

Department of Law
Office of the County Attorney

2018 Budget Presentation

Robert F. Meehan
County Attorney

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County Executive

Michael Kaplowitz, Chair
Board of Legislators

Mission Statement

The mission of the Department of Law, Office of the County Attorney, is to respond effectively to the needs of County officers, boards, departments and agencies, regarding legal counsel and representation. The County Attorney is charged by law to serve as legal counsel to the County Executive, the Board of Legislators, and to the County and all of its constituent departments, agencies and boards. The mission of the County Attorney is achieved in a variety of ways, including: the rendering of legal advice to County officials and employees in order to assist them on various projects the County is undertaking or contemplating undertaking; the preparation and review of legal documents; the drafting of legislation; the rendering of legal opinions, and the advocacy of the County's interests in trial and appellate courts, including special administrative proceedings. In providing legal services to the County, the Office of the County Attorney endeavors to reduce actual and potential future liabilities and financial exposure of the County and to maximize receipts of monetary sums to which the County may be entitled. The Office of the County Attorney seeks to assure that the County workplaces are free of discrimination and harassment and that County contracts and business opportunities are awarded fairly and openly, with women and minorities having equal access.

In addition the Office of the County Attorney seeks to protect the interests of children, disadvantaged adults, and society from domestic violence and abuse. Our Family Court Bureau acts to safeguard children from acts of abuse and neglect and to establish and collect child support from legally responsible parents. The Office of the County Attorney presents cases of juvenile delinquency to the Family Court in order to protect the public from dangerous juveniles and to rehabilitate juveniles in order to assist them in growing into productive members of society.



Tax Levy

Currently, the Law Department's approved Adopted budget for 2017 is \$10,388,025. The 2018 Law Department Budget proposes an expense budget of \$10,150,236, which is an decrease of \$237,789 over the 2017 Adopted Budget. Our 2018 Budget proposes a negative tax levy of (-2,976,327).

Expenditures

Annual Regular (1010)

The 2018 Requested is a \$279,410 decrease from our 2017 Adopted Budget.

Overtime (1400)

The 2018 Requested is \$500 which is the same as our 2017 Adopted Budget. This amount is based upon work performed by support staff on Election Day and the day after Thanksgiving, which are days the Law Department is required to work despite the County Offices being closed.

Replacement Equipment (2300)

The 2018 Requested is the same as our 2017 Adopted Budget.

Printing & Office Supplies (3600)

The 2018 Requested is an increase of \$850 less than our 2017 Adopted Budget.

Postage Cost (3700)

The 2018 Requested is the same as our 2017 Adopted Budget.

Equipment Service & Rental (4070)

The 2018 Requested is the same as our 2017 Adopted Budget.

Membership Fees (4100)

The 2018 Requested is the same as our 2017 Adopted Budget.

Travel & Meals (4110)

The 2018 Requested is the same as our 2017 Adopted Budget.

Telephone Expense (4160)

The 2018 Requested is the same as our 2017 Adopted Budget.

OSHA Safety Program (4225)

The 2018 Requested is the same as our 2017 Adopted Budget.

Educational Training (4360)

The 2018 Requested is the same as our 2017 Adopted Budget.

Technical Services (4420)

The 2018 Requested is the same as our 2016 Adopted Budget. This expense includes the cost of the Labor Negotiator and Arbitrators. The breakdown of arbitration cases is as follows: 80% of our arbitration fees are attributable to contract grievance and disciplinary arbitrations held with COBA and its members (the other fifteen percent is attributable to SOA, PBA and CSEA contract grievances). In the cases of SOA, PBA and CSEA, the costs of the hearings are evenly split between the respective union and the County. In the case of COBA, the fees are split up to the point where the union has expended \$20,000 on arbitration fees in any given year. Once that threshold is met, the County assumes 100% of the costs for the remainder of that year.

Litigation (4923)

The 2018 Requested of \$554,000 is \$54,000 less than the 2017 Adopted Budget.

Interdepartmental Charges

The 2018 Requested Budget is a decrease of \$11,529 from the 2017 Adopted Budget. This is due largely to the decrease in IT charges.

Revenue

The Law Department's hourly rate charged to all County departments for law services for the current year is \$153.00. The calculated rate for 2017 budget is \$154.00. This hourly rate represents all billable hours produced by our Assistant, Senior and Associate County Attorneys, however it excludes all hours produced by the Assistant Chief Deputy County Attorneys, Chief Deputy County Attorney and County Attorney.

Interdepartmental Revenue (9508)

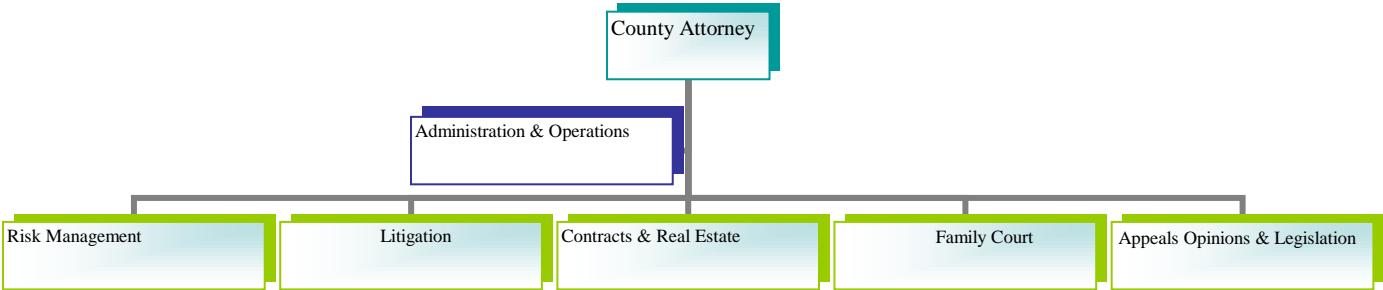
The 2018 allowed figure for Interdepartmental revenue for the Law Department is \$9,857,162. This represents a decrease of approximately \$610,218 over 2017 estimates.

Departmental Revenue

The 2018 allowed revenue figure for Departmental revenue is \$3,269,401. This is a decrease of \$315,408 from 2017 estimates due to a decrease in the hourly rate to Risk Management, Environmental Facilities and Westchester Community College.

Introduction

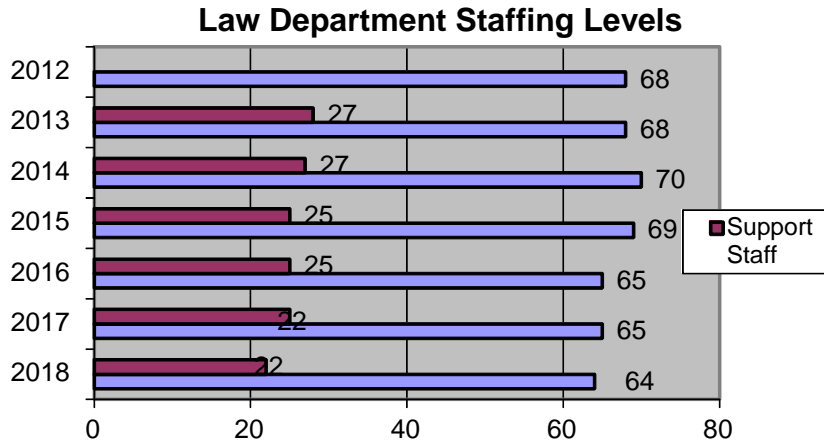
The structure of the Law Department reflects the constant striving to fulfill its duties and responsibilities in the most efficient and responsible manner possible. The Law Department is divided into four bureaus, each of which is focused on the different components of the “Operation of the Law Department”. In addition to the four Bureaus, the Department is responsible for the Office of Risk Management, which evaluates and reviews the County’s risk exposure. The organization of the Department is summarized in the following chart:



The Department of Law occupies approximately 23,000 square feet of space, utilizing the entire sixth floor and a portion of the second and seventh floors of the Michaelian Office Building. The Department is in proximity to the County Executive’s Office, the Board of Legislators, the Federal and County Court House Buildings, the District Attorney’s Office and many of the other County Departments that it serves.

The Law Department’s overall staffing level for 2018 is one less than 2017 for a total of 86 personnel. This number represents the number of authorized positions. The composition of the County Attorney’s office is shown below.

The Law Department staff consists of the following positions (including Risk Management):



Title	Number of Positions
County Attorney	1
Chief Deputy County Attorney	0
Assistant Chief Deputy County Attorney	3
Director of Risk Management	1
Associate County Attorney	7
Senior Assistant County Attorney	18
Assistant County Attorney	35
Special Assistant to the County Attorney	1
Senior Risk Management Analyst	1
Risk Management Analyst	1
Executive Secretary to the County Attorney	1
Program Specialist (Law)	0
Paralegal	3
Sr. Information System Clerk	1
Office Asst. Word Processing	1
Staff Assistant (Risk Management)	1
Legal Secretary II	4
Legal Secretary I	5
Docket and File Clerk	1
Receptionist	1
Total	86

Administration

County Attorney	1
Special Assistant to County Attorney	1
Executive Secretary to County Attorney	1
Receptionist	1
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Appeals, Opinions

Chief Deputy County Attorney	0
Associate County Attorney	2
Sr. Assistant County Attorney	2
Assistant County Attorney	0
Legal Secretary I	1
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Family Court Bureau

Asst Chief Deputy County Attorney	1
Associate County Attorney	2
Sr. Assistant County Attorney	6
Assistant County Attorney	20
Docket and File Clerk	1
Paralegal	1
Legal Secretary II	2
Sr. information System Clerk	1
Legal Secretary I	2
Office Assistant Word Processing	1
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Litigation Bureau

Asst Chief Deputy County Attorney	1
Associate County Attorney	2
Sr. Assistant County Attorney	7
Assistant county Attorney	10
Paralegal	1
Legal Secretary II	2
Legal Secretary I	1
<hr/>	24

Contracts Bureau

Asst Chief Deputy County Attorney	1
Associate County Attorney	1
Sr. Assistant County Attorney	3
Assistant County Attorney	5
Parlegal	1
Legal Secretary I	1
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Risk Management

Director of Risk Management	1
Sr. Risk Management Analyst	1
Risk Management Analyst	1
Staff Assistant (Risk Management)	1
<hr/>	4

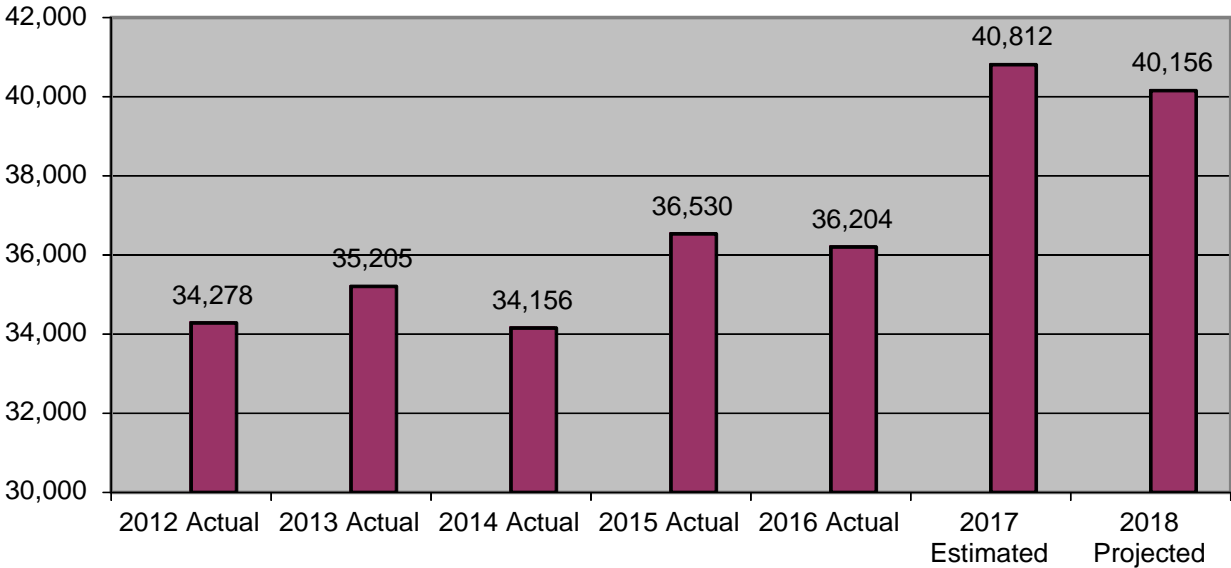
Pursuant to Chapter 158 of the Laws of Westchester County, only the County Attorney and his staff are authorized to provide legal services to the County and its Departments.

While the Law Department Budget has been a partner in reducing its burden on the tax levy, it continues to provide all essential legal services. Very often, the most complicated matters are also the most time sensitive. Managing these matters requires maximum effort and commitment by staff, so that even as cases have risen and their complexity increased, all the necessary services have been provided. The results of these efforts show in the number of notable successes achieved thus far in 2017 by the Office of the County Attorney.

Revenues

The increase in case levels is evident in all bureaus and involves all legally mandated services, including but not limited to family court proceedings, litigation defense of the County, legislation, contract preparation and review, appeals and advisory opinions.

Number of Cases



Breakdown of Cases by Bureau

<i>Bureau</i>	<i>2015 Actual</i>	<i>2016 Actual</i>	<i>2017 Estimated</i>	<i>2018 Projected</i>
Litigation	3,994	4,008	4,446	4,885
Appeals	1,740	1,740	1,850	1,900
Contracts	11,541	10,638	11,500	11,500
Family	19,255	19,818	23,016	21,871
Grand Total	36,530	36,204	40,812	40,156

The Law Department generates two separate revenue streams:

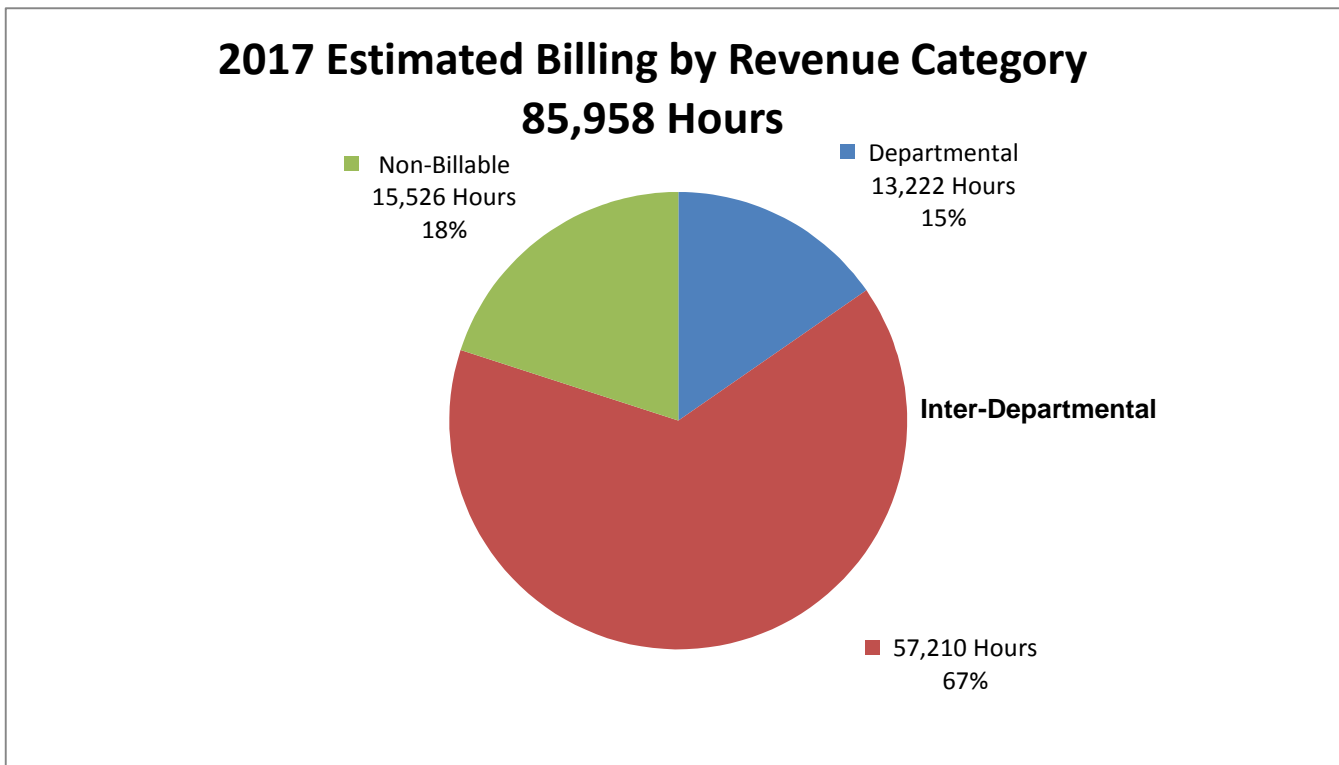
- Departmental revenues are generated through charges billed to other funds including the 6-N Fund, Sewer, Water, and Refuse Disposal District Funds and the Westchester Community College Fund. This billing is generated as a result of legal services that are provided by the Law Department to these entities.

- Inter-Departmental revenues are received from County departments for which the Law Department performs essential legal services. Departments included in this revenue stream include the following: Public Works, Human Resources, Public Safety Services, Parks and Recreation, Planning, County Clerk, Department of Social Services, Community Mental Health, the Budget Department, Finance, the Department of Health, Information Technologies, Probation, Corrections, Transportation, etc.

These billing charges are based on the number of hours that each attorney spends providing legal advice, research or services for each of these Departments on various matters. Charges are based on hourly rates derived from the Law Department’s operating costs and these rates are established each year in conjunction with the Budget Office when the County’s Budget is prepared. The hourly rate is based on the Law Department’s direct and indirect costs, while it excludes the usual and customary expenses incurred in representing our clients in such accounts as contractual services, technical services and litigation. The hourly rate for the current 2017 fiscal year is \$154.00 per hour.

The reason that billable hours are used both with cases handled by this Department and outside counsel is one of accountability and fiscal responsibility. The department being represented is then charged with monitoring their use of attorney’s time much like private practice. It has also allowed the Law Department to clearly track the hours attorneys spend on various legal matters. In short, it is a system of checks and balances for legal work of the County.

