

Department of Law
Office of the County Attorney

2017 Budget Presentation

Robert F. Meehan
County Attorney

Robert P. Astorino
County Executive

Michael Kaplowitz, Chair
Board of Legislators

Mission Statement

The mission of the Department of Law, Office of the County Attorney, is to respond effectively to the needs of County officers, boards, departments and agencies, regarding legal counsel and representation. The County Attorney is charged by law to serve as legal counsel to the County Executive, the Board of Legislators, and to the County and all of its constituent departments, agencies and boards. The mission of the County Attorney is achieved in a variety of ways, including: the rendering of legal advice to County officials and employees in order to assist them on various projects the County is undertaking or contemplating undertaking; the preparation and review of legal documents; the drafting of legislation; the rendering of legal opinions, and the advocacy of the County's interests in trial and appellate courts, including special administrative proceedings. In providing legal services to the County, the Office of the County Attorney endeavors to reduce actual and potential future liabilities and financial exposure of the County and to maximize receipts of monetary sums to which the County may be entitled. The Office of the County Attorney seeks to assure that the County workplaces are free of discrimination and harassment and that County contracts and business opportunities are awarded fairly and openly, with women and minorities having equal access.

In addition the Office of the County Attorney seeks to protect the interests of children, disadvantaged adults, and society from domestic violence and abuse. Our Family Court Bureau acts to safeguard children from acts of abuse and neglect and to establish and collect child support from legally responsible parents. The Office of the County Attorney presents cases of juvenile delinquency to the Family Court in order to protect the public from dangerous juveniles and to rehabilitate juveniles in order to assist them in growing into productive members of society.



Tax Levy

Currently, the Law Department's approved Adopted budget for 2016 is \$10,846,350. The 2017 Law Department Budget proposes an expense budget of \$10,503,691, which is a decrease of \$342,959 over the 2016 Adopted Budget. Our 2017 Budget proposes a negative tax levy of (-3,548,498).

Expenditures

Annual Regular (1010)

The 2017 Requested is a \$74,456 decrease from our 2016 Adopted Budget.

Overtime (1400)

The 2017 Requested is \$500 which is the same as our 2016 Adopted Budget. This amount is based upon work performed by support staff on Election Day and the day after Thanksgiving, which are days the Law Department is required to work despite the County Offices being closed.

Replacement Equipment (2300)

The 2017 Requested is \$17,000 greater than our 2016 Adopted Budget due to the cost of office desk chairs and an upgraded scanner.

Printing & Office Supplies (3600)

The 2017 Requested is an increase of \$850 greater than our 2016 Adopted Budget.

Postage Cost (3700)

The 2017 Requested is a increase of \$200 from our 2016 Adopted Budget.

Equipment Service & Rental (4070)

The 2017 Requested is a decrease of \$250 from our 2016 Adopted Budget.

Membership Fees (4100)

The 2017 Requested is the same as our 2016 Adopted Budget.

Travel & Meals (4110)

The 2017 Requested is the same as our 2016 Adopted Budget.

Telephone Expense (4160)

The 2017 Requested is a decrease of \$1,000.00 from our 2016 Adopted Budget.

OSHA Safety Program (4225)

The 2017 Requested is an increase of \$5,000 from our 2016 Adopted Budget.

Educational Training (4360)

The 2017 Requested is the same as our 2016 Adopted Budget.

Technical Services (4420)

The 2017 Requested is the same as our 2016 Adopted Budget. This expense includes the cost of the Labor Negotiator and Arbitrators. The breakdown of arbitration cases is as follows: 80% of our arbitration fees are attributable to contract grievance and disciplinary arbitrations held with COBA and its members (the other fifteen percent is attributable to SOA, PBA and CSEA contract grievances). In the cases of SOA, PBA and CSEA, the costs of the hearings are evenly split between the respective union and the County. In the case of COBA, the fees are split up to the point where the union has expended \$20,000 on arbitration fees in any given year. Once that threshold is met, the County assumes 100% of the costs for the remainder of that year.

Litigation (4923)

The 2017 Requested of \$500,000 is \$318,000 lesser than the 2016 Adopted Budget.

Interdepartmental Charges

The 2017 Requested Budget is an increase of \$27,477 from the 2016 Adopted Budget. This is due largely to the increase in IT charges.

Revenue

The Law Department's hourly rate charged to all County departments for law services for the current year is \$154.00. The calculated rate for 2017 budget is \$154.00. This hourly rate represents all billable hours produced by our Assistant, Senior and Associate County Attorneys, however it excludes all hours produced by the Assistant Chief Deputy County Attorneys, Chief Deputy County Attorney and County Attorney.

