sprvsng Prob Officer
Accountant II	X	1	1	
Administrative Asst.	X	1	1	
Exec Secretary to Commissioner	X	1	1	
Program Specialist (Crime Analyst)	X	1	0	abolished
Accountant I	VIII	1	1	
Secretary II	VIII	1	0	recertify to Sprvsng Prob Officer
Probation Asst. I	VII	1	1	
Secretary I (Typist)	VII	2	2	
Office Asst.	VI	3	3	
Receptionist	IV	4	4	
Junior Office Asst (Typ)	IV	1	1	
Messenger	III			
Total Positions		206	203	

DEPARTMENT OF PROBATION

TOTAL UNDER SUPERVISION AS OF OCTOBER 31, 2013

UNIT	CASES	OFFENDERS
DWI	958	841
CD Interlocks	616	616
SEX OFFENDERS	174	162
DOMESTIC VIOLENCE	405	308
MENTAL HEALTH	359	263
ADMINISTRATIVE CASELOAD	1,147	1,059
OTHER:		
▪ GENERAL SUPERVISION	319	272
▪ FIELD SUPERVISION	881	700
▪ INTAKE	42	39
▪ WARRANTS	1,044	926
▪ YOUNG OFFENDER	384	291
▪ DTATI	164	125
▪ HIGH IMPACT	149	111
▪ INTERIM	70	62
▪ INTER/INTERSTATE	245	233
TOTAL	6957	6008

Investigations:

- Requested: 4,086
- Completed: 4,138
- Investigations pending as of October 31, 2013: 1,739

BUREAU OF JUVENILE AND YOUTH JUSTICE

Juvenile Intake cases received through October 31, 2013:

- PINS 561
- JD's 391

Formal Juvenile supervision cases open as of 10/31/13: 231 (JD 177, PINS 54)

Youths placed in detention in 2012:

- Secure 306 = 206 Westchester youth + 100 Out Of County youth
- Non Secure 216

Other Family Court Intake cases open as of October 31, 2013:

- Custody/Visitation 5934
- Paternity/Support 3425
- Family Offenses 2514