Breakdown by Revenue Category

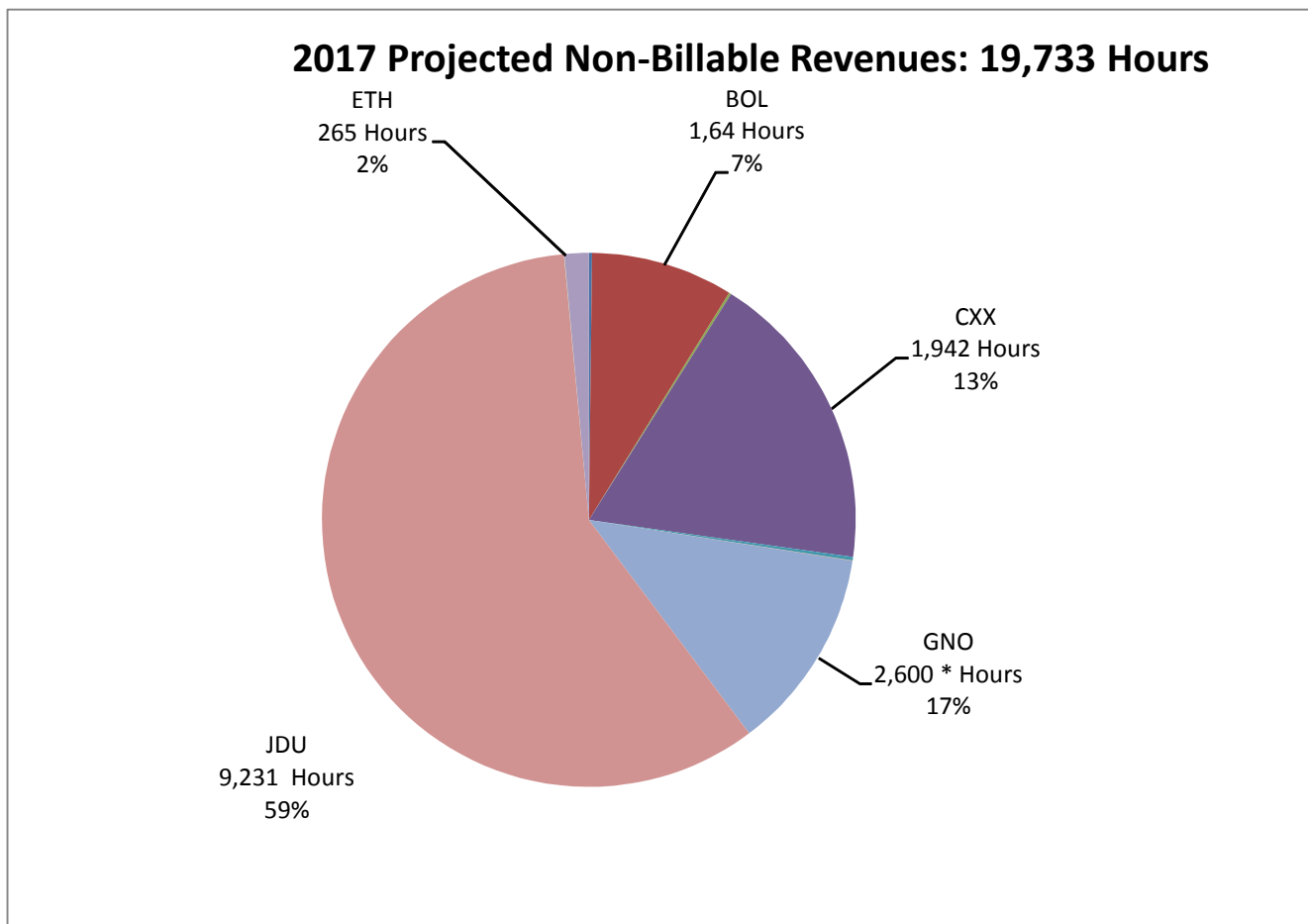


As indicated by the above chart approximately 18% of the Law Department service hours are not billable. This equates to approximately 15,526 hours of client services. This includes services provided to elected officials including the offices of the County Executive, the Board of Legislators and the District Attorney as well as statutorily mandated juvenile delinquency prosecutions. The County Attorney and the Deputies in charge of each bureau do not bill for direct client services or supervision, nor are their hours included in these figures. Notwithstanding the fact that the Deputy County Attorneys do not bill for their time, they are primarily responsible for providing legal advice directly to the Board of Legislators. The Assistant Chief Deputy County Attorney in charge of the Litigation Bureau appears before the Board's Committee on Budget and Appropriations on a regular basis with regard to case settlements, requests to initiate litigation and other various legal matters that require Board of Legislator approval. The Deputy County Attorney in charge of the Contracts and Real Estate Bureau frequently appears before various Board Committees with respect to contractual, affordable housing and energy issues. The County Attorney or his designee attends all of the regular and special meetings of the Board of Legislator and attends, as requested, various Board Committee meetings with regard to proposed legislation or other matters. Non-billable services also include all attorney time billed directly to the Law Department.

The vast majority of the Law Department's revenue that is billable comes from Inter-Departmental billing, which comprises 67% of the attorneys' work time or approximately 57,210 hours for the 2017 fiscal year.

The second largest source of revenue for the Law Department is Departmental billing. Billing to Environmental Facilities, Risk Management, Westchester Community College, and the Division of Solid Waste billing is considered Departmental billing. Each of these Departments contains their own separate funds and therefore their billing is maintained in a separate revenue stream than the Inter- Departmental billing. We estimate that the attorneys will spend approximately 13,222 hours on Departmental matters for the 2017 fiscal year or 15%.

The breakdown of non-billable services is detailed in the chart below:



BAC-23 Hours TXX-10Hours WDA-238 Hours PPI-133

*These figures exclude the approx. 32 days on average that each attorney submits for leave (annual, personal, sick, extended leave, and County Holidays).

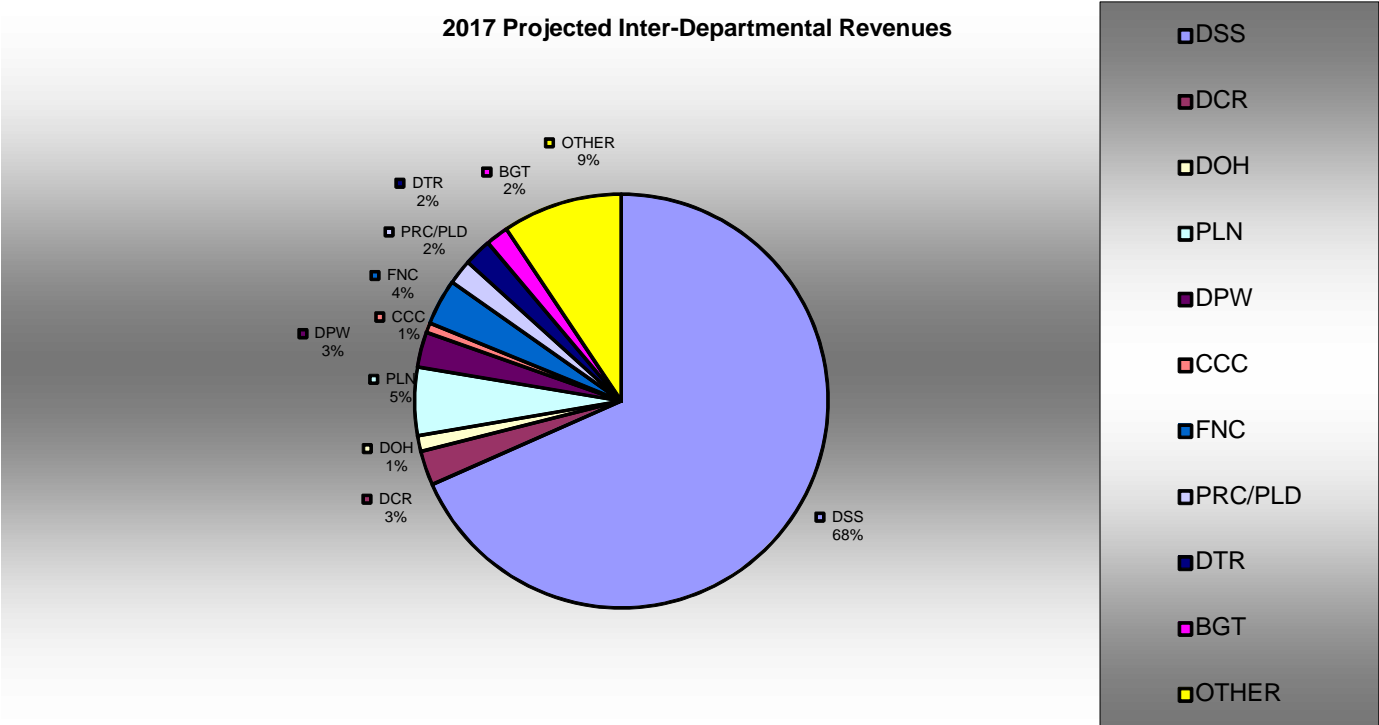
Please be advised that this number represents only the work performed for the BOL by attorneys required to bill for their time. This number does not include the hours devoted to BOL work handled by the Assistant Chief Deputy County Attorneys, the Chief Deputy County Attorney and the County Attorney who do not bill for their time. Since a good portion of the work for the BOL is carried out by the top management of the Law Department, including the estimated time of the Deputies and County

Attorney in the calculation would increase the non-billable hours for the BOL from 6% to approximately 19% of the total number of non-billable revenue.

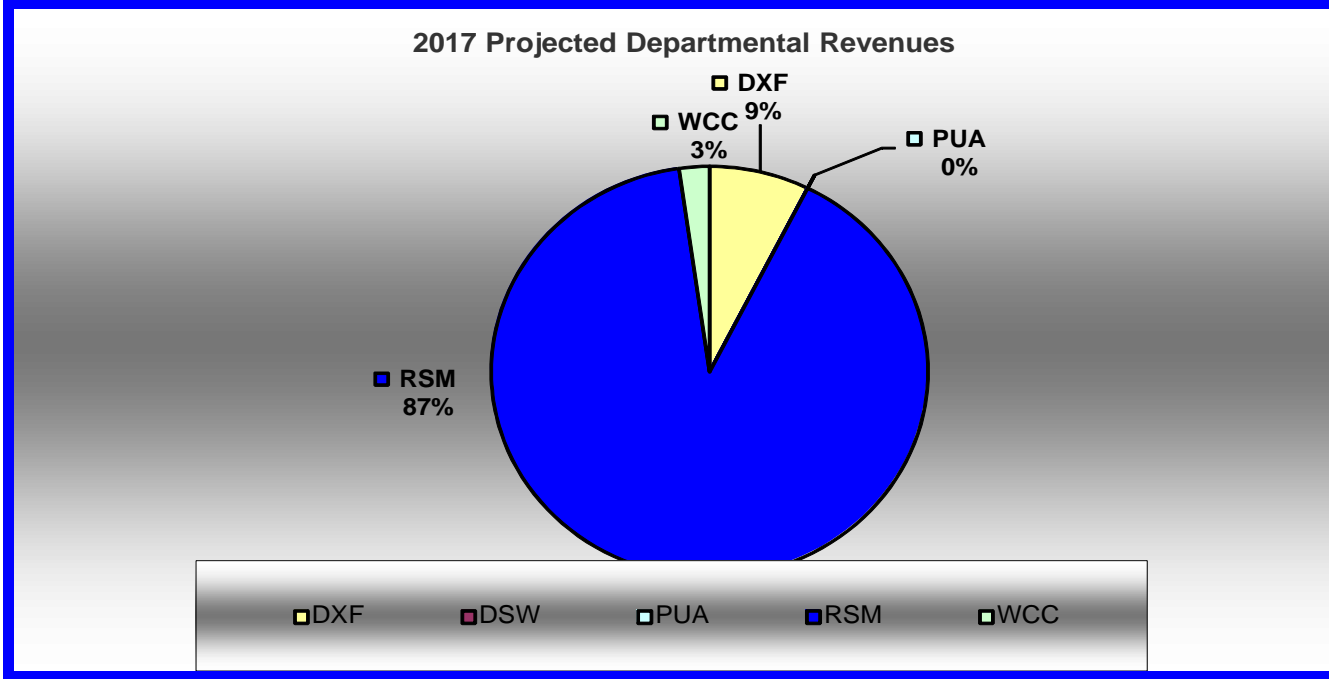
For the fiscal year that will end December 31, 2017 the Law Department is projecting to provide approximately 70,432 hours of billable client services, yielding over \$10,846,528 from both revenue streams: Inter- Departmental and Departmental. If the number of hours for non-billable services is included, then the number of hours for the year increases to approximately 85,958 hours

Moreover in 2017, in addition to the usual workload, including that reflected in the highlights of law department accomplishments for 2017 which follow herein, we anticipate performing extensive legal work related to: implementation of the terms of the ADC stipulation including legislation and potential litigation as necessary and appropriate.

The projected Inter-Departmental breakdown of revenue for 2017 is charted below.



The projected breakdown of the Departmental revenues for 2017 is shown below:



Risk Management’s departmental revenue is derived from reimbursement from the 6-N fund for expenses associated with the investigation and claims management of general, automobile, public officials and medical malpractice liability claims. Staff time is allocable to this function in addition to other indirect costs.

Risk Management Quantitative Indicator’s

	2015 Actual	2016 Estimated	2017 Projected
General Liability	245	225	230
Automobile Liability	44	40	42
Medical Malpractice *	23	30	30
Third Party Recoveries	\$317,604	\$300,000	\$300,000
Driver Abstracts Reviewed	4,550	4,600	4,600
Contracts Reviewed	4,128	4,150	4,150
Safety Related Meetings **	155	160	160

*These are Medical Malpractice claims by inmates against our correctional health services.

** Asbestos Training, Fire Warden Training, Respiratory Protection Training.

The following is a sample of matters handled by the Law Department

Appeals, Opinions and Legislation Bureau

Appeals

The Appeals, Opinions and Legislation Bureau defends and prosecutes all civil appellate litigation on behalf of the county, its departments, boards, commissions, agencies, officers and employees, acting their official capacities. The appeals are heard in state and federal courts. In addition to numerous Family Court Appeals prosecuted by the Bureau, below are representative examples of recent Appeals.

Name of Accomplishment: Matter of Jahred S.

When Initiated: 2016

Current Status: Completed

Description: The Respondent in a Family Court proceeding filed an Appeal with the Appellate Division requesting reversal of the Family Court's decision extending supervision by the Westchester County Department of Social Services (WCDSS) over the Respondent and issuing an Order of Protection against the Respondent on behalf of the subject children. A brief was submitted by WCDSS and by Order, dated April 19, 2017, the Appellate Division affirmed the decision of the Family Court.

Name of Accomplishment: Matter of Iris G.

When Initiated: 2016

Current Status: Completed

Description: The Respondent in a Family Court proceeding filed an Appeal with the Appellate Division requesting reversal of the Family Court's decision finding that Appellant neglected his biological children as a result of his sexual abuse of their mother (also the Appellant's step-daughter). while he was a person legally responsible for her care when she was a child. A brief was submitted by WCDSS and by Order, dated November 16, 2016, the Appellate Division affirmed the decision of the Family Court.

Name of Accomplishment: Lori Buccieri v. County of Westchester, County of Westchester Department of Parks, Recreation and Conservation.

When Initiated: 2017

Current Status: Awaiting Decision

Description: The Respondent- Petitioner in a disciplinary proceeding challenged the determination of a hearing officer which sustained twenty-two (22) specifications against the Respondent- Petitioner and recommended a penalty of suspension without pay for thirty (30) days which was adopted by the Commissioner of County of Westchester Department of Parks, Recreation and Conservation. Respondent- Petitioner filed an Article 78 proceeding in Supreme Court, and the matter was transferred to the Appellate Division, Second Department, to decide if the determination was supported by substantial evidence. A brief was submitted by the County of Westchester on or about June 21, 2017. The Appellate Division has not issued a decision yet.

Name of Accomplishment: Matter of Natalia J.

When Initiated: 2017

Current Status: Awaiting Decision

Description: The Respondent in a Family Court proceeding filed an Appeal with the Appellate Division, Second Department, requesting reversal of the Family Court's decision granting an Order that Reasonable Efforts are not Required. A brief was filed by DSS on or about August 30, 2017. The Appellate Division has not issued a decision yet.

Name of Accomplishment: Matter of Ashantewa W. L.

When Initiated: 2015

Current Status: Completed

Description: Appeal from a Decision and Order of the Family Court finding that the Respondent-Appellant Mother had neglected her child. DSS introduced proof at the trial that there were incidents of domestic violence involving the Appellant mother in the presence of the subject child. By Decision and Order dated February 8, 2017 the Second Department affirmed the Family Court Order of neglect.

Name of Accomplishment: Matter of Broomfield v Evans, et al

When Initiated: 2015

Current Status: Completed

Description: Appeal from a Decision and Order of the Family Court which dismissed Petitioner-Appellant Grandmother's petition for visitation with Janaesha E. for lack standing, as Petitioner had not maintained a relationship with the child and it would not be in the child's best interest to reintroduce grandmother. The matter was fully submitted in the Second Department and the Family Court's Order was affirmed by Decision and Order dated June 1, 2016. By Notice of Motion dated July 2, 2016, Appellant sought permission of the Second Department to appeal the Decision and Order dated, June 1, 2016, to the Court of Appeals. The Second Department by Decision and Order on Motion dated August 26, 2016, denied Appellant's motion for permission to appeal to the Court of Appeals. Appellant by Notice of Motion dated September 27, 2016 moved for leave to appeal to the Court of Appeals, which the County again opposed. Appellant's motion was denied by Decision dated January 5, 2017.

Name of Accomplishment: Matter of Joshua C.

When Initiated: 2015

Current Status: Completed

Description: Appeal by the father from an order of the Family Court, entered December 12, 2014 terminating his parental rights to his four children, after finding that he permanently neglected the children. By Decision and Order dated December 21, 2016, the Second Department affirmed the Family Court Order.

Name of Accomplishment: Matter of Bianca G.