Interdepartmental Revenue (9508)

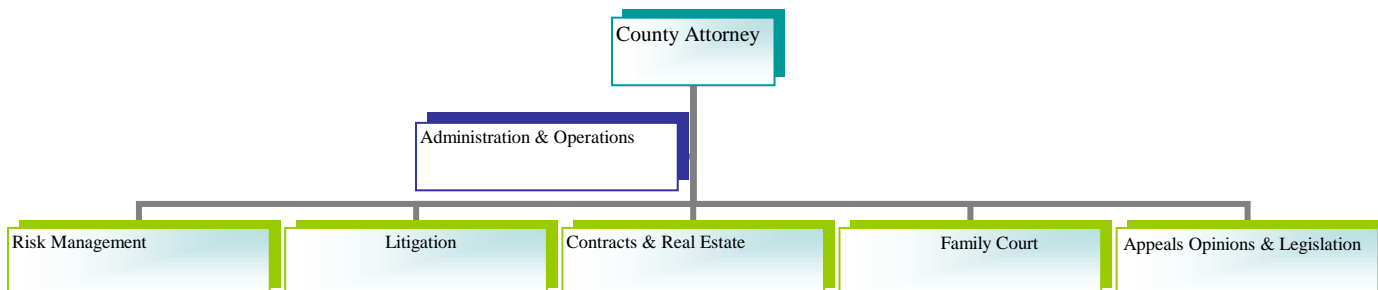
The 2017 allowed figure for Interdepartmental revenue for the Law Department is \$10,467,380. This represents a decrease of approximately \$343,112 over 2016 estimates.

Departmental Revenue

The 2017 allowed revenue figure for Departmental revenue is \$2,788,632. This is a decrease of \$85,470 from 2016 estimates due to a decrease in the hourly rate to Risk Management, Environmental Facilities and Westchester Community College.

Introduction

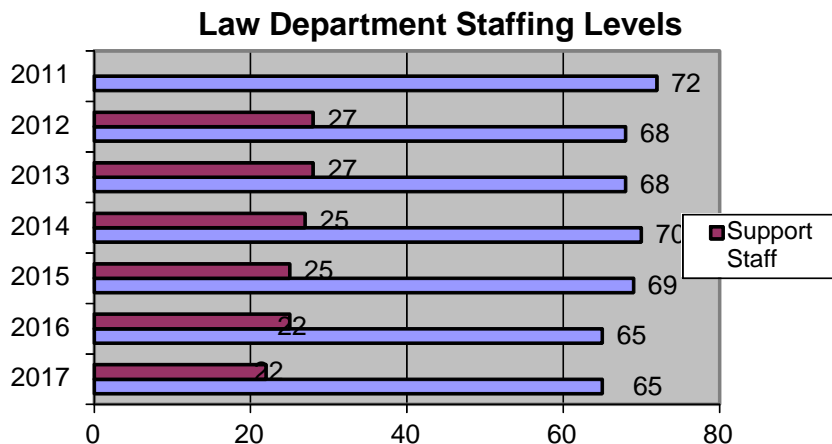
The structure of the Law Department reflects the constant striving to fulfill its duties and responsibilities in the most efficient and responsible manner possible. The Law Department is divided into four bureaus, each of which is focused on the different components of the “Operation of the Law Department”. In addition to the four Bureaus, the Department is responsible for the Office of Risk Management, which evaluates and reviews the County’s risk exposure. The organization of the Department is summarized in the following chart:



The Department of Law occupies approximately 23,000 square feet of space, utilizing the entire sixth floor and a portion of the second and seventh floors of the Michaelian Office Building. The Department is in proximity to the County Executive’s Office, the Board of Legislators, the Federal and County Court House Buildings, the District Attorney’s Office and many of the other County Departments that it serves.

The Law Department’s overall staffing level for 2017 is the same as 2016 for a total of 87 personnel. This number represents the number of authorized positions. The composition of the County Attorney’s office is shown below.

The Law Department staff consists of the following positions (including Risk Management):



Title	Number of Positions
County Attorney	1
Chief Deputy County Attorney	1
Assistant Chief Deputy County Attorney	3
Director of Risk Management	1
Associate County Attorney	6
Senior Assistant County Attorney	18
Assistant County Attorney	36
Special Assistant to the County Attorney	1
Senior Risk Management Analyst	1
Risk Management Analyst	1
Executive Secretary to the County Attorney	1
Program Specialist (Law)	0
Paralegal	3
Sr. Information System Clerk	1
Office Asst. Word Processing	1
Staff Assistant (Risk Management)	1
Legal Secretary II	4
Legal Secretary I	5
Docket and File Clerk	1
Receptionist	1
Total	87

Administration

County Attorney	1
Special Assistant to County Attorney	1
Executive Secretary to County Attorney	1
Receptionist	1
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Appeals, Opinions

Chief Deputy County Attorney	1
Associate County Attorney	1
Sr. Assistant County Attorney	2
Assistant County Attorney	0
Legal Secretary II	0
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Family Court Bureau

Asst Chief Deputy County Attorney	1
Associate County Attorney	2
Sr. Assistant County Attorney	6
Assistant County Attorney	20
Docket and File Clerk	1
Paralegal	1
Legal Secretary II	2
Sr. information System Clerk	1
Legal Secretary I	2
Office Assistant Word Processing	1
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Litigation Bureau

Asst Chief Deputy County Attorney	1
Associate County Attorney	2
Sr. Assistant County Attorney	7
Assistant county Attorney	10
Paralegal	1
Legal Secretary II	2
Legal Secretary I	2
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	25

Contracts Bureau

Asst Chief Deputy County Attorney	1
Associate County Attorney	1
Sr. Assistant County Attorney	3
Assistant County Attorney	6
Legal Secretary II	0
Legal Secretary I	1
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Risk Management

Director of Risk Management	1
Sr. Risk Management Analyst	1
Risk Management Analyst	1
Staff Assistant (Risk Management)	1
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	4