When Initiated: 2015

Current Status: Awaiting decision

Description: Appeal by the mother from an order of the Family Court, entered October 6, 2015 terminating her parental rights to her daughter, after finding that she abandoned the child. The matter has been fully submitted and a decision of the Second Department is pending.

Name of Accomplishment: Matter of China C.

When Initiated: 2013

Current Status: Completed

Description: Appeal from a Decision and Order of the Family Court, entered August 19, 2014, finding that the Respondent-Appellant Mother had permanently neglected her three children and terminating her parental rights. DSS introduced proof at the trial that the Respondent Mother had failed to comply with various conditions imposed following an earlier finding of neglect and that her Children were doing well in their current foster homes. By Decision and Order, entered December 30, 2015, the Second Department affirmed the Family Court Order of Fact-Finding and Disposition

Name of Accomplishment: Rankel v. County of Westchester

When Initiated: 2003

Current Status: Completed

Description: Plaintiff-Appellant appealed from interlocutory Order of the Supreme Court denying his summary judgment motion in a 1983 action asserting that DSS had violated his due process rights in effecting the emergency removal of his three children when one of those children was hospitalized with an unexplained high level of arsenic in her system. By Decision and Order, entered January 13, 2016, the Second Department affirmed the Supreme Court Order. The underlying matter has not yet been restored to the Supreme Court calendar.

Name of Accomplishment: Matter of Amanda P.S.

When Initiated: 2011

Current Status: Completed

Description: Separate appeals by parents from an Order finding they permanently neglected their child and terminating their parental rights. The Family Court found that the parents neither cooperated nor progressed in therapy and that their child wishes to remain with her foster parent. By Decision and Order, entered November 25, 2015, the Second Department affirmed the Family Court Order.

Name of Accomplishment: Matter of Tinisha J.

When Initiated: 2012

Current Status: Completed

Description: The Respondent Father was convicted of Sexual Conduct Against a Child in the First Degree in which the victims were two of his four children and sentenced to a definite term of incarceration of eight years with twenty years of post-release supervision. By Petition filed by DSS, dated March 14, 2012, it was alleged that the Respondent Father had abandoned his Children. Testimony before the Family Court indicated despite being informed of his rights as an incarcerated parent to plan for his Children, the Respondent Father never contacted DSS for that purpose. By four separate Orders, dated September 4, 2014, the Family Court found that the Respondent had abandoned each of the Children and terminated his parental rights. By Decision and Order of the Second Department, entered January 13, 2016, the Orders of the Family Court were affirmed.

Name of Accomplishment: Matter of Anastasia R.

When Initiated: Notice of Appeal received in 2014

Current Status: Completed

Description: Respondent mother Jessica appealed Family Court Decision and Order which terminated her parental rights to her daughter Anastasia on the grounds of permanent neglect as a

result of Jessica's repeated incarcerations and drug abuse. Matter was fully briefed and placed on the submission calendar for October 8, 2015. By Decision and Order of the Appellate Division, Second Department dated November 4, 2015, the Family Court's Order was affirmed. No further action necessary.

Name of Accomplishment: Matter of Himallay

When Initiated: Notice of Appeal received in 2015

Current Status: Completed

Description: Respondent mother Cherry appealed Family Court Decision and Order which terminated her parental rights to her daughter Himallay who was originally placed in the care and custody of WCDSS because at fourteen months, Himallay was malnourished—weighing only 12 pounds— and had sustained multiple bone fractures, a lacerated pancreas, and a lacerated liver requiring her to undergo emergency surgery and remain in the hospital for approximately forty-six days before being placed with a foster family. Subsequently, the Family Court found clear and convincing evidence that Cherry permanently neglected Himallay by failing to take the necessary action to plan for Himallay and prepare for her special needs thereby preventing reunification. Matter was fully briefed and argued on June 13, 2016. By Decision and Order of the Appellate Division, Second Department dated July 6, 2016, the Family Court's Order was affirmed. No further action necessary.

Name of Accomplishment: Matter of Jonathan J.

When Initiated: Notice of Appeal received in 2014

Current Status: Completed

Description: The non-party appellant attorney for the child appealed the Decision and Order of the Family Court which denied counsel's request for discovery in a closed action insofar as there was no pending petition or hearing before the Family Court. The briefs have been fully submitted and the matter was placed on the submission calendar for October 15, 2015. By Decision and Order of the Appellate Division, Second Department dated November 12, 2015, the appeal was dismissed as academic as there was no further proceeding to direct the production of documents. No further action necessary.

Name of Accomplishment: Matter of Jaime E.S.

When Initiated: Notice of Appeal received in 2014

Current Status: Completed

Description: A juvenile delinquency petition was brought against this 12 year old child for having started a fire in an apartment that became uncontrollable and ultimately caused damage two multi-family homes. The Family Court, after a probable cause hearing, determined the child to be an incapacitated person. In light of the fact that if the act had been committed by an adult, it would constitute a felony, the Family Court, in accordance with Family Court Act § 322.2, ordered that the child be committed to the custody of the Commissioner of the Office of Mental Health for an initial period not to exceed one year. The attorney for the child appealed to the Appellate Division, Second Department. The Westchester County Presentment Agency submitted its brief on October 2, 2015. On December 30, 2015, The Appellate Division, Second Department, affirmed and notice of entry was sent on January 4, 2016. No further action necessary.

Name of Accomplishment: Matter of Brian F. Jr. & Brycin F.

When Initiated: Notice of Appeal received in 2015

Current Status: Completed

Description: Appeal from Order of Fact-Finding and Disposition of the Family Court, Westchester County (Katz, J.F.C.), dated July 15, 2015, which, entered a finding of neglect against the Appellant. The sole issue raised on appeal is whether the evidence presented was sufficient to support such a finding against the father for having failed to exercise a minimum degree of care to protect his young children. Matter fully briefed. By Decision and Order on Motion dated October 26, 2016, the Appellate Division, Second Department affirmed and notice of entry was sent on October 28, 2016. No further action currently necessary.

Name of Accomplishment: Matter of a Support Proceeding Westchester County Department of Social Services o/b/o Latoya T Thompson v. Randall Williamson

When Initiated: Notice of Motion for Leave to Appeal and Stay received in 2016

Current Status: Completed

Description: Appeal from Order of the Family Court, Westchester County (Schauer, J.F.C.), dated August 17, 2016, which, denied finding equitable estoppel to prevent Respondent Father from denying paternity and which ordered genetic blood testing. By Decision and Order on Motion dated October 20, 2016, the Appellate Division, Second Department denied motion for leave to appeal and denied the stay as academic. No further action necessary.

Name of Accomplishment: Matter of Dieurison T.

When Initiated: 2016

Current Status: Completed

Description: Appeal by the mother, and separate appeal by the father, from an order of fact-finding and disposition of the Family Court, Westchester County (Nilda Morales-Horowitz, J.), dated February 22, 2016. The order, after a fact-finding hearing, found that the mother and the father were presently, and for the foreseeable future, unable by reason of mental illness to provide proper and adequate care for the subject child, terminated the mother's and the father's parental rights, and transferred the guardianship and custody of the subject child to the Westchester County Department of Social Services. By Decision and Order dated July 12, 2017, the Appellate Division, Second Department affirmed the decision of the Family Court. The Appellate Division noted that the court-appointed psychologist interviewed and tested the mother and concluded that she suffers from schizoaffective disorder and posttraumatic stress disorder. The psychologist opined that due to, among other things, the mother's acute mental health distress and history of noncompliance with treatment, the mother is presently unable to provide proper and adequate care for the subject child. A licensed psychiatrist interviewed the father and reviewed certain of his medical records. The psychiatrist determined that the father suffers from schizophrenia, paranoid type. The psychiatrist testified that the father lacked insight into his mental illness, and that his prognosis for remedying his mental illness was poor. The evidence provided by the psychologist and the psychiatrist, respectively, established by clear and convincing evidence that the mother and the father are presently and for the foreseeable future unable, by reason of mental illness, to provide proper and adequate care for the child and therefore the Family Court properly terminated the parental rights of the mother and the father on the ground of mental illness. No further action necessary.

Name of Accomplishment: Matter of Dieucothaaam

When Initiated: 2017

Current Status: Awaiting brief

Description: This is a case relating to the termination of parental rights based on the mental illness of the parents. A Notice of Appeal dated June 27, 2017 and the County is currently awaiting the Appellants' brief to be received by December 2017.

Name of Accomplishment: Matter of Ashantewa W. L.

When Initiated: 2015

Current Status: Completed

Description: Appeal from a Decision and Order of the Family Court finding that the Respondent-Appellant Mother had neglected her child. DSS introduced proof at the trial that there were incidents of domestic violence involving the Appellant mother in the presence of the subject child. A Notice of Appeal dated June 23, 2015 was received by this office. Scheduling of oral arguments is pending after the matter was fully briefed in the Second Department. By Decision and Order entered February 8, 2017, the Appellate Division Second Department affirmed the finding of neglect. No further action necessary.

Name of Accomplishment: Matter of Julia N-R. Max and Justin

When Initiated: 2016

Current Status: Completed

Description: Mother appealed from a Decision and Order of the Family Court dated February 23, 2016 of the Honorable Rachel Hahn, J.F.C. which terminated Maria's parental rights to her children Julia, Justin, and Max on the grounds of mental retardation. By Decision and Order dated June 14, 2017, Appellate Division dismissed the appeal as to the oldest child as academic as she had reached eighteen years of age and affirmed the termination of mother's parental rights with respect to her two younger children and freeing them to be adopted. Appellate Division noted that the case of mother's mental retardation had been proven by clear and convincing evidence that she would not be able to provide adequate care for now and the foreseeable future. No further action necessary.

Name of Accomplishment: Joshua C.

When Initiated: 2015

Current Status: Completed

Description: : Appeal by the father from an order of the Family Court, entered December 12, 2014 terminating his parental rights to his four children, after finding that he permanently neglected the children. By Decision and Order dated December 21, 2016, Appellate Division affirmed the termination of father's parental rights and freeing children to be adopted. The Department did perform their diligent efforts which included locating and contacting the father, who was incarcerated under a false name, advising him of the children's progress, encouraging him to participate in planning for the children, and exploring the alternative custodial resources identified by the father. No further action necessary.

Name of Accomplishment: Matter of MVM Construction LLC v. Westchester County Consumer Protection Department, et al

When Initiated: Notice of Appeal received in 2015

Current Status: Completed

Description: This case is brought before the Appellate Division Second Department as a by the Decision and Order of the New York State Supreme Court, Westchester County dated on September 22, 2015, which transferred this proceeding for disposition pursuant to CPLR § 7804(g) as to whether the challenged determination denying MVM a Home Improvement License was supported by substantial evidence. Specifically, challenged determination was supported by the testimony of the Deputy Sealer which elicited that on three separate occasions the license applications for MVM Contracting Corp were either denied or revoked in the years 2000, 2005 and 2008 and Petitioner later admitted that its application for a Home Improvement License contained an erroneous sworn statement of a material fact regarding whether the company or any of its principals had ever had a trade license denied, revoked or suspended; as well as other errors. By Decision and Order dated February 27, 2017, the Appellate Division, Second Department confirmed the determination made the Deputy Sealer, denied the petition, and the dismissed the proceeding on the merits, with costs. The Appellate Division held that the Deputy Sealer's determination was supported by substantial evidence as the petitioner's admitted failure to disclose on its application that its principal had prior trade licenses denied, suspended, and/or revoked was sufficient. No further action necessary.

Name of Accomplishment: Matter of Kaiden L.

When Initiated: 2016

Current Status: Completed

Description: By Decision and Order dated May 31, 2017, the Appellate Division, Second Department affirmed the order of disposition of the Family Court, Westchester County (Hal B. Greenwald, J.), dated January 13, 2016. The order, after a dispositional hearing, terminated the father's parental rights and transferred guardianship and custody of the subject child to the Westchester County Department of Social Services for the purpose of adoption. Assigned counsel submitted a brief in accordance with *Anders v California* (386 US 738). Appellate Division was satisfied with the sufficiency of the brief and concluded that there were no nonfrivolous issues which could be raised on the appeal.

Name of Accomplishment: Matter of Stephanie M (Yolanda).

When Initiated: 2015

Current Status: Completed

Description: By Decision and Order dated February 15, 2017, the Appellate Division affirmed the termination of parental rights and freeing children to be adopted by their maternal aunt. Motion for leave to appeal to the Court of Appeals was denied by the Court of Appeals on June 1, 2017.

Name of Accomplishment: Matter of Piper S. (2016-06404)

When Initiated: 2016

Current Status: Awaiting Decision

Description: Appeal from an Order to Show Cause of the Family Court, Westchester County (Katz, J.F.C.), executed on June 22, 2016, to the extent that said Order denied an interim stay of the Order of April 1, 2016 which ordered the removal of child Piper from her parents and temporarily placed her with paternal grandmother. The matter is fully briefed upon submission and currently awaiting decision of the Appellate Division, Second Department.

Name of Accomplishment: Matter of Piper S. (2016-03108)

When Initiated: 2016

Current Status: Awaiting Decision

Description: Appeal from the Family Court's Order dated April 1, 2016 which temporarily removed Piper from her parents and placed Piper with paternal grandmother. The matter is fully briefed upon submission and currently awaiting decision of the Appellate Division, Second Department.

Name of Accomplishment: Matter of Piper S. (2016-12718)

When Initiated: 2016

Current Status: Awaiting Decision

Description: Appeal from an interim Order of the Family Court dated September 23, 2016 (Hon. Arlene Katz, J.F.C.), which directed Santiago to provide health insurance cards for his child Piper directly to DSS within forty-eight hours to help cover the costs of Piper's medical/dental/therapeutic visits. The matter is fully briefed upon submission and currently awaiting decision of the Appellate Division, Second Department

Name of Accomplishment: Matter of Piper S.

When Initiated: 2017

Current Status: Awaiting Brief

Description: Appeal from the Order decided after a fact-finding hearing that the child Piper was neglected by her parents.

Name of Accomplishment: Westchester v. Unity Mechanical et al.

When Initiated: 2016

Current Status: Pending

Description: County is suing Unity and its insurer for failure to tender defense and indemnification stemming from a 2013 injury to Unity worker on County property. Unity's motion to dismiss was denied by the lower court. A Notice of Appeal dated May 5, 2017 was received by this office. Scheduling of oral arguments is pending after the matter was fully briefed in the Second Department.

Name of Accomplishment: Sculti v. Finley et al.

When Initiated: 2015

Current Status: Pending

Description: Plaintiff is suing DA's office for failure to return items seized pursuant to a search warrant executed in 2015. The action was dismissed as to the DA's office. A Notice of Appeal dated January 17, 2017 was received by this office. Scheduling of oral arguments is pending after the matter was fully briefed in the Second Department.

Name of Accomplishment: Matter of Deandre A.

When Initiated: 2015

Current Status: Pending

Description: Appeal from an order of the Family Court, entered September 19, 2016 adjudicating the respondent a juvenile delinquent after he attempted to choke his social worker. A Notice of Appeal dated October 5, 2016 was received by this office. Scheduling of oral arguments is pending after the matter was fully briefed in the Second Department.

Name of Accomplishment: Matter of Atiba A.

When Initiated: 2015

Current Status: Pending

Description: Appeal from a series of 2016 temporary protection orders of the Family Court, after finding that child's mother was negligent. Mother filed a series of Notices of Appeal. After I drafted Respondent's brief, the mother withdrew her appeals.

Opinions

The Bureau provides legal advisory opinions to county officers and officials. The County Attorney also decides appeals under the Freedom of Information Law Appeals from decisions of other county departments and agencies, regarding access to their records.

Legislation

Pursuant to the Laws of Westchester County, the County Board of Legislators has the power to act by Local Law, Act or Resolution. In addition, the County Board of Legislators may request that the New York State Legislature pass state legislation granting the county certain specific powers that it would not otherwise possess under New York State law.

The Appeals Bureau is responsible for reviewing and drafting certain pieces of local and New York State legislation for the County Executive and the County Board of Legislators as may be appropriate under the circumstances. Each request for legislation is initially reviewed for legality, including such issues as whether such legislation would be preempted by state or federal law, whether such legislation requires a mandatory or permissive referendum prior to becoming effective and whether such legislation is subject to SEQRA review. Moreover, assuming legislation is legally appropriate, a committee report including the intent of the law or act, a transmittal letter and a resolution for a public hearing, accompanying all local laws, is also prepared.

Prevention & Training Programs

Workplace Violence Prevention Program

Description: In a joint effort with Human Resources, Public Safety and Public Works, the Law Department participates in the ongoing development, implementation and monitoring of the County's Workplace Violence Prevention Program. Through the Workplace Violence Prevention Committee, reports and occurrences of workplace violence are monitored and reviewed, and appropriate recommendations made therefrom, in order to track and reduce the occurrence of workplace violence in the County.

Conducting Freedom of Information Law ("FOIL") Training for County Employees

When Initiated: 2004

Current Status: Presentations available upon e-mail request.

Dollars Saved: The dollars that have been saved as a result of this ongoing initiative cannot be calculated by a special dollar figure. Nevertheless, the absence of, or decrease in, the amount of legal opinions and/or lawsuits which may result from errors in responding to requests for release of information certainly results in a cost savings to the County.

Description: This presentation, to be provided by members of the Law Department, will contain information, including the most recent updates to the Freedom on Information Law and general guidance to County officers and employees who are designated to be the FOIL officers of their particular County department, in accordance with the New York State Public Officers Law and the Laws of Westchester County. The objective of these seminars is to educate County employees on various FOIL issues and procedures.

Contracts Bureau

Name of Accomplishment: Development of Affordable Units that Affirmatively Further Fair Housing in accordance with County Policy and the Housing Settlement.

When Initiated: 1990s

Current Status: Ongoing

Description: Providing various legal services, including but not limited to: assistance in and attendance at closings (including purchases and resales to eligible purchasers), participate in conference calls and meetings, legal advice, drafting documents such as those necessary to enable a Declaration of Restrictive Covenants to be filed against the property, negotiation services and legislation in connection with the use of funds from the following capital projects BPL10 - New Homes Land Act (“NHLA”), BLA01 - Housing Implementation Fund (“HIF”) and BPL50 Fair and Affordable Housing (“AFFH”), as well as Community Development Block Grant (“CDBG”) funds and grants from the New York State Affordable Housing Corporation (“AHC”) in support of the following projects:

- 580 Bedford Road #7, Pleasantville: 1 homeownership unit;
- 72 Croton Avenue, Cortlandt: 1 homeownership unit and 1 rental unit;
- Theodore Fremd Avenue, Rye: 41 rental units;
- Saw Mill Lofts, Hastings-on-Hudson: 12 rental units;
- Somers Avalon, Somers: 10 rental units;
- 52 Washington Avenue, Hastings-on-Hudson: 3 homeownership units;
- Harrison Avalon, Harrison: 10 units;
- 150 Lake Street, White Plains: 67 affordable AFFH rental units;
- 29 South Ridge Road, Rye Brook: 8 units;
- 229 King Street, New Castle: 1 ownership unit;
- Chappaqua Crossing, New Castle: 28 affordable AFFH rental units;
- Hunts Place, Chappaqua: 36 affordable AFFH rental units;
- Freedom Gardens, Yorktown: 1 rental unit;
- 300 King Street, Chappaqua: 2 affordable AFFH homeownership units;
- 100 Cedar Street, Dobbs Ferry: 1 affordable AFFH homeownership unit;
- 25 Oak Road, Montrose, Town of Cortlandt: 1 affordable AFFH homeownership unit;
- 208 Harris Road, Unit DA5, Bedford Hills: 1 homeownership unit;
- 491 Franklin Street, Rye Brook: 1 affordable AFFH homeownership unit, 1 rental unit;
- 20 Oregon Avenue, Unit B, Eastchester: 1 affordable AFFH homeownership unit;
- 258 Saw Mill River Road, Millwood (Town of New Castle) : 1 affordable AFFH homeownership unit;
- 208 Harris Road, Bedford Hills (Unit HA6) : 1 affordable AFFH homeownership unit;
- 70 West Street, Unit A-17, Harrison: 1 homeownership unit;
- 60 Oregon Avenue, Eastchester: 1 homeownership unit;
- 240 Halstead Avenue, Unit C-4, Harrison: 1 homeownership unit;

- 106 Lake Kitchawan, Lewisboro: 1 homeownership unit;
- 101 Nottingham Road, Unit BB, Bedford: 1 homeownership unit;
- 11 Westview Avenue, North Salem: 1 homeownership unit;
- 1680 Strawberry Road, Unit 5, Yorktown: 1 rental unit;
- 69 Ravensdale, Hastings-on-Hudson: 2 homeownership units, 2 rental units;
- Hidden Meadow at Somers (16 Route 6, Somers): 8 homeownership units, 8 rental units;
- 19 Park Avenue, Lewisboro: 1 homeownership unit, 1 rental unit;
- 10 Byron Place (the Cambium Condominium) 147-175 Railroad Avenue, Mamaroneck; 10 homeownership units;
- 147 – 175 Railroad Avenue, Bedford: 12 rental units;
- 125-129 S. Fifth Avenue, Mount Vernon: 66 affordable rental units;
- 22 Old Route 22, Armonk: 10 affordable AFFH homeownership units;
- 101 Washington Avenue, Pleasantville: 1 affordable AFFH rental unit;
- 176 Henry Street, Buchanan: 1 affordable AFFH homeownership unit;
- 80 Bowman Avenue, Rye Brook: 16 affordable AFFH homeownership units;
- 104 Pine Street, Cortlandt;
- 2 Spruce, Lewisboro;
- 20 General Heath Avenue, North Castle;
- 18 Minkel Avenue, Ossining;
- 98 Washington Avenue, Pleasantville;
- 117 Washington Avenue. Pleasantville;
- 150 North Street, City of Rye: 41 affordable AFFH rental units;
- 164 Phyllis Court, Yorktown;
- 2906 Old Yorktown Road, Yorktown;
- 41 Church Street, Bedford: 1 affordable AFFH ownership unit;
- 41 & 42 Old Bedford Road, Lewisboro: 6 affordable AFFH rental units;
- 5 Stanley Avenue, Ossining;
- 6 Myrtle Drive, Somers: 1 homeownership unit;
- 124 Lake Kitchawan Drive, Lewisboro: 1 affordable AFFH homeownership unit;
- 42 Old Bedford Road, Lewisboro;
- 208 Centre Street, Buchanan: 1 homeownership unit;
- 10 West Main Street, Harrison: 4 affordable rental AFFH units;
- 214 Hillside Place, Eastchester: 4 rental units;
- 322 Kear Street, Yorktown, 12 affordable AFFH units;
- Route 22, Lewisboro, 46 affordable AFFH units;
- 324 Saw Mill River Road, North Castle, 2 affordable AFFH homeownership units;
- 3408 Deerhaunt Street, Yorktown, 1 affordable AFFH homeownership unit;
- 5 Liberty Way, 2 affordable rental units/1 homeownership unit;
- 9 Watson Avenue, Cortlandt, 1 affordable AFFH homeownership unit;
- 23 General Heath Avenue, North Castle, 1 affordable homeownership unit;
- 34 Lockwood Road, Cortlandt, 1 affordable AFFH homeownership unit;
- 108 Nottingham Avenue, Unit G, Bedford: 1 affordable AFFH homeownership unit;
- 1847 Crompond Road, Peekskill: 52 affordable rental units;
- 142 Stone Meadow Court, Lewisboro: 1 affordable AFFH homeownership unit;

- 163 Halstead Avenue, Harrison: 1 affordable AFFH homeownership unit;
- 194 Ketchum Avenue, Buchanan: 1 affordable AFFH homeownership unit;
- 280 Bleakley Avenue, Buchanan: 1 affordable AFFH homeownership unit;
- 734 Warren Avenue, Thornwood: 1 affordable AFFH homeownership unit;
- 3318 Old Yorktown Road, Yorktown: 1 affordable AFFH homeownership unit;
- 3771 Valleyview Street, Yorktown: 1 affordable AFFH homeownership unit;
- 3841 Valleyview Street, Yorktown: 1 affordable AFFH homeownership unit;
- 100 Danforth Avenue, Dobbs Ferry: 10 affordable AFFH rental units;
- 114 Quail Court, Yorktown: 1 affordable AFFH homeownership unit;
- 3758 Old Jefferson Road Shrub Oak: 1 affordable AFFH homeownership unit;
- 112 Village Road, Unit F, Yorktown: 1 homeownership unit;
- 256 Westchester Avenue, Pound Ridge: 1 homeownership unit;
- 3 Fowler Avenue, Cortlandt: 1 homeownership unit;
- 240 Halstead Avenue, B-2, Harrison: 1 homeownership unit;
- 914 Wynewood Road, 2R, Pelham Manor: 1 homeownership unit;
- 70 West Street, A8, Harrison: 1 homeownership unit;
- 106 Nottingham Road, Unit B, Bedford Hills: 1 homeownership unit;
- 485-501 Washington Avenue, Pleasantville: 7 homeownership units;
- 34 Bittersweet Lane, Lewisboro: 1 homeownership unit;
- 501 Broadway, Cortlandt, “Buchanan Mews”: 35 rental units.

Name of Accomplishment: Financial and Banking Agreements

When Initiated: N/A

Current Status: Ongoing

Description: Providing legal advice, counsel, legal research, and drafting services to the Department of Finance in connection with the procurement and contracting with various banking and financial services on behalf of the County, including but not limited to deposit agreements, collateral agreements, ATM placement agreements, tri-party agreements and bank card agreements.

Name of Accomplishment: Updates to the County Investment Policy

When Initiated: N/A

Current Status: Ongoing

Description: Providing legal advice, counsel, legal research, and drafting services to the Department of Finance in connection with the periodic revisions and updates of the County’s Investment Policy, including but not limited the preparation of .

Name of Accomplishment: Neighborhood Stabilization (NSP) Program

When Initiated: 2009

Current Status: Ongoing

Description: Providing legal advice and counsel to the Planning Department with regard to the drafting of agreements between 1) the County and the State, and 2) the County and contractors in connection with the NSP affordable housing program, including 200 plus units located in, among others, the municipalities of Yonkers, New Rochelle and Mount Vernon.

Name of Accomplishment: Biological Nutrient Removal (“BNR”)

When Initiated: 2008

Current Status: Ongoing

Description: Assisting the County Executive's Office and Department of Environmental Facilities with respect on-going compliance with the Order on Consent concerning the County's wastewater treatment plants that discharge into the Long Island Sound with the New York State Department of Environmental Conservation, as amended' including but not limited to:

Preparation of a proposed nitrogen trading intermunicipal agreement with the NYC Department of Environmental Protection for the sale and purchase of nitrogen credits and meetings, conference calls and ongoing negotiations in connection with finalizing the intermunicipal agreement.

Preparation and ongoing negotiations and revisions of proposed intermunicipal agreements between the County and the non-New Rochelle Wastewater Treatment Plant municipalities concerning alleged violations of the County Sewer Act ("Sewer Act") in connection with effluent restrictions contained in the Sewer Act to comply with the Order on Consent and County SPDES permits' conditions.

Name of Accomplishment: County Water Districts No. 1 & No. 3 Major Projects

When Initiated: 2007

Current Status: Ongoing

Description: The Law Department continues to assist the Department of Environmental Facilities in connection with numerous water improvement projects including:

"WD103-Alternate Water Supply" ("WD103"): Prepared and submitted several sets of legislation to the Board of Legislators at the request of the Department of Environmental Facilities to aid the County in its compliance with the Long Term 2 Enhanced Surface Water Treatment Rule ("LT2") as mandated by the Environmental Protection Agency (the "EPA"). A Consent Decree was filed on September 2, 2015 between the County and the EPA in connection with a federal lawsuit with respect to County compliance with LT2. Finalizing easements with City of White Plains for new completed UV treatment facilities at the Central Avenue and Orchard Street locations.

Name of Accomplishment: Transfer of County Water District No. 2 to Northern Westchester Joint Water Works

When Initiated: 2017

Current Status: Ongoing

Description: Assist the Department of Environmental Facilities in connection with the transfer of District facilities to the Northern Westchester Joint Water Works.

Name of Accomplishment: County Water Districts Miscellaneous Projects

When Initiated: 2007

Current Status: Ongoing

Description: Assist the Department of Environmental Facilities in connection with numerous miscellaneous projects including preparation of legislation in compliance with Article 5-A of the County Law for capital project **WD309-Water Storage Facilities & Maintenance**, capital project **WD104-Shaft 22 & Kensico Performance Measurement**, capital project **WD305 – Eastview Pumping Station and Transmission Main**, Non-Disclosure Agreements, and Water Demand Management Planning Agreement and new water supply agreement with New York City Department of Environmental Protection.

Name of Accomplishment: Watershed / East of Hudson**When Initiated:** 2007**Current Status:** Ongoing**Description:** Assist the Departments of Planning and Environmental Facilities in connection with the disbursement of East of Hudson (“EOH”) grant funds to various municipalities for certain approved water improvement projects, including monthly meetings and preparation of legislation and agreements, including:

Storm Water Retrofit Projects - Pursuant to the Watershed Memorandum of Agreement (“MOA”), the County entered into an intermunicipal agreement with various Watershed municipalities pursuant to which \$10 million (the “EOH Funds”) in funds from the earnings on the EOH WQIP Fund, of which the County serves as custodian under the MOA, was provided to the East of Hudson Watershed Corporation (“EOH Corporation”), an independent locally-based and locally administered not-for-profit local development corporation, organized under Section 1411 of the NYS Not-For-Profit-Corporation Law. Prepared legislation and FIRST amendments to the corporation agreement, intermunicipal agreement, and letter agreement with NYC Department of Environmental Protection to amend the scope and to apply funds remaining from the first five years of the storm water retrofit plan projects to the second five years of the storm water retrofit plan projects. Prepared legislation and SECOND amendments to the corporation agreement and intermunicipal agreement due to a change in the amount of EOH Funds remaining from the first five years of the storm water retrofit plan projects to be applied to the second five years of the storm water retrofit plan projects.

Storm Water Retrofit Engineering Study – Legislation prepared in connection with the disbursement of approximately \$375,000 of EOH Funds to the EOH Corporation for a Storm Water Retrofit Engineering Study and preparation and negotiation of agreement with the EOH Corporation.

Storm Water Retrofit Operation and Maintenance Years 1-5 Costs – Preparation of draft legislation for the disbursement of up to \$1,175,000 of EOH Funds for the operation and maintenance of storm water retrofit projects completed in the first five years of the stormwater retrofit plan; on hold due to proposed amendment to the amount to be disbursed.

New Castle Sewage Diversion – Extensive negotiations, discussions and legislation prepared and submitted in connection with the disbursement of approximately \$16,000,000 of EOH Funds to the Town of New Castle for a sewage diversion project. The legislation is on hold.

Town of Bedford Septic System Repair/Replacement Reimbursement Program – Legislation prepared, including an intermunicipal agreement for disbursement of approximately \$3,500,000 of EOH Funds in connection with a program implemented by the Town of Bedford (the “Town”) whereby property owners are to be reimbursed up to fifty percent of the costs to repair or replace failing septic systems. Legislation prepared to amend the project to increase the not-to-exceed amount and scope of the project and first amendment to the intermunicipal agreement revised, negotiated and finalized.

Town of Bedford – Bedford Hills/Katonah Sewering Project – Legislation prepared, including intermunicipal agreement, extensive negotiations, extensive revisions, meetings, and conference calls for

the disbursement of up to \$6,500,000 in EOH Funds for the creation of the Bedford Hills/Katonah Sewer District.

Town of Yorktown Vacuum Truck - Legislation and intermunicipal agreement prepared for disbursement of up to \$175,000 of EOH Funds for the purchase of a vacuum truck.

Town of Pound Ridge Vacuum Truck – Legislation and intermunicipal agreement prepared for disbursement of up to \$175,000 of EOH Funds for the purchase of a vacuum truck.

Town of Yorktown 10M Hallocks Mill Sewer Project – Assistance in preparation of term sheet for the project. Project currently on hold.

Town of Mt. Kisco Vacuum Truck – Legislation and intermunicipal agreement prepared for disbursement of up to \$175,000 for the purchase of a vacuum truck.

Town of Cortlandt Vacuum Truck – Legislation and intermunicipal agreement prepared for disbursement of up to \$175,000 for the purchase of a vacuum truck.

Town of New Castle Vacuum Truck – Legislation prepared including an intermunicipal agreement with the Town of New Castle for disbursement of approximately \$175,000 of EOH Funds for the purchase of a vacuum truck.

Name of Accomplishment: Easements for Ludlow Street Pumping Station, Piping and Force Main Replacement Project

When Initiated: 2017

Current Status: Completed

Description: Work with the Departments of Public Works and Environmental Facilities to obtain easements from property owner so that the project can move forward; prepared legislation and easements, extensive conversations and correspondence with property owner’s attorneys to finalize.

Name of Accomplishment: Sewer District Modifications

When Initiated: N/A

Current Status: Ongoing

Description: Work with the Department of Environmental Facilities to prepare necessary legislation to authorize the modification of various sewer districts throughout the County.

Name of Accomplishment: Storm Water Reconnaissance Plan Legislation and Agreements

When Initiated: 2014

Current Status: Ongoing

Description: Prepared and submitted legislation in connection with the Westchester County Storm Water Management Law for adoption of Storm Water Reconnaissance Plans for the Croton River and Inland Long Island Sound, the Saw Mill and Pocantico Rivers, the Bronx River, the Coastal Long Island Sound and the Peekskill and Haverstraw Bays. The Law Department prepared a Phase I intermunicipal agreement to be used for the implementation of the plans for storm water management pursuant to the Storm Water Reconnaissance Plans. A Phase I intermunicipal agreement was prepared and submitted to the Town of Rye for review and finalization in connection with the Coastal Long Island Sound Reconnaissance Plan.

Name of Accomplishment: Various Contract Matters for the Board of Legislators

When Initiated: N/A

Current Status: Ongoing

Description: Assist Board staff with contracts and contract related questions. Prepare numerous contracts for the Board and related A&C resolutions. Since November 1, 2016 (the last budget presentation) the Law Department has assisted the Board with over 55 such matters.

Name of Accomplishment: Legislation for Board of Legislators

When Initiated: N/A

Current Status: Ongoing

Description: Prepare legislation upon request of Board staff for various matters.

Name of Accomplishment: CAPROS/OnBase Capital Project Tracking

When Initiated: 2016

Current Status: Ongoing

Description: Worked with various departments to transition capital project bonding request process to OnBase.

Name of Accomplishment: New York Medical College

When Initiated: 2013

Current Status: Ongoing

Description: Provide legal advice, counsel, legal research, and drafting services to the Department of Public Works and Transportation in connection with various projects for the New York Medical College.

Name of Accomplishment: Miscellaneous Westchester County Health Care Corporation Matters

When Initiated: 1998

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services, including drafting of necessary legislation, Board of Acquisition and Contract resolutions and agreements to various County Departments in connection with:

- Civil Service Assistance (HR)
- Medical Surveillance Program/Occupational/Environmental Health Unit (DOH)
- Patient Medical Referrals 1/17-12/21 (DOH)
- Syphilis Diagnosis Services 1/17-12/21 (DOH)
- Mental Health Services (CMH)
- Sewer Line Relocation (DPW)

Name of Accomplishment: Various Department of Emergency Services Matters

When Initiated: 2009

Current Status: Ongoing

Description: Provide legal advice, legal research, draft legislation, resolutions and agreements for the County in connection with:

- Catering Contracts
- Emergency Procurement
- Grants regarding the Urban Area Security Initiative, HazMat; Homeland Security; Local Emergency Management Performance; Statewide Interoperable Communications Grant
- Regional Partnership Agreement with Putnam and Dutchess for the Hazmat grant program;
- United Way 211 special needs registry
- Hawthorne, Valhalla, and Pound Ridge fire services agreements
- Sprinter Van agreement with NYS.
- Valhalla Ambulance Agreement
- Structured Negotiations Agreement with Disability Rights Advocates and Westchester Disabled on the Move.
- RFP and Agreement for Americans with Disabilities Act and Emergency Preparedness Subject Matter Expert.
- Gift Acceptance Fireblast Trailer.