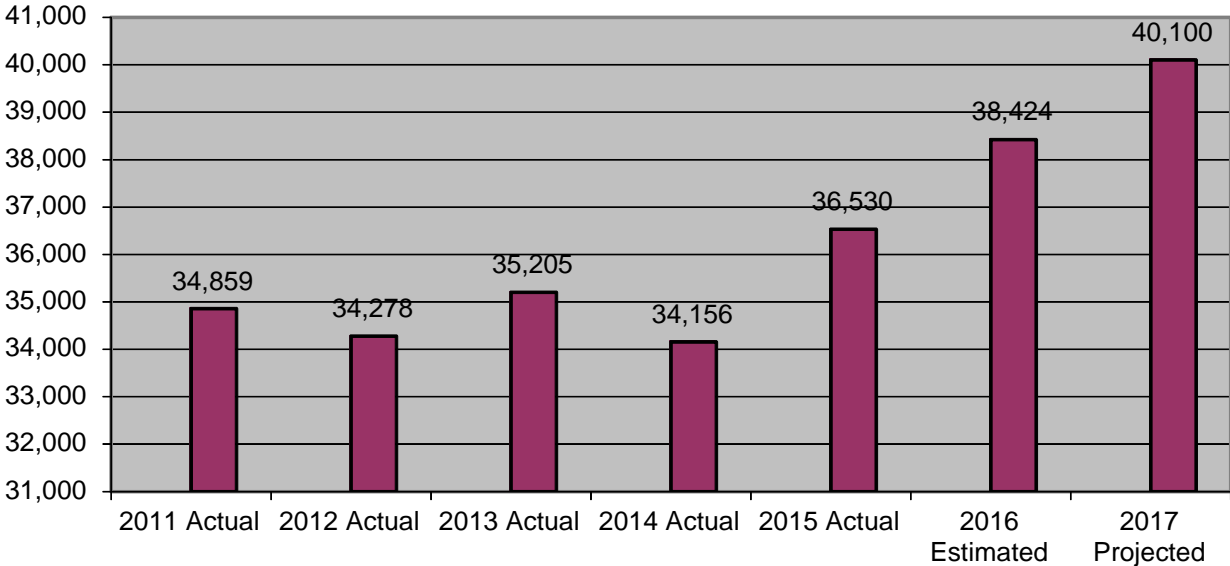
Pursuant to Chapter 158 of the Laws of Westchester County, only the County Attorney and his staff are authorized to provide legal services to the County and its Departments.

While the Law Department Budget has been a partner in reducing its burden on the tax levy, it continues to provide all essential legal services. Very often, the most complicated matters are also the most time sensitive. Managing these matters requires maximum effort and commitment by staff, so that even as cases have risen and their complexity increased, all the necessary services have been provided. The results of these efforts show in the number of notable successes achieved thus far in 2016 by the Office of the County Attorney.

Revenues

The increase in case levels is evident in all bureaus and involves all legally mandated services, including but not limited to family court proceedings, litigation defense of the County, legislation, contract preparation and review, appeals and advisory opinions.

Number of Cases



Breakdown of Cases by Bureau

<i>Bureau</i>	<i>2014 Actual</i>	<i>2015 Actual</i>	<i>2016 Estimated</i>	<i>2017 Projected</i>
Litigation	3,774	3,994	4,005	4,016
Appeals	1,740	1,740	1,850	1,900
Contracts	10,926	11,541	11,208	11,208
Family	17,715	19,255	21,356	22,976
Grand Total	34,156	36,530	38,424	40,100

The Law Department generates two separate revenue streams:

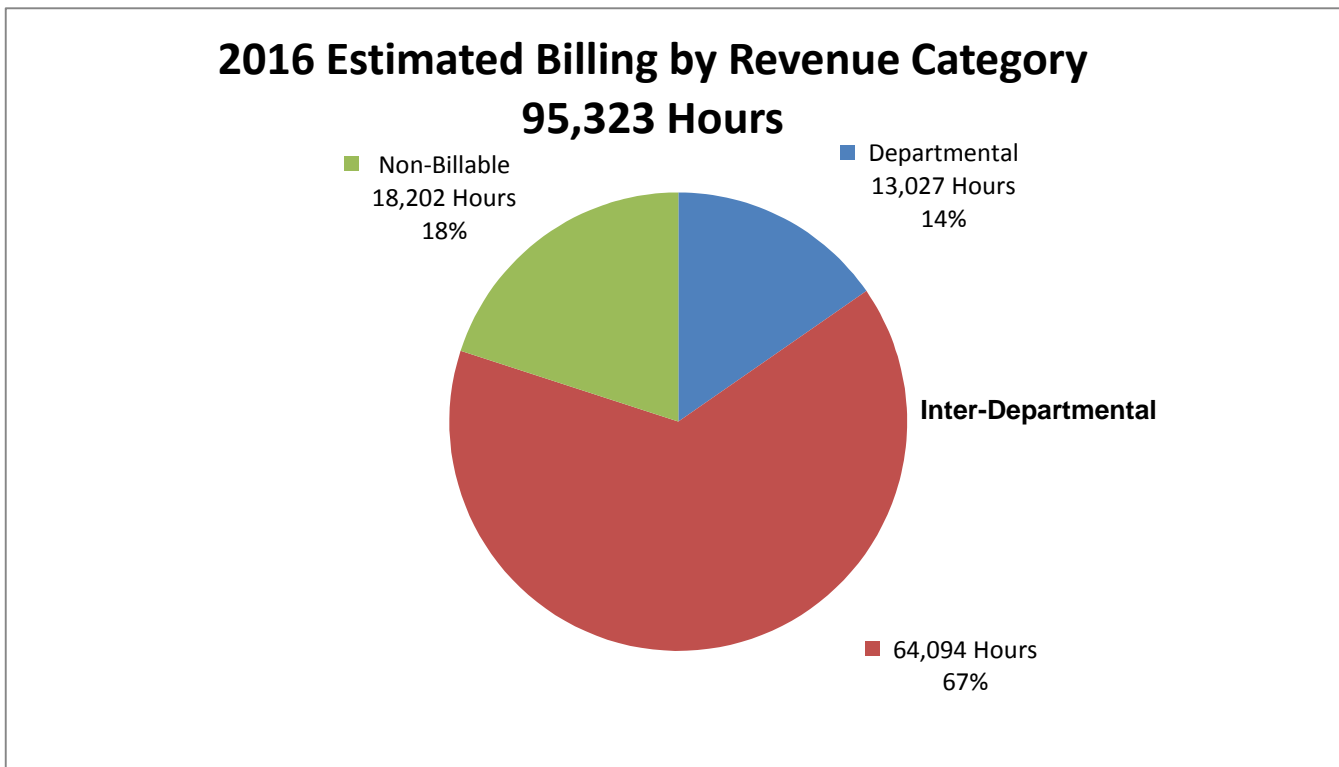
- Departmental revenues are generated through charges billed to other funds including the 6-N Fund, Sewer, Water, and Refuse Disposal District Funds and the Westchester Community College Fund. This billing is generated as a result of legal services that are provided by the Law Department to these entities.

- Inter-Departmental revenues are received from County departments for which the Law Department performs essential legal services. Departments included in this revenue stream include the following: Public Works, Human Resources, Public Safety Services, Parks and Recreation, Planning, County Clerk, Department of Social Services, Community Mental Health, the Budget Department, Finance, the Department of Health, Information Technologies, Probation, Corrections, Transportation, etc.

These billing charges are based on the number of hours that each attorney spends providing legal advice, research or services for each of these Departments on various matters. Charges are based on hourly rates derived from the Law Department’s operating costs and these rates are established each year in conjunction with the Budget Office when the County’s Budget is prepared. The hourly rate is based on the Law Department’s direct and indirect costs, while it excludes the usual and customary expenses incurred in representing our clients in such accounts as contractual services, technical services and litigation. The hourly rate for the current 2016 fiscal year is \$154.00 per hour.

The reason that billable hours are used both with cases handled by this Department and outside counsel is one of accountability and fiscal responsibility. The department being represented is then charged with monitoring their use of attorney’s time much like private practice. It has also allowed the Law Department to clearly track the hours attorneys spend on various legal matters. In short, it is a system of checks and balances for legal work of the County.