Name of Accomplishment: New York State Environmental Facilities Corporation Short and Long Term Financings/Refundings

When Initiated: 2013

Current Status: Ongoing

Description: Provide legal counsel to the Finance Department in connection with the sale of County bonds to the New York State Environmental Facilities Corporation.

Name of Accomplishment: Various Department of Public Safety Matters

When Initiated: 2015

Current Status: Ongoing

Description: Provide legal advice, legal research, drafted legislation, resolutions and agreements for the County in connection with multiple matters including:

- SHPS Bomb Squad Initiative Grant Agreement Resolution.
- FY2017 Urban Area Security Initiative (“UASI”) Grant
- New York State Law Enforcement Terrorism Prevention Program (“SLETPP”) Grant
- STOP DWI – multiple agreements
- Drafted legislation, A&C resolution and IMA template to authorize IMAs with any and all Westchester County municipalities to assist DPS in enforcing the County’s For-Hire Vehicle Law
- FAA certification and authorization of an unmanned aerial vehicle (drone) program
- Acceptance of a grant from NYS Division of Homeland Security and Emergency Services for tactical team equipment
- Acceptance of a grant from NYS Division of Homeland Security and Emergency Services for explosive detection response vehicle, an explosive detection canine and related equipment
- Acceptance of a grant from NYS Division of Criminal Justice Services for police protective equipment

Name of Accomplishment: Various Department of Probation Matters

When Initiated: 2017

Current Status: Ongoing

Description: Provide legal advice, legal research, drafted legislation, resolutions and agreements for the County in connection with multiple matters including:

- Contract for supervised visitation program
- Contract for sex offender polygraph services
- Contract for mental health treatment of sex offenders
- Contract for pre-dispositional risk assessment and post-dispositional treatment of juvenile delinquent sex offenders and pre and post-sentence risk assessment and treatment for adolescent sex offenders age 14 through 17 appearing before the Criminal Court as juvenile offenders, youthful offenders or young adults

Name of Accomplishment: Renew Cell Tower License Agreements throughout Westchester County

When Initiated: 2012

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the Real Estate Director and the Department of Information Technology in connection with a renewing license agreements with numerous entities (e.g.: Sprint, AT&T, Con Edison, Entergy, T-Mobile, Verizon) for the use of County property to install, operate and maintain radio equipment and antennas.

Name of Accomplishment: Request for Qualifications for Development of North 60

When Initiated: 2012

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the County Executive's Office and to the Board of Legislators in connection with a lease agreement for approximately 60 acres of County-owned property located at the north portion of the Grasslands Reservation in the Valhalla section of the Town of Mt. Pleasant, New York, and commonly referred to as the "North 60" with Fareri Associates, LP for a ninety-nine (99) year term for the purpose of creating a research and development complex, to support the county of Westchester's growing medical and bio-tech industry.

Name of Accomplishment: Weekly Review of Acquisition and Contract (A&C)

Resolutions/Preparation for A&C Meetings

When Initiated: Many years ago

Current Status: Ongoing

Description: As the majority of A&C resolutions are prepared by the procuring departments and not the Law Department as a cost savings measure, the Bureau's Asst. Chief Deputy conducts legal compliance reviews for all resolutions submitted to A&C for Preboard on a weekly basis. Such review includes, but is not limited to, determining whether the resolution belongs at A&C; whether it has received any other necessary prior legal approvals; whether the resolution's cover memorandum and backup materials show compliance with the laws, rules and regulations applicable to the particular procurement; if a problem is found or questions arise, concerning a particular resolution, communicating with the submitting department to attempt to fix the legal issue and/or clarify a legal question concerning the

resolution and if the problem or question can be resolved before the A&C meeting, working with the Department on resolving the issue.

The Law Department also provides legal advice and counsel to A&C staff in preparation for weekly A&C meetings and questions that arise relating to bids and bid openings.

Name of Accomplishment: Hangar 26 Lease with NetJets Services, Inc.

When Initiated: 2015

Current Status: Complete

Description: Provided legal advice, research, drafting and other counsel in regard to a new lease for Hangar 26 at Westchester County Airport.

Name of Accomplishment: Hangar D Lease with PMI Global Services Inc.

When Initiated: 2015

Current Status: Complete

Description: Provided legal advice, research, drafting and other counsel in regard to a new lease for PMI Global Services Inc. for Hangar D at Westchester County Airport.

Name of Accomplishment: Hangar D Lease with Tisma, Inc.

When Initiated: 2015

Current Status: Complete

Description: Provided legal advice, research, drafting and other counsel in regard to a new lease for Tisma, Inc. for Hangar D at Westchester County Airport.

Name of Accomplishment: Million Air Lease

When Initiated: 2015

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to lease negotiations to create a new fixed base operator at Westchester County Airport. The agreement was executed.

Name of Accomplishment: Flexjet Lease

When Initiated: 2015

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to lease negotiations for a new prime tenant at Westchester County Airport. The agreement was executed.

Name of Accomplishment: Uber and Lyft Airport Access Agreements

When Initiated: 2017

Current Status: Ongoing

Description: Provide legal advice, legal research, drafting and other counsel to DPW&T in regard to negotiating agreements with Uber and Lyft to allow them access to Westchester County Airport.

Name of Accomplishment: Net Jets Hangar 6 Lease Assignment to Textron

When Initiated: 2017

Current Status: Ongoing

Description: Provide legal advice, drafting and other counsel to DPW&T in regard to a lease assignment.

Name of Accomplishment: Airport Ground Water Testing

When Initiated: 2017

Current Status: Ongoing

Description: Provide legal advice and other counsel to DPW&T in regard to ground water testing issues at Westchester County Airport.

Name of Accomplishment: Beczak Environmental Education Center

When Initiated: 2015

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to lease negotiations to maintain this tenant at 35 Alexander Street in Yonkers until 2020. The agreement was executed.

Name of Accomplishment: South County Trailway

When Initiated: 2014

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to Planning in regard to easements necessary to complete Phase 7 of the Trailway. The agreement was executed.

Name of Accomplishment: Contracts for 6-n Counsel and New RFP

When Initiated: 2013 / 2017

Current Status: Ongoing

Description: Prepared and processed amendments to contracts for 6-n counsel with various law firms in order to use the County's last option under its contracts from 2013 and thereby extend them through 2017, in order to ensure that the County can receive legal services and representation in connection with civil litigation in which the County is or may become involved pursuant to the County's liability and casualty self-insurance ("6-n") program. Prepared and issued an RFP to solicit proposals from various law firms for legal services and representation in connection with civil litigation in which the County is or may become involved pursuant to the County's 6-n program, in order to ensure that the County will receive such services for 2018 and possibly beyond.

Name of Accomplishment: Qualified Energy Conservation Bonds

When Initiated: 2011

Current Status: Ongoing

Description: Provide legal assistance, including the drafting of legislation for submission to the Board of Legislators, resolutions for submission to the Board of A&C, and contracts, as well as providing legal advice in conjunction with County Bond Counsel, to the Office of the County Executive and the Board of Legislators regarding the use of Qualified Energy Conservation Bonds (QECBs) for the purpose of financing the acquisition, construction and installation of renewable energy systems.

Name of Accomplishment: Various Matters for the Westchester County Office of Energy Conservation

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal assistance to the Westchester County Office of Energy Conservation for various issues concerning energy usage and sustainability, including researching and drafting legislation to adopt a County building energy benchmarking policy and procedures.

Name of Accomplishment: Facilitating Safety Improvements to Jackson Avenue

When Initiated: 2013

Current Status: Ongoing

Description: Provide legal assistance to the Board of Legislators, the Department of Public Works and Transportation, the Department of Parks, Recreation and Conservation, and the Department of Planning regarding various legal matters—including obtaining parkland alienation authority from New York State for a small piece of Sprain Ridge Park—that are related to an intended agreement with the Town of Greenburgh that would facilitate the Town’s planned safety improvements to Jackson Avenue.

Name of Accomplishment: Family Justice Center

When Initiated: 2009

Current Status: Ongoing

Description: Provide legal assistance to the Office for Women regarding the Family Justice Center at the White Plains Court Complex. Law provides assistance by preparing or reviewing A&C resolutions and the related agreements concerning the director of the FJC and for the various partners to provide services at the Family Justice Center (e.g., counseling services, legal services, and victim advocacy services).

Name of Accomplishment: Merestead Property

When Initiated: 2011

Current Status: Ongoing

Description: Provide legal assistance to the Department of Parks, Recreation, and Conservation for various issues concerning the Merestead property, including seeking temporary alienation authorization from New York State that would allow the County to grant an easement in exchange for funds to help provide for Merestead’s upkeep and maintenance.

Name of Accomplishment: Miller House/Washington’s Headquarters

When Initiated: 2016

Current Status: Ongoing

Description: Provide legal assistance to the Department of Parks, Recreation, and Conservation in connection with the proposed sale of the Miller House property to the Town of North Castle.

Name of Accomplishment: Mountain Lakes Park

When Initiated: 2012

Current Status: Ongoing

Description: Providing legal assistance to the Department of Parks, Recreation, and Conservation for various issues concerning Mountain Lakes Park.

Name of Accomplishment: Improvements to, and New Use of, Various Parcels of the Department of Parks, Recreation, and Conservation

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal assistance to the Department of Parks, Recreation, and Conservation, including drafting requests for proposals, terms sheets, and proposed agreements, in order to assist in the department's efforts to obtain improvements to, and new use of, various parcels, including the former restaurant site in V.E. Macy Park (the 'Cantina' site), the former gas stations on the Bronx River Parkway, and the Wightman Mansion at Lenoir Preserve.

Name of Accomplishment: Contract Reform Working Group

When Initiated: 2011

Current Status: Ongoing

Description: Provide legal assistance to the County Executive's Office and its Contract Reform Working Group ("CRWG") in its work to streamline the County's contracting documents and processes. Among other assistance provided to the CRWG to date, Law assisted with legal issues associated with the development and launch of the 'Vendor Portal' and its various component modules, including providing advice on legal issues concerning the modules, themselves, and assisting with modifications to County contract documents to accommodate various aspects of the new portal.

Name of Accomplishment: Order of Succession Documentation

When Initiated: Many years ago

Current Status: Ongoing

Description: Provide legal assistance to the heads of various departments to facilitate the proper documentation and filing of the order of succession for his/her department (including successions under emergency circumstances), in accordance with the Laws of Westchester County and state law.

Name of Accomplishment: Certificates of Authorization for New Heads of Departments for Contract Execution

When Initiated: Many years ago

Current Status: Ongoing

Description: Prepared certificates of authorization, in accordance with Board of Acquisition and Contract resolutions prepared pursuant to §161.11(1)(b) & (c), for each new department head, in order to permit them to execute contracts for the County on behalf of their department (one for contracts specifically authorized by the Board of A&C and one for so-called "short forms", which do not require specific Board of A&C approval), and had the prepared certificates signed by the County Executive.

Name of Accomplishment: IT Professional Services Requests For Proposals and Contracts for 2018-2019

When Initiated: 2017

Current Status: Ongoing

Description: Provide legal assistance to the Department of Information Technology by assisting in the preparation of a request for proposals for various professional consulting services for the County to enter into various two-year contracts based upon the results of the requests for proposals and the particular services needed.

Name of Accomplishment: Disposal of County real property no longer needed for County purposes.

When Initiated: 2004

Current Status: Ongoing

Description: Working toward closing certain surplus parcels approved for sale and deemed surplus by the Board of Legislators.

Name of Accomplishment: Grant agreements with State Office for Indigent Legal Services.

When Initiated: 2012

Current Status: Ongoing

Description: Work with Legal Aid Society of Westchester County to apply for and accept various grants offered by the State Office for Indigent legal Services to improve the quality of indigent legal representation in Westchester County. Preparation of annual agreement between the County and the Legal Aid Society of Westchester County for the provision of 18B indigent legal representation in Westchester County.

Name of Accomplishment: Supplementation Services to Update the Laws of Westchester County

When Initiated: 2013

Current Status: Ongoing

Description: Act as interface with the current publisher of updates to the Laws of Westchester County to ensure that it is regularly and accurately updated with all current Local Laws, Executive Orders and all other updates.

Name of Accomplishment: Westchester County Deferred Compensation Board

When Initiated: 1984

Current Status: Ongoing

Description: Act as legal counsel to the Westchester County Deferred Compensation Board (the "Board"), including attendance at Board meetings, preparation of meeting minutes, the provision of legal advice and performance required filings with the State of New York. In addition, legal counsel and advice was provided to the Board in connection with the procurement of an independent consultant to act as the Board's fiduciary in connection with Board functions, including preparation of the RFP, negotiation and preparation of contract documents and ensuring compliance with all applicable State and Federal laws, rules and regulations concerning retirement plans.

Name of Accomplishment: Board of Elections Voting Machine Moving Contracts

When Initiated: 2011

Current Status: Ongoing

Description: Provide legal advice and counsel to the Board of Elections and the Department of Public Works and Transportation in connection with the preparation of bid specifications, contracts, and procurement of the voting machine moving contracts in connection with the 2016-17 Primary and General Elections.

Name of Accomplishment: Board of Elections Contract Procurements

When Initiated: 2012

Current Status: Ongoing

Description: Provide legal advice and counsel to the Board of Elections in connection with the preparation of bid specifications, contracts, and procurement of contracts, including the purchase of new voting machines, storage of old lever voting machines and all other agreements. Providing assistance to the Board of Elections in connection with the acceptance of various State and Federal Grants.

Name of Accomplishment: Department of Public Works and Transportation Construction Projects

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal advice and counsel to the Department of Public Works and Transportation in connection with all construction projects including assistance with the preparation of bid documents, bid award protests, contract disputes, default notices, termination letters, negotiations of payments, correspondence with contractor attorneys and assistance with closeout documentation.

Name of Accomplishment: Pleasantville Road Transfer to Village of Briarcliff Manor

When Initiated: 2017

Current Status: Ongoing

Description: Provide legal advice and counsel to the Department of Public Works and Transportation in connection with

Name of Accomplishment: Westchester Community College Construction Projects

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal advice and counsel to Westchester Community College in connection with all construction projects including assistance with the preparation of bid documents, bid award protests, contract disputes, negotiations of payments, correspondence with contractor attorneys and assistance with closeout documentation.

Name of Accomplishment: Westchester Community College/Peekskill Satellite Lease

When Initiated: 2017

Current Status: Ongoing

Description: Provide legal advice, drafting and counsel to Westchester Community College in connection with a lease of space to continue operating its Peekskill satellite campus.

Name of Accomplishment: Kingsland Point Park

When Initiated: 2013

Current Status: Ongoing

Description: Providing legal advice and counsel to the Department of Parks, Recreation, and Conservation in connection with renewal of the IMA with the Village of Sleepy Hollow for the operation of the maintenance and operation of Kingsland Point Park.

Name of Accomplishment: Westchester Children's Museum - Playland

When Initiated: 2008

Current Status: Ongoing

Description: Provide advice relating to the lease and renovation of the former North Bathhouse for use as the Westchester Children's Museum, for a ten-year term. Lease finalized and executed in 2017.

Name of Accomplishment: Playland Management Agreement

When Initiated: 2010

Current Status: Ongoing

Description: Provide legal advice and assistance to the County Executive's Office and the Department of Parks, Recreation and Conservation with respect to the Management Agreement with Standard Amusements, LLC. Also, provided advice to and answer questions from the Board of Legislators during their review of the management agreement. Continue to provide advice and other legal services during implementation of the PMA.

Name of Accomplishment: Rockland County IMA for Preventative Respite Care

When Initiated: 2013

Current Status: Ongoing

Description: Drafted Legislation authorizing Rockland County to utilize the County's preventive respite care provider (Children's Village, Inc.) to provide mandated preventive respite care and services to eligible children from Rockland County pursuant to an intermunicipal agreement ("IMA") for 2017 through 2020 term. Drafted related IMA and A&C resolution.

Name of Accomplishment: Institutional Foster Care

When Initiated: 2010

Current Status: Ongoing

Description: Drafted Board of Acquisition and Contract resolutions and implemented the use of the New York State Revised Model Contract for the Purchase of Foster Care and drafted the County specific programmatic schedule making the contract evergreen, for the provision of institutional foster care and educational care for youth in the County's care and placed in institutional foster care settings by the Family Court.

Name of Accomplishment: Title XX Day Care Agreements

When Initiated: pre-2000

Current Status: Ongoing

Description: Drafted Board of Acquisition and Contract resolution to add approximately 17 new Title XX Day Care providers. Draft day care agreements for new day care providers.

Name of Accomplishment: DSS HUD Continuum of Care Grants and Homeless Services

When Initiated: pre - 2009

Current Status: Ongoing

Description: Drafted Board of Acquisition and Contract resolutions to accept approximately fifteen U.S. Department of Housing and Urban Development Grants as well as agreements with agencies for the provision of temporary and permanent rental housing and supportive services pursuant to the McKinney-Vento Homeless Assistance Act.

Name of Accomplishment: Youth Homeless Prevention Initiative

When Initiated: 2014

Current Status: Ongoing

Description: Drafted Board of Acquisition and Contract resolutions and agreements to accept a U.S. Department of Health and Human Services grant to implement the Westchester Youth Homeless Prevention Initiative entitled BraveLife Intervention and to enter into agreements with Fordham University, HOPE Community Services, Inc., Children's Village, Inc., and You Gotta Believe, Inc. to

provide scattered site housing, supportive services, crisis intervention and life skills training, as well as program evaluation and consulting services.

Name of Accomplishment: Ryan White Assignment

When Initiated: 2015

Current Status: Completed

Description: Drafted Legislation to authorize the County to assign its agreement with the City of New York for the provision of HIV-related services pursuant to Part A of the Federal Ryan White HIV/AIDS Treatment Extension Act for residents of the Counties of Westchester, Rockland and Putnam to Public Health Solutions, effective as of December 1, 2015. Drafted Board of Acquisition and Contract Resolution as well as Assignment Agreement. Provided advice and assistance to Department of Health and drafted notices of assignment to the County’s Ryan White providers. Assignment agreement fully executed and Federal Ryan White HIV/AIDS Treatment Extension Act services for Westchester and Rockland Counties have been transferred to Public Health Services, New York City’s contractor.