Breakdown by Revenue Category

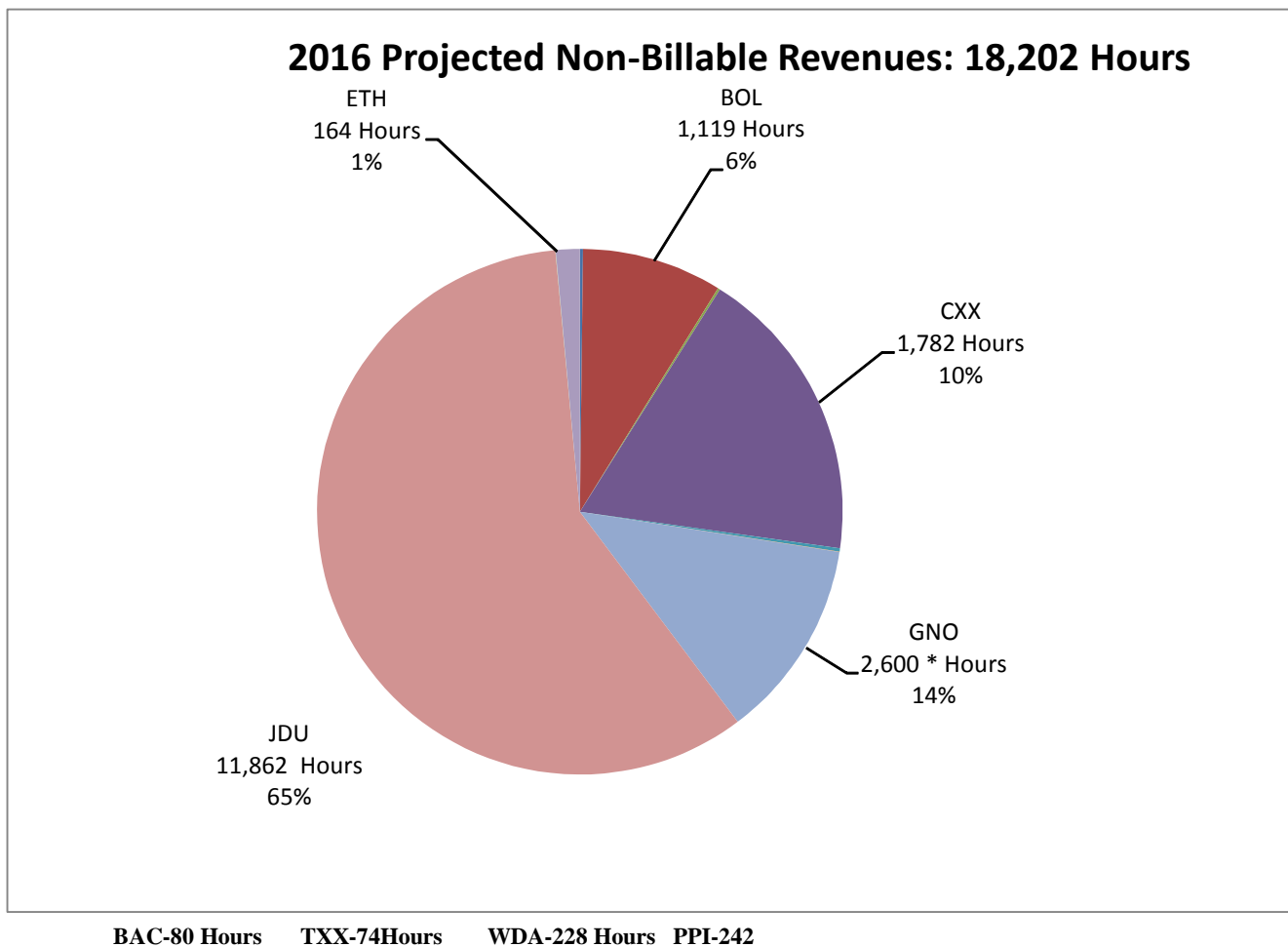


As indicated by the above chart approximately 18% of the Law Department service hours are not billable. This equates to approximately 18,202 hours of client services. This includes services provided to elected officials including the offices of the County Executive, the Board of Legislators and the District Attorney as well as statutorily mandated juvenile delinquency prosecutions. The County Attorney and the Deputies in charge of each bureau do not bill for direct client services or supervision, nor are their hours included in these figures. Notwithstanding the fact that the Deputy County Attorneys do not bill for their time, they are primarily responsible for providing legal advice directly to the Board of Legislators. The Assistant Chief Deputy County Attorney in charge of the Litigation Bureau appears before the Board's Committee on Budget and Appropriations on a regular basis with regard to case settlements, requests to initiate litigation and other various legal matters that require Board of Legislator approval. The Deputy County Attorney in charge of the Contracts and Real Estate Bureau frequently appears before various Board Committees with respect to contractual, affordable housing and energy issues. The County Attorney or his designee attends all of the regular and special meetings of the Board of Legislator and attends, as requested, various Board Committee meetings with regard to proposed legislation or other matters. Non-billable services also include all attorney time billed directly to the Law Department.

The vast majority of the Law Department's revenue that is billable comes from Inter-Departmental billing, which comprises 67% of the attorneys' work time or approximately 64,094 hours for the 2016 fiscal year.

The second largest source of revenue for the Law Department is Departmental billing. Billing to Environmental Facilities, Risk Management, Westchester Community College, and the Division of Solid Waste billing is considered Departmental billing. Each of these Departments contains their own separate funds and therefore their billing is maintained in a separate revenue stream than the Inter- Departmental billing. We estimate that the attorneys will spend approximately 13,027 hours on Departmental matters for the 2016 fiscal year or 14%.

The breakdown of non-billable services is detailed in the chart below:



*These figures exclude the approx. 32 days on average that each attorney submits for leave (annual, personal, sick, extended leave, and County Holidays).

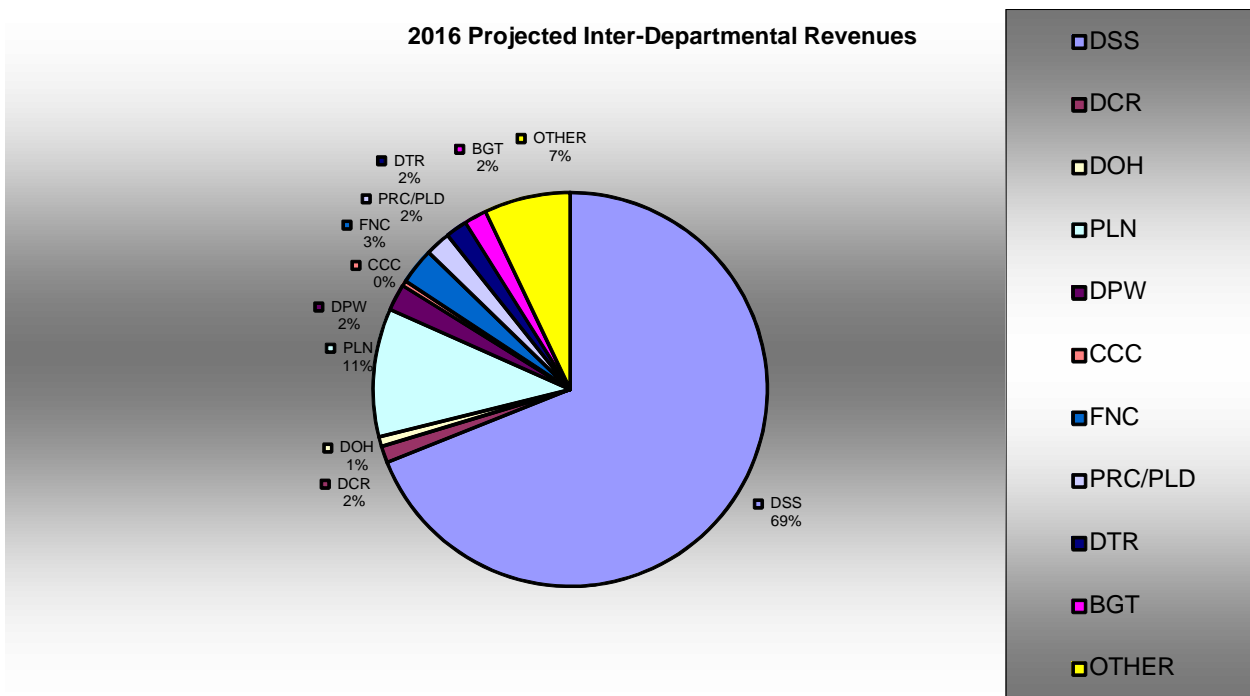
Please be advised that this number represents only the work performed for the BOL by attorneys required to bill for their time. This number does not include the hours devoted to BOL work handled by the Assistant Chief Deputy County Attorneys, the Chief Deputy County Attorney and the County Attorney who do not bill for their time. Since a good portion of the work for the BOL is carried out by the top management of the Law Department, including the estimated time of the Deputies and County

Attorney in the calculation would increase the non-billable hours for the BOL from 6% to approximately 19% of the total number of non-billable revenue.

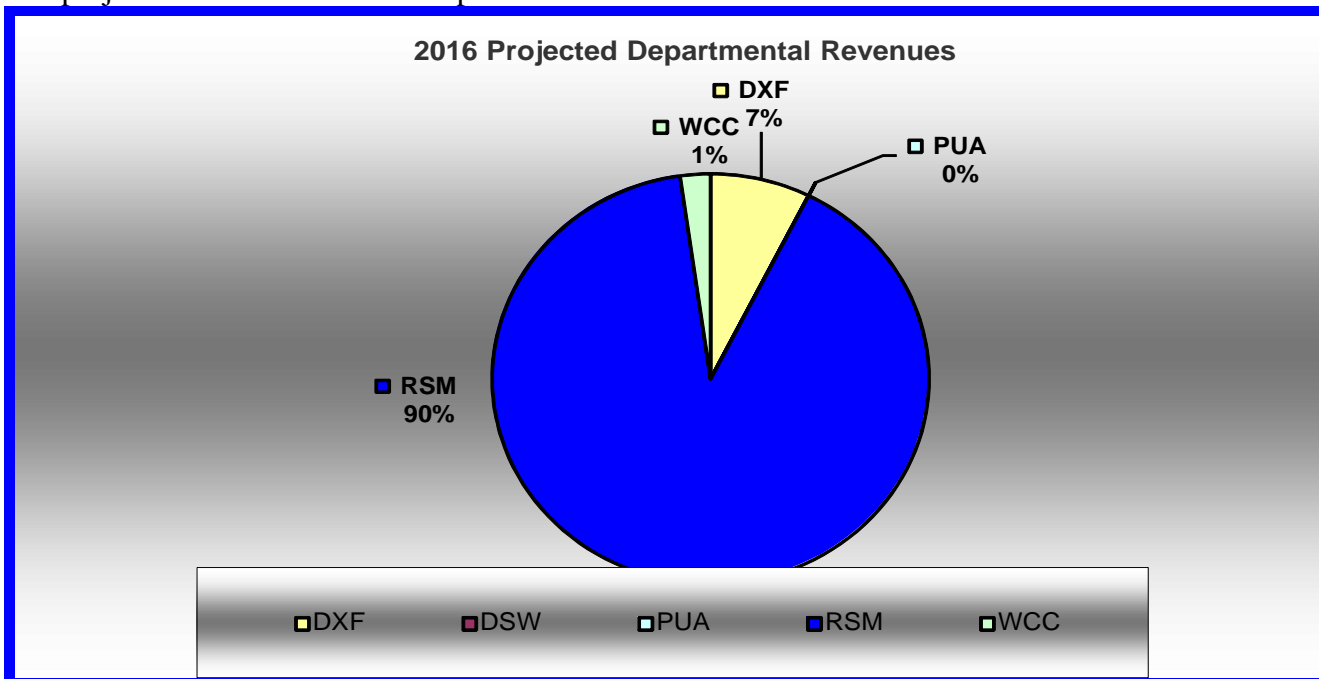
For the fiscal year that will end December 31, 2016 the Law Department is projecting to provide approximately 77,121 hours of billable client services, yielding over \$11,876,634 from both revenue streams: Inter- Departmental and Departmental. If the number of hours for non-billable services is included, then the number of hours for the year increases to approximately 95,323 hours

Moreover in 2016, in addition to the usual workload, including that reflected in the highlights of law department accomplishments for 2016 which follow herein, we anticipate performing extensive legal work related to: implementation of the terms of the ADC stipulation including legislation and potential litigation as necessary and appropriate.