Name of Accomplishment: Various Legal Services for the Department of Senior Programs and Services

When Initiated: 2015

Current Status: Ongoing

Description: Provide continuing legal counsel to the Department of Senior Programs & Services with respect to its various federally funded programs for the elderly. Services include providing legal counsel concerning procurement requirements under the Federal Common Grant Rules, preparing RFPs, drafting agreements, legislation and A&C Resolutions to enable the Department to enter into contracts with various agencies and municipalities to implement its various senior services programs. Representative matters include contracts for the following programs:

- Title III-B, III-C and III-E
- Expanded-In-Home Services for the Elderly (“EISEP”)
- Wellness In Nutrition Program (formerly “SNAP”)
- Congregate Services Initiative
- AAA Transportation
- Senior Community Service Employment Program (“SCSEP”)
- Community Services for the Elderly (“CSE”)

Name of Accomplishment: Prisoner Transportation

When Initiated: pre-1997

Current Status: Ongoing

Description: Prepared legislation, A&C resolutions and intermunicipal agreements with approximately 25 Westchester County Municipalities (including 23 municipalities organized into four rate zones, as well as five individual municipalities) to transport prisoners, to and from court appearances, who have been remanded to the Westchester County Jail.

Name of Accomplishment: Capital Bonding Legislation/Attend Weekly Capital Projects

Workgroup Meetings

When Initiated: N/A

Current Status: Ongoing

Description: Attend weekly meetings of Capital Project Workgroup to evaluate and provide legal advice

regarding ongoing capital projects and capital budget amendments as required. Draft capital bonding legislation and capital budget amendments, as required (116 matters in 2017). Draft revisions to capital bonding legislation as requested by the Board of Legislators. The following are a few of the projects worked on in 2017:

- BCR51 – Fleet Vehicle Replacement
- BCR55 – G- Block Window Replacement
- BIT6C – Purchase of Computer Equipment
- BIT9A: Geographical Information Systems (2015-2019)
- BIT30: E911 Equipment Replacement Phase II
- BPL13 – Tarrytown – Kensico Bikeway
- BPS24 – Police Body Cameras
- RD016 – Croton Landfill Rehabilitation and Gas to Energy Program
- RSP03 – Sprain Ridge Main Pool Reconstruction
- RWPR4 – Ward Pound Ridge Reservation Dam Project
- RSW01 – Saxon Woods Park Site Work
- RY0N1 – Yonkers Waterfront Plan
- SPS05 – North Yonkers Pump Station Upgrades
- SW005 – Post Storm Infrastructure Reconstruction and Rehabilitation
- T009G: Paratransit Vehicles
- WCC65 – Electrical Mechanical Upgrade Various Buildings

Name of Accomplishment: Airport Grant Agreements

When Initiated: N/A

Current Status: Ongoing

Description: Worked with the Department of Public Works and Transportation to prepare necessary legislation and A&C resolutions to authorize the County to enter into various grant agreements with the Federal Aviation Administration, Transportation Security Administration, and/or the New York State Department of Transportation to accept funding for various capital projects at the Westchester County Airport, including the following:

- A0133 – Rehabilitation of Taxiway “L”
- A056D – Heavy Equipment Acquisition (Aircraft Deicers, Plow Truck, and Snow Blower)

Name of Accomplishment: Various Legal Services for the Westchester DA’s Office

When Initiated: N/A

Current Status: On-going

Description: Worked with the DA’s office to facilitate contracts for various grants and programs. Drafted A&C Resolutions and contracts and provided on-going legal counsel. Representative matters include:

- Westchester County Reentry Task Force
- Multidisciplinary Team and Child Advocacy Center Grant Program
- Sexual Assault Victims and Elderly Services (“SAVES”) Program
- STOP Violence Against Women Collaborative Program
- Body camera subsidy for local police departments
- Various incidental services necessary for the operation of the DA’s office such as translation services, transportation services, messenger services

- Psychotherapy services to Crime Victims
- Crimes Against Revenue Prosecution
- Safe Streets Task Force
- Crime Analysis Consultant
- Various procurements and agreements for on-line specialized research including but not limited to agreements with both Westlaw and LexisNexis
- Services to have new IT network equipment installed and configured
- Acceptance of grant from NYS Division of Criminal Justice Services to operate the Motor Vehicle Theft and Insurance Fraud Prevention Program
- Procuring services to upgrade the audio-visual infrastructure for 2 grand jury rooms and 2 conference rooms in the Westchester County Courthouse
- Procuring educational training services
- Procuring a case management system

Name of Accomplishment: Various Expert Witness Contracts for Litigation Bureau

When Initiated: N/A

Current Status: On-going

Description: Assist County Attorney's Office Litigation Bureau in preparing contracts with expert witnesses needed to assist in defending the County against various lawsuits. Draft A&C resolutions and contracts.

Name of Accomplishment: Her Honor Young Women's Mentoring Agreement

When Initiated: N/A

Current Status: Ongoing

Description: Assist Office for Women staff with contracts and contract related questions for volunteer young women's mentoring program participated in by over 60 mentors, each of whom is required to sign an individual agreement. Prepare numerous incarnations of the agreement and related A&C resolutions to meet the varying needs of mentors, and, in some cases, their employers.

Name of Accomplishment: Refuse District Modification of Plan of Improvements and Petition for Increase and Improvement of Facilities

When Initiated: N/A

Current Status: Ongoing

Description: Assist Department of Environmental Facilities staff by reviewing Plan modification, drafting legislation authorizing the County to modify the Plan of Improvements for Refuse Disposal District No. 1 and bonding legislation for addressing the concern of regulatory agencies to start design of leachate treatment systems. Legislation to modify the Plan was subsequently approved by the BOL on February 13, 2017. Also finalized legislation to authorize the County to petition the NYS Comptroller for an increase and improvement of facilities to increase the County's bonding cap to allow bonding for leachate treatment and also for food composting Pilot program. Act authorizing Increase and Improvement and for the County to petition the State Comptroller was approved by the BOL on September 25, 2017. Also prepared Verified Application for submission to the State Comptroller. Verified Application to be submitted to State Comptroller shortly.

Name of Accomplishment: Electronic Recording of Instruments Affecting Real Property

When Initiated: 2012

Current Status: Ongoing

Description: Assist the County Clerk’s office in executing agreements used by the County to authorize various parties (law firms, title companies, individuals, etc.) to electronically record instruments affecting real property through the County’s existing PREP electronic recording system, in accordance with a new state law and accompanying state regulations.

Name of Accomplishment: Compliance with State and Federal Requirements Concerning Grants and Other Funding Streams

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal assistance to all departments and offices within the County that receive state and/or federal funds. This legal assistance occurs both on an individual basis, in the form of one-on-one assistance that includes reviewing pertinent documents, performing legal research, and giving advice and education on this topic.

Name of Accomplishment: Various Department of Labs and Research Matters

When Initiated: N/A

Current Status: Ongoing

Description: Provided legal advice, draft resolutions and/or agreements for the County in connection with the operation of the County’s Biodefense Laboratory; United States Department of Justice Forensic DNA Backlog Reduction; Microbac Laboratories, Inc. to ensure safe drinking water for County residents; Veolia ES Technical Solutions, Inc. for the proper collection and disposal of chemical waste; Approved Storage & Waste Hauling, Inc. for the proper collection and disposal of medical waste; On Assignment Staffing, Inc. for temporary lab personnel; and Soft Computer to procure new hardware for the LIMS system.

Name of Accomplishment: Various Westchester – Putnam Local Workforce Development Board (“WDB”) Matters

When Initiated: 2015

Current Status: Ongoing

Description: Prepare and/or review various documents (e.g., Requests for Proposals and Requests for Quotations, Board of Acquisition and Contract resolutions, and agreements), and otherwise provide legal advice for the County regarding the actions it takes on behalf of the WDB, including:

- 2017 Summer Youth Employment Program
- Just Add One campaign
- Eligible Training Providers
- Volunteer Income Tax Assistance (*VITA*) program
- WIOA Youth Work Experience Manager
- WIOA Sector Partnership Manager
- USDOL Ready To Work Program-funded agreements

Name of Accomplishment: Various DSS Bid Contracts

When Initiated: 2017

Current Status: Complete

Description:

- Prepared Bid Document, A&C and contract for Emergency Moving Services for Homeless customers of DSS
- Prepared Bid Document, A&C and contract for Security Guard Services at the Grasslands Shelter
- Prepared Bid Document, A&C and contract for Security Escorts for DSS Child Welfare Workers
- Advised DSS in regard to Performance Bond issue with Century Protective Services under last option period of current contract.

Name of Accomplishment: Out of District Sewage Discharge Permit of the Town of Putnam Valley.

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice and drafting documents and legislation for the Dept. of Environmental Facilities in connection with the proposed sewage discharge permit for the Town of Putnam Valley. Legislation drafted to exempt the permit from the term limitation for such permits in the Laws of Westchester County.

Name of Accomplishment: Contracts for the Office for Women

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal assistance with drafting of A&C resolutions, agreements, amendments to agreements, and related questions for various programs for women. Since November 1, 2016 (the last budget presentation) the Law Department has assisted the Office with over 23 such matters.

Name of Accomplishment: Angle Fly Conservation Easement Modification

When Initiated: 2005

Current Status: Ongoing

Description: Provide legal services and prepare legislation to authorize modification of an existing conservation easement for the Angle Fly Preserve in the town of Somers, pursuant to the Town's request.

Name of Accomplishment: Youth Bureau Legislation

When Initiated: N/A

Current Status: On-going

Description: Assisted the Youth Bureau in the preparation of legislation, A&C resolutions and contracts to implement its numerous youth development programs.

Name of Accomplishment: New Bid for Process Servers for Family Court Proceedings

When Initiated: 2017

Current Status: Complete

Description: Assisted DSS and Law Department Family Court Bureau with preparation of a Bid Document to solicit proposals for process servers for Family Court proceedings. Also prepared A&C and contract.

Name of Accomplishment: Office of Tourism & Film

When Initiated: N/A

Current Status: On-going

Description: Prepared various contracts and A&C resolutions for Office of Tourism & Film to promote Westchester County as a leisure and business tourism destination. Representative matters include:

- Destinations of New York State
- I Love NY Grant
- Hudson Valley Tourism
- New York State Tourism Industry Association

Name of Accomplishment: SICG Radio Project

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the Department of Information Technology in connection with the project involving the implementation of systems for first-responder communication via the VTAC National Interoperability channels, and implementation of microwave links to support the VTAC systems, enhance reliability of wireline connections between 60-Control, DPS HQ, and County sites, and connect emergency communication facilities in Westchester, Rockland, Putnam, and Orange.

Name of Accomplishment: Radio System Replacement Project

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the Department of Information Technology, in connection with the project to replace three large mission-critical voice radio communication systems currently utilized for police, fire, EMS, and transit (Bee Line) operations in the County, and to the Department of Transportation, in connection with its efforts to ensure proper and effective integration among the various communications systems.

The existing system vendor, Motorola, will provide best effort maintenance and support for parts and repair service for the three systems through December 2019. In addition to obsolete technology concerns Public Law 112-96 enacted by Congress mandates the County to relinquish T-Band radio frequencies used by the fire, EMS, and the BEE-Line Bus system.

Request For Proposals have been issued for a staged replacement. Stage One calls for the immediate replacement of all end-of-life equipment to ensure continued reliable communications for public safety first responders and the Bee-Line Bus System. Stages Two and Three expand trunked system coverage for on-street and in-building portable coverage, expansion/replacement of the UHF trunked system with properly-integrated 700 MHz equipment.

Name of Accomplishment: Mountain Avenue, Mount Kisco

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice and counsel, research and drafting services to the Departments of Emergency Services, Public Safety and Information Technology in connection with a lease agreement of space at the Mountain Avenue radio site owned by the Village of Mount Kisco, In order to install County radio communication and related equipment in order to make public safety radio communication in the Village and the surrounding area more seamless.

Name of Accomplishment: Lightpath IMAs

When Initiated: 2016

Current Status: Completed

Description: Provide legal advice, drafting and other counsel to DoIT to allow municipalities in the County to receive telecommunications services from Cablevision Lightpath, Inc. through its contract with the County through 2021.

Name of Accomplishment: New York Medical College Long Term Lease

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice and counsel, research and drafting services to DPW and PLN in connection with a request from the New York Medical College whereby the 1982 Lease Agreements would be terminated and replaced with a new master lease agreement covering all four buildings and additional land for a total of ninety (90) years. NYMC would demolish Munger Pavilion and replace it with a new building.

Name of Accomplishment: Westhelp Lease Surrender and New Lease with Mayfair

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice and counsel, research and drafting services to DPW to enter into a long term lease and easement agreement with Mayfair Housing LLC, and Mayfair Housing Development Fund Company, Inc., whereby Mayfair will lease the former Westhelp premises from the County for a 65 year term in order to undertake development, rehabilitation and operation of an affordable housing project consisting of approximately fifty-four (54) residential rental units exclusively for low and moderate-income senior citizens aged 62 and older. Greenburgh will surrender its lease agreement with the County simultaneous with the execution of the lease with Mayfair.

Name of Accomplishment: Kitchawan Preserve

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice and counsel, research and drafting services to PRC whereby the County will waive its Right of First Refusal on certain real property located adjacent to the Kitchawan Preserve in the Town of Yorktown, New York, pursuant to a 2001 Right of First Refusal Agreement with the Kenneth S. Warren Institute, Inc and accept from Kitchawan Barns LLC, (“Purchaser”) a new Right of First Refusal. In addition, the County will enter into a license agreement with the Purchaser wherein they will have use of one parking space in the parking area in exchange for which they shall, at their sole cost and expense, undertake some work to repair and embellish the parking area and roadway.

Name of Accomplishment: Child Fatality Review Team

When Initiated: 2010

Current Status: Ongoing

Description: Provide legal assistance to the Family Court Bureau regarding the Child Fatality Review Team by preparing A&C resolutions and preparing and reviewing the related agreements.

Name of Accomplishment: Airport Privatization RFP Process

When Initiated: January 2017

Current Status: Ongoing

Description: Provide legal services and coordinate with expert outside Airport counsel and Frasca and Associates to assist the Administration/Board of Legislators Task Force in preparing the RFP for proposals to privatize the Airport in accordance with the FAA privatization pilot program and then carrying out the process and procedures set forth in the RFP. Provide related legal assistance to the Task Force for the procurement that resulted in the contract with Frasca and Associates and the separate procurement of specialized 3P counsel, which resulted in the contract with Squire Patton and Boggs.

Name of Accomplishment: Outside Aviation Law Counsel

When Initiated: 2010

Current Status: Pending BOL Approval

Description: Prepared legislation, A&C and contract to authorize the County to renew its contract with the Wicks Group to provide legal counsel to County regarding various issues affecting the County Airport. Also coordinate all legal questions that require expert counsel assistance for the Airport. Also coordinate requests from other departments related to non-Airport aviation law questions involving unmanned aircraft systems (a/k/a drones).

Name of Accomplishment: IMA with Village of Tarrytown for Planning Dept. to Assist with Revision of the Village's Comprehensive Plan

When Initiated: January 2017

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the Department of Planning in connection with an IMA whereby Planning will assist in preparation of documents for compliance with New York State Department of State grant requirements and oversee and manage work of consultant(s) hired by Village for update of Comprehensive Plan and amendments to Zoning Code.

Name of Accomplishment: Stuart's Fruit Farm, Somers – Agricultural Conservation Easements

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice, counsel, legal research, and drafting services to the Department of Planning to facilitate the acquisition of two Agricultural Conservation Easements in conjunction with the Town of Somers, Westchester Land Trust, Inc. and Scenic Hudson, Inc. The purpose of the Conservation Easements, which will run with and bind the Property in perpetuity, is to conserve viable agricultural land by preventing the conversion of the Property to non-farm uses.

Litigation Bureau

FEDERAL COURT CASES:

Avery Whittle v. Dr. Ulloa, Warden Volmer, Captain Smiley, et al.

When Initiated: 2015

Current Status: Court granted motion to dismiss on behalf of Warden Volmer and Captain Smiley (“County Defendants”).

Dollars Saved: Difficult to determine. Plaintiff was seeking over \$280,000.00 against the County defendants in compensatory and punitive damages.

Description: This was a Section 1983 federal civil rights action in which the plaintiff, an inmate at the County Jail, alleged that he received cruel and inhuman treatment as a result of deliberate indifference to his medical needs. The Court granted the County Defendants’ motion to dismiss on the grounds that the complaint failed to state a cause of action.

Ronald Ackridge v. Westchester County Department of Corrections, et al.

When Initiated: 2016

Current Status: Case settled for \$1,250.

Dollars Saved: Difficult to determine.

Description: Plaintiff alleged he was subject to excessive force by a correction officer while he was detained by the Department. Complaint survived a limited motion for summary judgment on the issue of the prison reform litigation act. Due to the inherent risks of litigation and costs associated with discovery, and in order to avoid the further expenses of litigation, the case was settled.

Roger Smith v. County of Westchester et al., (FMLA)

Roger Smith v. County of Westchester et al., (Title VII)

When initiated: 2009 (FMLA); 2012 (Title VII and New York State Human Rights Law, “NYSHRL”)

Current status: Both cases settled for a total of \$90,000.00.

Dollars saved: It is difficult to determine how much money was saved.

Description: Plaintiff, a former Correction Officer was terminated in 2009 pursuant to Section 73 of the NYS Civil Service Law. In his FMLA case, plaintiff claims that he was denied FMLA and retaliated against for requesting FMLA. In his Title VII and NYSHRL case, he claims that he was discriminated against on the basis of his race and retaliated against for filing an EEOC complaint.

Hossu v. Putnam County et al.,

When initiated: 2016

Current status: United States District Judge Cathy Seibel granted the County defendants’ motion to dismiss and dismissed this case against us on May 22, 2017.

Dollars saved: It is difficult to determine how much money was saved.

Description: Plaintiff, who was indicted by a Putnam County Grand Jury for rape in 2013, and subsequently acquitted by a jury in 2014, claims that Assistant District Attorneys Fred Green and Ken Borden violated his 14th Amendment rights pursuant to 42 U.S. C. Section 1983.

Smythe v. Yonkers et al.