The projected Inter-Departmental breakdown of revenue for 2016 is charted below.



The projected breakdown of the Departmental revenues for 2016 is shown below:



Risk Management’s departmental revenue is derived from reimbursement from the 6-N fund for expenses associated with the investigation and claims management of general, automobile, public officials and medical malpractice liability claims. Staff time is allocable to this function in addition to other indirect costs.

Risk Management Quantitative Indicator’s

	2015 Actual	2016 Estimated	2017 Projected
General Liability	245	225	230
Automobile Liability	44	40	42
Medical Malpractice *	23	30	30
Third Party Recoveries	\$317,604	\$300,000	\$300,000
Driver Abstracts Reviewed	4,550	4,600	4,600
Contracts Reviewed	4,128	4,150	4,150
Safety Related Meetings **	155	160	160

*These are Medical Malpractice claims by inmates against our correctional health services.

** Asbestos Training, Fire Warden Training, Respiratory Protection Training.

The following is a sample of matters handled by the Law Department

Appeals, Opinions and Legislation Bureau

Appeals

The Appeals, Opinions and Legislation Bureau defends and prosecutes all civil appellate litigation on behalf of the county, its departments, boards, commissions, agencies, officers and employees, acting their official capacities. The appeals are heard in state and federal courts. In addition to numerous Family Court Appeals prosecuted by the Bureau, below are representative examples of recent Appeals.

Name of Accomplishment: Matter of Jahred S.

When Initiated: 2016

Current Status: Completed

Description: The Respondent in a Family Court proceeding filed an Order to Show Cause with the Appellate Division requesting a stay of the Family Court's decision extending supervision by the Westchester County Department of Social Services over the Respondent and issuing an Order of Protection against the Respondent on behalf of the subject children. An Affirmation in Opposition was submitted in response to the Appellant's Order to Show Cause. The Appellate Division denied the Order to Show Cause.

Name of Accomplishment: Matter of Deborah P.

When Initiated: 2014

Current Status: Completed

Description: Deborah P. is appealing the Findings of Fact, Conclusions of Law and Judgment, entered August 5, 2014 appointment of a Guardian of the Property and the establishment of a First Party Supplemental Needs Trust. The matter has been fully briefed and submitted to the Appellate Division, Second Department on October 2, 2015. Appellate Division vacated the Guardianship Order & Self-Settled Supplemental Needs Trust was terminated. The County's interest is as remainderman of the Trust.

Name of Accomplishment: Matter of Aminata L.

When Initiated: 2014

Current Status: Completed

Description: Appeal by the mother from an order of the Family Court, dated December 18, 2013 which found that she permanently neglected her children. The matter was fully briefed in the Second Department and the Family Court's Order was affirmed by Decision and Order entered May 6, 2015. By Notice of Motion dated June 8, 2015 Appellant moved for leave to appeal to the Court of Appeals and for poor person relief. By Decision entered on August 27, 2015, Appellant's motions were denied. Appellant, pro se, moved to reargue the dismissal of the motion, the County opposed. The Court of Appeals denied Appellant mother's motion to reargue.

Name of Accomplishment: Matter of Janaesha, William & Omarie

When Initiated: Appeal received in 2014

Current Status: Completed

Description: Appeal by the mother and father from an order of the Family Court of the Westchester County which determined that the Monasha B permanently neglected her three children and Evan J. permanently neglected his son Omarie. All three children were freed for

adoption. Oral arguments were in February 2016. By Decision and Order dated March 17, 2016, the Second Department affirmed the Family Court Order.

Name of Accomplishment: Matter of Ashantewa W. L.

When Initiated: 2015

Current Status: Pending

Description: Appeal from a Decision and Order of the Family Court finding that the Respondent-Appellant Mother had neglected her child. DSS introduced proof at the trial that there were incidents of domestic violence involving the Appellant mother in the presence of the subject child. A Notice of Appeal dated June 23, 2015 was received by this office. Scheduling of oral arguments is pending after the matter was fully briefed in the Second Department.

Name of Accomplishment: Matter of Broomfield v Evans, et al

When Initiated: 2015

Current Status: Pending

Description: Appeal from a Decision and Order of the Family Court which dismissed Petitioner-Appellant Grandmother's petition for visitation with Janaesha E. for lack standing, as Petitioner had not maintained a relationship with the child and it would not be in the child's best interest to reintroduce grandmother. The matter was fully submitted in the Second Department and the Family Court's Order was affirmed by Decision and Order dated June 1, 2016. By Notice of Motion dated July 2, 2016, Appellant sought permission of the Second Department to appeal the Decision and Order dated, June 1, 2016, to the Court of Appeals. The Second Department by Decision and Order on Motion dated August 26, 2016, denied Appellant's motion for permission to appeal to the Court of Appeals. Appellant by Notice of Motion dated September 27, 2016 moved for leave to appeal to the Court of Appeals, which the County again opposed. Decision on the motion is pending.

Name of Accomplishment: Joshua C.

When Initiated: 2015

Current Status: Pending

Description: : Appeal by the father from an order of the Family Court, entered December 12, 2014 terminating his parental rights to his four children, after finding that he permanently neglected the children. The matter has been fully submitted and a decision of the Second Department is pending.