When initiated: 2016

Current status: The Court granted the County’s motion to dismiss this case against the County on June 21, 2017.

Dollars saved: It is difficult to determine how much money was saved.

Description: Plaintiff asserted twelve claims including false arrest/imprisonment, malicious prosecution, conspiracy, denial of a right to a fair trial, and battery, against the District Attorney and several assistant district attorneys.

Lowman v. Kosmogiannis

When initiated: 2015
 Current status: This case was discontinued voluntarily on January 7, 2016 after this office filed a motion to dismiss.
 Dollars saved: It is difficult to determine how much money was saved.
 Description: Plaintiff, who was incarcerated at the Westchester County Jail, claimed a First Amendment violation of his right to free exercise of religion.

Babykutty et al. v. County of Westchester

When initiated: 2013
 Current status: This case was settled for \$299,000.00 prior to trial.
 Dollars saved: It is difficult to determine how much money was saved.
 Description: Plaintiffs (18) filed suit claiming discrimination under title VII based upon their race and national origin following their demotion on January 1, 2013 due to budgetary reasons.

Vasquez v. County of Westchester et al.

When initiated: 2013
 Current status: This case was settled for \$380,000.00.
 Dollars saved: It is difficult to determine how much money was saved.
 Description: Plaintiff alleged that County defendants retaliated against her in violation of the First Amendment to the U.S. Constitution.

Hakim Lowery v Westchester County Department of Correction, et al.

When Initiated: 2015
 Current Status: Complaint dismissed with prejudice.
 Dollars Saved: Difficult to determine.
 Description: On March 31, 2016, former pre-trial detainee Hakin Lowery (“plaintiff”) commenced a federal lawsuit against County Defendants alleging that in April 2015, he was denied use of a private video visitation station to speak with his criminal defense attorney. On August 5, 2016, the County moved to dismiss the Complaint. On February 10, 2017, the Court issued a decision adopting the County’s arguments, dismissing the Complaint.

Glenn P. Butler v Police Officer Joaquim Brito & County of Westchester

When Initiated: 2015
 Current Status: Complaint dismissed with prejudice.
 Dollars Saved: Difficult to determine
 Description: On December 11, 2015, Joaquim Brito (“plaintiff”) commenced a lawsuit against County Defendants alleging that he was subject to illegal search and seizure, unlawful arrest, false imprisonment, illegal detention and malicious prosecution when he was arrested for allegedly avoiding a DWI checkpoint. On November 11, 2016, the County moved to dismiss the First Amended Complaint. On May 15, 2017, The Court issued a decision adopting the County’s arguments and dismissing the Complaint with prejudice.

Jameel Davis v Westchester County Family Court, et al.

When Initiated: 2016
 Current Status: Complaint dismissed without prejudice.
 Dollars Saved: Difficult to determine.
 Description: On December 8, 2016, Jameel Davis (“plaintiff”) commenced a federal lawsuit against the State of New York alleging violations of a host of civil rights as a result of the garnishment of his wages to pay child support. On June 29, 2017, the County moved to dismiss the action. On September 26, 2017, the Court granted the County’s motion, dismissed all but one of the plaintiff’s causes of action with prejudice, and granted plaintiff leave to amend. As of today’s date, plaintiff has not taken advantage of such leave.

Courthouse News v. Idoni

When Initiated: 2017
 Current Status: Case settled at no cost
 Dollars Saved: Difficult to determine. However, the New York County Clerk settled a substantively identical case at a cost of \$335,000.
 Description: Courthouse News instituted this litigation relating to immediate access to electronically filed complaints. This matter was settled with an agreement by the County Clerk to continue the access program in place, without any admissions by the Clerk or findings in favor of Courthouse News.

Gomez v. City of Yonkers, et al.

When Initiated: 2016
 Current Status: Case dismissed
 Dollars Saved: Difficult to determine.
 Description: Plaintiff filed a federal civil rights lawsuit against the City of Yonkers, the County of Westchester, and several individuals, relating to drinking water concerns. Following motions to dismiss by all defendants, the matter was dismissed, with prejudice, as plaintiff failed to state a claim.

Levin v. County of Westchester

When Initiated: 2016
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: This putative class action lawsuit related to the UV treatment of drinking water in Water District No. 1. Following a motion to dismiss by the County, the matter was dismissed with prejudice as the County was already implementing appropriate water treatment measures in accordance with a schedule set forth in a consent decree with the EPA.

Moses v. Westchester County, et al.

When Initiated: 2010
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff, the representative of the estate of an inmate who died from injuries sustained at the Westchester County Jail in 2001, brought this action claiming that policies of the Jail were responsible for the criminal use of force that occurred. The District Court granted summary judgment in favor of the County, dismissing the case, finding that the use of force employed by the correction officer, who was not represented by the County, was in violation of Jail policy and that there was no liability on behalf of the County.

105 Mt. Kisco Assoc., et. al v. Carozza, Westchester County Department of Health, et. al

When Initiated: 2015

Current status: Second Motion to Dismiss Pending.
Dollars Saved: Unable to quantify; potentially millions.
Description: Prior to transfer to outside counsel, the County submitted a motion to dismiss, and successfully argued that only one remediation action could occur on the site that was contaminated by uranium in the 1940s, and the statute of limitations found in the CERCLA statute applied to remediation activities that previously occurred on the site. Therefore, as a result of the motion to dismiss, an entire category of costs was disallowed from the suit. Plaintiffs filed an amended complaint and a second motion to dismiss is pending.

STATE COURT CASES

Pettiganano v. The Town of Greenburgh, the County of Westchester, Albert Steinthal and Susan Steinthal

When Initiated: 2016
Current Status: The Court granted the County's motion to dismiss.
Dollars Saved: Difficult to determine.
Description: Plaintiff alleged that on August 30, 2015, at approximately 12:00 p.m., while he was lawfully on his property located at 17 Maplewood Road in Hartsdale, a decayed and unhealthy tree located on defendants' adjacent property fell on his home as a result of the alleged negligence of defendants.

Lua v. The City of New Rochelle, the New Rochelle Public Library, the Westchester Library System and County of Westchester

When Initiated: 2016
Current Status: After the County moved to dismiss the summons and complaint, plaintiffs agreed to withdraw their action against the County.
Dollars Saved: Difficult to determine.
Description: Plaintiff alleged, among other things, that on May 14, 2016, at approximately 11:00 AM, she tripped and fell on a raised sidewalk flagstone adjacent to a parking lot at the New Rochelle Public Library, located at 1 Library Plaza, New Rochelle, NY 10801, as a result of the County's alleged negligence.

Sonia Vaughn v. County of Westchester and City of Mount Vernon

When Initiated: 2016
Current Status: The Court granted the County's motion to dismiss.
Dollars Saved: Difficult to determine.
Description: Plaintiff alleged, among other things, that on July 8, 2015, at approximately 9:43 a.m., while she was walking in the westbound direction on West 1st Street in the City of Mount Vernon ("City"), she was caused to trip and fall over a sharp metal object protruding from the ground that appeared to be a damaged meter pole in front of 120 West 1st Street.

Anthony Bianco v. County of Westchester, et al

When Initiated: 2015
Current Status: Plaintiff agreed to discontinue the case against the County during discovery.
Dollars Saved: Difficult to determine.
Description: Plaintiff alleged that on March 22, 2015, at approximately 2:30 pm, while he was walking on Lester Avenue, at its intersection with Hillside Avenue in the Village of Mamaroneck, he stepped down onto a 12" gas valve box located in the roadway near 823 Lester Avenue. Plaintiff further alleged that the gas valve box was sunken and depressed and surrounded by broken and uneven asphalt that constituted a tripping hazard.

Declan Moylan v. Westchester County Department of Corrections, et al.

When Initiated: 2015

Current Status: The court granted the County's motion to dismiss for failure of the plaintiff to comply with the notice of claim requirement under New York General Municipal Law Section 50(e).

Dollars Saved: Difficult to determine.

Description: Plaintiff alleged, among other things, that on September 28, 2013, while he was an inmate, he sustained a serious injury when he fell at the County Jail at the exit of the bathrooms and showers where water collects as a result of the County's negligence.

Baxter v. Con-Ed of New York, The County of Westchester and The City of Mount Vernon

When Initiated: 2017

Current Status: The Court granted the County's motion to dismiss.

Dollars Saved: Difficult to determine.

Description: Plaintiff alleged, among other things, that on July 20, 2016, while she was on the roadway in the vicinity of 514 Gramatan Avenue near its intersection with Grand Street, in Mount Vernon, NY, she tripped and/or slipped and fell.

Michael Fahy vCounty of Westchester, et al

When Initiated: 2015

Current Status: During discovery and after the County presented the parties to this matter with an affidavit indicating it did not have any involvement with the accident location, the parties to this matter agreed to discontinue the case against the County.

Dollars Saved: Difficult to determine.

Description: Plaintiff alleged that on December 9, 2014, he tripped and fell as a result of an accumulation of snow and ice on the parking lot/sidewalk/curb area adjacent to Back Street in Croton Falls.

Stathopoulos v. County of Westchester and The Village of Hastings-on-Hudson

When Initiated: 2016

Current Status: Case settled for \$40,000.

Dollars Saved: It is difficult to determine, but jury verdicts for similar injuries range from \$95,000 - \$225,000.

Description: Plaintiff alleges that on August 3, 2015, at approximately 4:30 p.m., as he went to cross Warburton Avenue in the Village of Hastings-on-Hudson, he stepped off of the sidewalk and into a large pothole in front of 574 Warburton Avenue, causing him to fall. As a result, plaintiff claims that he sustained a fracture to his right shoulder involving the upper portion of the humerus bone.

Greene v. United Water Westchester Inc. and County of Westchester

When Initiated: 2015

Current Status: Case settled for \$5,000.

Dollars Saved: Difficult to determine.

Description: Plaintiff alleged that on October 31, 2014, she tripped and fell over a defective water vault located on Brook Street in front of 193 Brook Street in Scarsdale, New York.

DeSantis v. County and D & M Electrical Contracting, Inc.

When Initiated: 2016

Current Status: Case settled for \$10,000.

Dollars Saved: Difficult to determine.

Description: Plaintiff alleges that on July 3, 2015, at approximately 9:00 p.m., while she was walking in the Kensico Dam Plaza County Park and proceeding on a walkway, she was caused to fall as a result of an alleged defective, broken and unsafe condition on the walkway. As a result thereof, plaintiff alleges that she fractured her left ankle.

Mary McGann v. Westchester County, et al.

When Initiated: 2016
 Current Status: Case discontinued as to County
 Dollars Saved: Difficult to determine.
 Description: Plaintiff fell on an icy sidewalk on bridge overpass in Yonkers in the vicinity of the Bronx River Reservation. After providing sworn affidavits regarding no prior notice of defect, plaintiff and co-defendants discontinued the action against the County by stipulation.

Wadsworth v. County et al.

When Initiated: 2016
 Current status: The Court granted the County's motion to dismiss.
 Dollars Saved: It is difficult to ascertain the amount of dollars saved.
 Description: Plaintiff asserts causes of action for false arrest/false imprisonment, assault and battery and general negligence in connection with her probation sentence which she claims should have expired two months earlier.

S.M., et al. v. City of New York, et al.

When Initiated: 2016
 Current Status: Complaint dismissed with prejudice.
 Dollars Saved: Difficult to determine.
 Description: On October 31, 2016, two Westchester County correction officers commenced an action against the City of New York, the Westchester County Department of Correction, the Westchester County Medical Center, and Correction Care Solutions alleging that they contracted tuberculosis at the Westchester County Jail from an inmate as a result of each Defendant's negligence. On November 20, 2016, the County moved to dismiss the Complaint. On February 22, 2017, the Court adopted the County's arguments and dismissed the action as against the County.

Steven Mooney v. Westchester County Department of Corrections, et al.

When Initiated: 2014
 Current Status: Case settled for \$200,000.00.
 Dollars Saved: Difficult to determine. Plaintiff was seeking millions of dollars in compensatory and punitive damages as well as attorney's fees.
 Description: Plaintiff was assaulted by another inmate while being detained at the Jail on a probation violation. It was alleged that the assailant was improperly classified and housed in the location with the plaintiff, and that the Correction Officer assigned to the area failed to protect him during the fight. The plaintiff suffered serious injuries including facial fractures and facial paralysis.

Evelyn Garcia v. Westchester County, et al.

When Initiated: 2014
 Current Status: Matter was dismissed after the County moved for summary judgment.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff fell in a drainage pipe that was left uncovered and hidden by grass. It was the County's position that we were not responsible for maintaining the suspect area.

Christopher Sculti et al. v Westchester County, et al.

When Initiated: 2016 and 2017
 Current Status: Matter was dismissed after the County moved for summary judgment on two separate cases.
 Dollars Saved: Difficult to determine.

Description: Plaintiff alleges that his constitutional rights were violated as a result of a search and seizure of his property. He claims that the District Attorney was liable for conversion of his property as no charges have been filed. Both complaints have been dismissed.

Lydia Antoncic v. Westchester County

When Initiated: 2015

Current Status: Case settled for \$175,000.00.

Dollars Saved: Difficult to determine.

Description: Plaintiff fell off of her bicycle after riding into a pothole on bicycle Sunday and tore her anterior cruciate ligament requiring surgery.

Progressive Insurance a/s/o Rachel Webb v. Westchester County

When Initiated: 2015

Current Status: Settled for \$5,000.

Dollars Saved: Costs of Litigation.

Description: Plaintiff brought a subrogation action in connection with a car accident their insured had on a County roadway where the car depressed into a manhole because of an apparent faulty manhole cover. Upon completion of discovery, the matter was settled.

Rachel Webb v. Westchester County

When Initiated: 2014

Current Status: Settled for \$47,500.

Dollars Saved: Difficult to determine.

Description: Plaintiff brought an action relating to a car accident where the car she was driving, on a County roadway, depressed into a manhole because of an apparent faulty manhole cover. Upon completion of discovery, the matter was settled.

Stinson, et al v. Westchester County

When Initiated: 2014

Current Status: Settled for \$295,000.

Dollars Saved: Difficult to determine.

Description: Plaintiff brought a negligence action after the truck Mr. Stinson was driving was struck by an unmarked County Police car being driven by an off-duty Westchester County Police Officer. As a result of the incident, Mr. Stinson had to undergo spinal-fusion surgery. The matter was settled during the discovery phase of the proceedings.

Cesar Chicaiza v. County of Westchester, et al.

When Initiated: 2012

Current Status: Case settled for \$3,000,000.00, which was paid by GTS Construction's insurance carrier.

Dollars Saved: Difficult to determine. But because matter settled within contractor's insurance carrier's policy limits, County avoided contribution to the settlement.

Description: On October 14, 2011, at about 11:00 am, plaintiff, an employee of County contractor GTS Construction Corp., fell from a ladder while working on the roof of the welder's shop at the Yonkers Joint Wastewater Treatment Plant located at 501 Hawthorne Avenue, Yonkers. Plaintiff suffered significant physical injuries including complete left knee replacement, left femoral nerve decompression in the pelvis, permanent sensorineural hearing loss left ear, traumatic brain injury, multiple disc herniation and bulging, present and future pain/suffering, and loss of future wages. Plaintiff's action was based on common law negligence and violations of New York State Labor Law §§ 240, 241(6) and 200, including

violations of pertinent sections of the New York State Industrial Code. Matter was eventually referred to outside counsel pursuant to the County Attorney's tender for defense and indemnification to the County contractor. However, plaintiff was claiming \$15 million in damages while the contractor's insurance provided only \$4 million in insurance protection. Therefore, the County remained engaged to protect its interests to the extent of damages that may be awarded beyond the insurance carrier's policy limits.

Leisa English v. City of Mount Vernon, County of Westchester, et al.

When Initiated: 2015

Current Status: Parties discontinued claims against County

Dollars Saved: Difficult to determine.

Description: Plaintiff passenger in a motor vehicle was injured at the intersection of Fourth Avenue and East Fourth Street, Mount Vernon, when another vehicle struck hers. Plaintiff alleged improper signage and faulty traffic control devices that contributed to the accident. After discovery was completed the County Attorney was able to convince the parties of the County's lack of ownership over the accident location and traffic devices. Thereafter, the parties agreed to discontinue their claims and cross-claims against the County.

Jacqueline Fitzpatrick v. City of Rye, County of Westchester, et al.

When Initiated: 2015

Current Status: Parties discontinued claims against County.

Dollars Saved: Difficult to determine.

Description: Plaintiff suffered personal injuries when she tripped and fell over broken, uneven asphalt while walking across Highland Avenue, Rye. Plaintiff alleged improper maintenance by defendants. The County does not own the roadway but does have manholes near accident location. After extensive discovery, the County Attorney established that the accident did not occur near any of its facilities. The parties thereafter discontinued the action against it.

Joseph Gragnaniello v. County of Westchester, et al.

When Initiated: 2014

Current Status: Case settled for \$2,875,000.

Dollars Saved: Difficult to determine.

Description: Plaintiff, an employee of County contractor Unity Mechanical Corp., was injured on May 30, 2013, when he fell from a ladder while working in the County's boiler room located in basement at 110-111 Martin Luther King Boulevard. Plaintiff suffered significant physical injuries including rotator cuff tear, multiple disc herniations, hip replacement, psychological injury, and alleged traumatic brain injury. Plaintiff's claims were based on common law negligence and violations of New York State Labor Law §§ 200, 240(1), 241(6) as well as New York State Industrial Code violations. After extensive discovery, two independent mediation sessions, and court involvement, the parties agreed to the settlement for the above amount.

City of Rye, Sack, and Mecca v. Westchester County Board of Legislators, et al

When Initiated: 2016

Current status: Resolved; on appeal.

Dollars Saved: Difficult to determine.

Description: The County successfully opposed this Article 78 proceeding regarding the SEQR review of certain activities at Playland. A dismissal of the aciton was granted based upon the Department of Planning not being a proper party, the Petitioners not having standing, and the City of Rye not being an Involved Agency.

SMALL CLAIMS

Runge Trucking v. Westchester County Department of Social Services

When Initiated: 2017
 Current Status: Withdrawn
 Dollars Saved: \$5,000
 Description: Plaintiff filed a lawsuit against the County alleging that he was not paid \$5,000 relating to moving costs incurred on behalf of DSS. We successfully vacated a default judgment that Plaintiff had gained, and ultimately convinced the plaintiff to withdraw the lawsuit.

STATE DIVISION OF HUMAN RIGHTS (“SDHR”)/EQUAL EMPLOYMENT OPPORTUNITY COMMISSION (“EEOC”)/ US DEPARTMENT OF EDUCATION OFFICE OF CIVIL RIGHTS (“OCR”) MATTERS:

Y.L. vs. Westchester County Department of Corrections

When Initiated: 2016
 Current Status: Dismissed
 Dollars Saved: Difficult to determine.
 Description: Complainant alleged religious discrimination because he was allegedly forced to work on the Sabbath. The EEOC dismissed the charged based upon the County’s response.

A.V. vs. Westchester Community College

When Initiated: 2017
 Current Status: The EEOC dismissed the case.
 Dollars Saved: Difficult to determine.
 Description: Complainant alleged sex and age discrimination and retaliation. The EEOC found that the information obtained did not establish a violation of the applicable statutes.

E.O. v Westchester County Department of Health

When Initiated: 2015
 Current status: The EEOC dismissed the case.
 Dollars Saved: Difficult to determine.
 Description: Complainant alleged unlawful discriminatory practice in violation of Title VII of the Civil Rights Act of 1964, as amended and claimed that she was discriminated against on the basis of her gender and retaliated against in connection with not being selected for a promotion form the eligible list.

G.T. vs. Volunteers of America – Greater New York, Inc. & Westchester County Department of Social Services

When Initiated: 2016
 Current Status: The charges were dismissed.
 Dollars Saved: Difficult to determine.
 Description: Complainant filed a verified complaint with the New York State Division of Human Rights (“SDHR”) alleging that he was denied housing at the Grasslands Homeless Shelter as a result of his emotional support animal. The County served its position statement in reply to the Complainant’s

allegations on October 26, 2016. On November 16, 2016, the SDHR issued a determination of No Probable Cause after investigation and dismissed the Complaint.

RECOVERY OF MEDICAID CLAIMS/LIENS:

New York Social Services Law enables the County’s Department of Social Services (“DSS”) to procedurally enforce its substantive right to pursue repayment from the responsible third parties by the placement of a lien for public and medical assistance on personal injury claims and suits against third parties to the extent of the expenditures made on the recipient’s behalf. The Social Services Law (“SSL”) also authorizes the placement of claims on estate assets for any assistance received by the decedent ten years prior to the date of death. DSS’ recovery is realized when the claim of the public assistance recipient is resolved and/or when the estate is settled by the executor or administrator.

In the Matter of M.L.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$89,457.23 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

Estate of C.M.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$10,000.00 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of A.Q.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$90,000 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of J.P.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$1,286.00 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

Estate of A.M.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$295.59 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of D.M.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$359.79 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of R.M.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$6,687.66 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of M.D.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$514.52 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of A.M.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$1,436.59 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of O.L.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$523.41 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of S.N.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$1,496.62 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of K.H.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$7,108.64 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of D.B.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$5,000.00 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of D.C.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$201.17 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of D.S.

When initiated: 2016
 Current Status: Resolved.

Dollars Saved: Recovery of \$123.00 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of L.M.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$432.54 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of D.B.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$4,271.12 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of L.P.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$3,887.30 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Temporary Assistance monies.

In the Matter of J.A.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$154.23 in Medicaid expenditures and \$674.36 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Medicaid and Temporary Assistance monies.

In the Matter of K.G.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$3,346.75 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Temporary Assistance monies.

In the Matter of S.D.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$47,369.04 in Medicaid expenditures and \$1,280.00 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Medicaid and Temporary Assistance monies.

In the Matter of A.R.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$7,020.91 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of L.A.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$1,236.58 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of C.A.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$512.36 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of I.H.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$25,426.82 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of R.S.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$5,755.98 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of E.K.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$4,377.37 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of B.C.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$5.70 in Medicaid expenditures and \$1,732.25 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Medicaid and Temporary Assistance monies.

In the Matter of C.S.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$8,905.35 in Medicaid expenditures and \$4,094.65 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Medicaid and Temporary Assistance monies.

In the Matter of D.S.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$15,140.59 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of Y.C.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$6,730.74 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of J.R.

When initiated: 2017
 Current Status: Resolved.
 Dollars Saved: Recovery of \$449.31 in Medicaid expenditures, and \$1,701.39 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Medicaid and Temporary Assistance monies.

In the Matter of T.B.

When Initiated: 2013
 Current Status: Resolved
 Dollars Saved: Recovery of \$747.11 in Medicaid expenditures.
 Description: The Medicaid recipient received a recovery from a personal injury matter. The County successfully asserted its right pursuant to SSL§104-b to recover Medicaid expenditures relative to the personal injury.

In the Matter of C.M.

When Initiated: 2016
 Current Status: Resolved
 Dollars Saved: Recovery of \$25,144.86 in Medicaid expenditures
 Description: This recovery is from the proceeds of the sale of the real property of a permanently institutionalized.

Estate of S.B.

When Initiated: 2016
 Current Status: Resolved
 Dollars Saved: Recovery of \$106,907.28 in Medicaid expenditures.
 Description: The County recovered the expenditure of Medicaid benefits made on behalf of the decedent from the Estate.

In the Matter of J. T.

When Initiated: 2016
 Current Status: Resolved
 Dollars Saved: Recovery of \$15,743.95 in Public Assistance expenditures and \$626.63 Medicaid expenditures

Description: The recipient of Public Assistance and Medicaid received a recovery from a personal injury matter. The County successfully asserted its right to recover both Medicaid and Public Assistance expenditures relative to the personal injury

In the Matter of S.M.

When initiated: 2016

Current Status: Resolved.

Dollars Saved: Recovery of \$500 in Medicaid & \$7,302 in Public Assistance expenditures.

Description: The County successfully asserted its right to recover Medicaid expenditures and negotiated a reduction without the need for a hearing. The County also recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of S.M.

When initiated: 2016

Current Status: Resolved.

Dollars Saved: Recovery of \$1,329 in Public Assistance expenditures.

Description: The County successfully asserted its rights to recover Public Assistance monies.

In the Matter of O.A.

When initiated: 2016

Current Status: Resolved.

Dollars Saved: Recovery of \$2,383 in Medicaid expenditures.

Description: The County successfully asserted its right to recover Medicaid expenditures and negotiated a reduction without the need for a hearing

In the Matter of A.L.

When initiated: 2017

Current Status: Resolved.

Dollars Saved: Recovery of \$51,000 in Medicaid expenditures.

Description: The County successfully asserted its right to recover Medicaid expenditures.

In the Matter of M.H.

When initiated: 2016

Current Status: Resolved.

Dollars Saved: Recovery of \$62,888 in Medicaid expenditures.

Description: The County successfully asserted its right to recover Medicaid expenditures

In the Matter of F.E.

When initiated: 2016

Current Status: Resolved.

Dollars Saved: Recovery of \$13,000 in Medicaid expenditures.

Description: The County successfully asserted its right to recover Medicaid expenditures

In the Matter of L.S.

When initiated: 2016

Current Status: Resolved.

Dollars Saved: Recovery of \$1,375 in Medicaid expenditures.

Description: The County successfully asserted its right to recover Medicaid expenditures

In the Matter of J.M.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$82,925 in Medicaid expenditures.
 Description: The County successfully asserted its right to recover Medicaid expenditures

In the Matter of R.C.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovered \$677.71 in Medicaid expenditures.
 Description: The County successfully asserted its rights pursuant to SSL §104-b and §369 to recover Medicaid expenditures made as a result of a personal injury to the recipient, and from the recipient's estate funds.

In the Matter of T.P.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovered \$2,020.79 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of G.M.

When Initiated: 2010
 Current status: Resolved.
 Dollars Saved: Recovered \$2,515.15 in Medicaid expenditures, and \$14,246 in Public Assistance.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and successfully asserted its rights, and recovered Public Assistance rendered to the recipient.

In the Matter of I.M.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovered \$143.85 in Public Assistance.
 Description: The County successfully asserted its rights and recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of D.B.

When Initiated: 2009
 Current status: Resolved.
 Dollars Saved: Recovered \$686,005.41 in Medicaid expenditures.
 Description: The County successfully collected all Medicaid expended during the lifetime of the recipient from the SNT at its dissolution.

In the Matter of L.D.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovered \$1,255.13 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of G.M.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$31,328.53 in Medicaid expenditures.

Description: The County successfully sought repayment of Medicaid improperly paid.

In the Matter of A.B.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$25,740.86 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's estate funds.

In the Matter of J.W.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$43.98 in Medicaid expenditures, and \$3,212.8 in Public Assistance.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and successfully asserted its rights, and recovered Public Assistance rendered to the recipient.

In the Matter of B.R.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$123,891.12 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for an Ahlborn Hearing.

In the Matter of R.F.

When Initiated: 2012

Current status: Resolved.

Dollars Saved: Recovered \$145.33 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of D.H.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$225.54 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of J.M.

When Initiated: 2013

Current status: Resolved.

Dollars Saved: Recovered \$521.63 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for an Ahlborn Hearing.

In the Matter of P.F.

When Initiated: 2015

Current status: Resolved.

Dollars Saved: Recovered \$12,408.05 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's estate funds.

In the Matter of L.M.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$2,069.2 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for an Ahlborn Hearing.

In the Matter of W.M.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$1,104.85 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for an Ahlborn Hearing.

In the Matter of S.C.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$10,879.71 in Public Assistance.

Description: The County successfully asserted its rights, and recovered Public Assistance rendered to the recipient.

In the Matter of R.D.

When Initiated: 2015

Current status: Resolved.

Dollars Saved: Recovered \$3,000 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for an Ahlborn Hearing.

In the Matter of R.C.

When Initiated: 2011

Current status: Resolved.

Dollars Saved: Recovered \$240 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's estate funds.

In the Matter of C.G.

When Initiated: 2011

Current status: Resolved.

Dollars Saved: Recovered \$170,138.45 in Medicaid expenditures.

Description: The County successfully collected all Medicaid expended on behalf of the recipient from the SNT at its dissolution.

In the Matter of D.S.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$97,921.15 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of C.D.

When Initiated: 2011

Current status: Resolved.

Dollars Saved: Recovered \$107,725.77 in Medicaid expenditures.

Description: The County successfully collected all Medicaid expended during the lifetime of the recipient from the SNT at its dissolution.

In the Matter of R.B.

When Initiated: 2014

Current status: Resolved.

Dollars Saved: Recovered \$1,277.18 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of D.C.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$8,835.14 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's estate funds.

In the Matter of E.O.

When Initiated: 2017

Current status: Resolved.

Dollars Saved: Recovered \$13,020.59 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's funds.

In the Matter of C.D.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$13,020.59 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's estate funds.

In the Matter of L.M.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$3,130.71 in Public Assistance.

Description: The County successfully asserted its rights, and recovered Public Assistance rendered to the recipient.

In the Matter of F.S.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$72,936.08 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's estate funds.

In the Matter of E.S.

When Initiated: 2014

Current status: Resolved.

Dollars Saved: Recovered \$11,009.66 in Medicaid expenditures, and \$746.3 in Public Assistance.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of J.F.

When Initiated: 2014

Current status: Resolved.

Dollars Saved: Recovered \$105.83 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of G.R.

When Initiated: 2017

Current status: Resolved.

Dollars Saved: Recovered \$55,593.7 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's estate funds.

In the Matter of F.L.

When Initiated: 2014

Current status: Resolved.

Dollars Saved: Recovered \$102,486.18 in Medicaid expenditures.

Description: The County successfully asserted its rights pursuant to SSL §369 to recover Medicaid expenditures from the recipient's estate funds.

In the Matter of J.H.B.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$1,530.15 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of G.H.B.

When Initiated: 2016

Current status: Resolved.

Dollars Saved: Recovered \$845.75 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of P.I.

When Initiated: 2015

Current status: Resolved.

Dollars Saved: Recovered \$3,489.42 in Medicaid expenditures.

Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

ONGOING

Various Boards

Provide legal advice to the Westchester County Ethics Board, Plumbing Board of Examiners, and Electrical Licensing Board, including attendance at Board meetings.

Collection matters

Provide legal advice to the departments of Health, Solid Waste Commission, and Consumer Protection in connection with the collection of fines imposed.

Freedom of Information Law (FOIL) Advisement

Provide FOIL advisement to all County departments, boards, and commissions.

Personnel and policy issues

Provide legal advice to all County commissioners/department heads, boards, and commissions on personnel and policy issues.

Public Improvement Liens

Provide legal advice to the Departments of Public Works and Transportation and Finance in connection with liens filed on public improvement projects.

Public Safety Civil Unit

Provide legal advice to the Department of Public Safety's Civil Unit in connection with eviction warrants, arrest warrants, orders of attachment and seizures and property executions.

Supplemental Needs Trusts

Review special needs trusts submitted to the County Department of Social Services to ensure compliance with New York Social Services Law and Medicaid.

Wage Garnishments/Court and Trust Funds

Provide legal advice to the Department of Finance and College in connection with wage garnishments of County employees and the release of Court and Trust funds.

Family Court Bureau

Name of Matter: Westchester County Child Fatality Review Team (CFRT)

When Initiated: January 1, 2006

Current Status: August 2013, successfully applied for an additional \$52,066 to extend the term of the CFRT Coordinator. Coordinator hired in April 2011. Since June of 2013, the Westchester CFRT has been the sole official Cribs for Kids partner in Westchester County. Each contract year, the Westchester CFRT Cribs for Kids Program has provided between 40-85 pack and plays to health care and social service professionals who in turn provide the pack and plays to the families/caregivers of infants who otherwise would be without a safe sleeping environment. The Westchester CFRT's Cribs for Kids program requires that these professionals provide in-home education and follow-up for the families/caregivers of the infant in need. Education includes training in the safe use and set up of the pack and plays itself and in-home education related to safe-sleep practices, including checking for compliance. In addition to the pack and plays, the program provides fitted crib sheets imprinted with safe-sleep messaging in Spanish and English; written materials in Spanish and English; and board books with safe sleep messaging. In addition, the team's efforts resulted in a County wide safe sleep campaign.

Dollars Saved: Grant will relieve current staff of coordination responsibilities. Grant supports public information regarding child deaths and prevention of future child deaths. **Description:** The mission of the CFRT is to improve the safety and well-being of children by changing systems that are failing to protect children. A secondary mission is to educate the public about how children die. This is accomplished through a collaborative effort by government and community based agencies. The emphasis of the CFRT is a team approach to review child fatalities, review processes and history of the family with WCDSS, and to implement practices aimed at preventing future deaths.

Name of Matter: Westchester County Multi-Disciplinary Team (MDT)/CAC

When Initiated: May 2004

Current Status: Active. Established an OCFS accredited MDT in early 2009. Received CAC accreditation from the National Children's Alliance and NYS OCFS in late 2009. Forensic interview training received during 2012.

Dollars Saved: The Westchester County MDT seeks to reduce trauma to child victims of abuse by ensuring that allegations of child sexual and/or physical abuse are investigated in a collaborative fashion. Collaborative sex abuse investigations eliminates duplication of efforts and ensures successful Family Court prosecution thereby decreasing the amount of monies expended by Westchester County for investigation and prosecution of child sex abuse matters.

Description: The Westchester County MDT members include the District Attorney's office, the County Attorney's office, Victim's Assistance Services, local police jurisdictions and DSS. It also includes the Children's Advocacy Center, and works collaboratively with the CAC to ensure timely case reviews, case tracking and joint investigations.

Name of Matter: Training Initiative –Westchester County Department of Probation

When Initiated: January 2008

Current Status: Ongoing

Description: In an effort to have juvenile matters identified properly and treated appropriately the Family Court bureau attorneys continue to work with and train probation officers as a need is identified, and remain in continuous contact the probation department.

Name of Matter: Training Initiative – Local Police Departments in Westchester County

When Initiated: January 2007

Current Status: Ongoing

Description: In an effort to have juvenile matters identified properly and treated appropriately the Family Court bureau attorneys continue to train local police departments as a need is identified, and remain in continuous contact with the youth police officers in the larger police jurisdictions.

Name of Matter: Westchester County Attorney advising DSS in District Offices

When Initiated: March 2007

Current Status: Active

Dollars Saved: Not Applicable

Description: The CAO currently provides legal services at the DSS District Offices. These services include staffing at the DO's, and lunch and learn trainings as well as monthly meetings with senior DSS staff to review ongoing concerns.

Name of Matter: Westchester County Attorney collaboration with Project Impact (Integrated Municipal Police Anti-Crime Teams)

When Initiated: March 2010

Current Status: Active

Dollars Saved: Not Applicable

Description: IMPACT is a collaboration of partners that include the Westchester County District Attorney's Office, Westchester County Attorney's Office, NYS Department of Corrections & Community Supervision (Parole), Westchester County Probation, NYS Liquor Authority, Westchester County Police, NYS Police, New Rochelle Police, Greenburgh Police Department, White Plains Police Department and the US Attorney's Office. The partnership allows for coordination and information sharing between local, state and federal law enforcement agencies in their unified effort to address illegal guns, gangs, drug crimes, and violent youth offenses.

Name of Matter: Paperless Project

When Initiated: May 2012

Current Status: Active

Dollars Saved: This initiative will save money and time by reducing expense created by copying and storage of unnecessary documents.

Description: The Family Court initiates hundreds of cases each year and these proceedings generate thousands of pages that must be copied and stored. Many of these documents are needed by the attorneys and DSS throughout the proceedings. Commencing in May, 2012 the Family Court Bureau has partnered with IT to facilitate scanning and ensuring that all documents are scanned and that they are accessible to the staff at their work stations.

Name of Matter: Training Initiative – The County Attorney Association of New York, the New York Association of Counties

When Initiated: January 2015

Current Status: Ongoing

Dollars Saved: Not Applicable

Description: In an effort to have juvenile delinquency matters prosecuted and treated appropriately the

Family Court bureau attorneys have collaborated with Albany County Attorney's Office, The County Attorney Association of New York, the New York Association of Counties, and the Albany County Bar Association to provide training for county attorneys and local police departments.