Name of Accomplishment: Matter of China C.

When Initiated: 2013

Current Status: Completed

Description: Appeal from a Decision and Order of the Family Court, entered August 19, 2014, finding that the Respondent-Appellant Mother had permanently neglected her three children and terminating her parental rights. DSS introduced proof at the trial that the Respondent Mother had failed to comply with various conditions imposed following an earlier finding of neglect and that her Children were doing well in their current foster homes. By Decision and Order, entered December 30, 2015, the Second Department affirmed the Family Court Order of Fact-Finding and Disposition

Name of Accomplishment: Rankel v. County of Westchester

When Initiated: 2003

Current Status: Completed

Description: Plaintiff-Appellant appealed from interlocutory Order of the Supreme Court denying his summary judgment motion in a 1983 action asserting that DSS had violated his due process rights in effecting the emergency removal of his three children when one of those children was hospitalized with an unexplained high level of arsenic in her system. By Decision and Order, entered January 13, 2016, the Second Department affirmed the Supreme Court Order. The underlying matter has not yet been restored to the Supreme Court calendar.

Name of Accomplishment: Matter of Amanda P.S.

When Initiated: 2011

Current Status: Completed

Description: Separate appeals by parents from an Order finding they permanently neglected their child and terminating their parental rights. The Family Court found that the parents neither cooperated nor progressed in therapy and that their child wishes to remain with her foster parent. By Decision and Order, entered November 25, 2015, the Second Department affirmed the Family Court Order.

Name of Accomplishment: Matter of Tinisha J.

When Initiated: 2012

Current Status: Completed

Description: The Respondent Father was convicted of Sexual Conduct Against a Child in the First Degree in which the victims were two of his four children and sentenced to a definite term of incarceration of eight years with twenty years of post-release supervision. By Petition filed by DSS, dated March 14, 2012, it was alleged that the Respondent Father had abandoned his Children. Testimony before the Family Court indicated despite being informed of his rights as an incarcerated parent to plan for his Children, the Respondent Father never contacted DSS for that purpose. By four separate Orders, dated September 4, 2014, the Family Court found that the Respondent had abandoned each of the Children and terminated his parental rights. By Decision and Order of the Second Department, entered January 13, 2016, the Orders of the Family Court were affirmed.

Name of Accomplishment: Matter of Anastasia R.

When Initiated: Notice of Appeal received in 2014

Current Status: Completed

Description: Respondent mother Jessica appealed Family Court Decision and Order which terminated her parental rights to her daughter Anastasia on the grounds of permanent neglect as a result of Jessica's repeated incarcerations and drug abuse. Matter was fully briefed and placed on the submission calendar for October 8, 2015. By Decision and Order of the Appellate Division, Second Department dated November 4, 2015, the Family Court's Order was affirmed. No further action necessary.

Name of Accomplishment: Matter of Himallay

When Initiated: Notice of Appeal received in 2015

Current Status: Completed

Description: Respondent mother Cherry appealed Family Court Decision and Order which terminated her parental rights to her daughter Himallay who was originally placed in the care and

custody of WCDSS because at fourteen months, Himallay was malnourished—weighing only 12 pounds— and had sustained multiple bone fractures, a lacerated pancreas, and a lacerated liver requiring her to undergo emergency surgery and remain in the hospital for approximately forty-six days before being placed with a foster family. Subsequently, the Family Court found clear and convincing evidence that Cherry permanently neglected Himallay by failing to take the necessary action to plan for Himallay and prepare for her special needs thereby preventing reunification. Matter was fully briefed and argued on June 13, 2016. By Decision and Order of the Appellate Division, Second Department dated July 6, 2016, the Family Court’s Order was affirmed. No further action necessary.

Name of Accomplishment: Matter of Jonathan J.

When Initiated: Notice of Appeal received in 2014

Current Status: Completed

Description: The non-party appellant attorney for the child appealed the Decision and Order of the Family Court which denied counsel’s request for discovery in a closed action insofar as there was no pending petition or hearing before the Family Court. The briefs have been fully submitted and the matter was placed on the submission calendar for October 15, 2015. By Decision and Order of the Appellate Division, Second Department dated November 12, 2015, the appeal was dismissed as academic as there was no further proceeding to direct the production of documents. No further action necessary.

Name of Accomplishment: Matter of Jaime E.S.

When Initiated: Notice of Appeal received in 2014

Current Status: Completed

Description: A juvenile delinquency petition was brought against this 12 year old child for having started a fire in an apartment that became uncontrollable and ultimately caused damage two multi-family homes. The Family Court, after a probable cause hearing, determined the child to be an incapacitated person. In light of the fact that if the act had been committed by an adult, it would constitute a felony, the Family Court, in accordance with Family Court Act § 322.2, ordered that the child be committed to the custody of the Commissioner of the Office of Mental Health for an initial period not to exceed one year. The attorney for the child appealed to the Appellate Division, Second Department. The Westchester County Presentment Agency submitted its brief on October 2, 2015. On December 30, 2015, The Appellate Division, Second Department, affirmed and notice of entry was sent on January 4, 2016. No further action necessary.

Name of Accomplishment: Matter of Brian F. Jr. & Brycin F.

When Initiated: Notice of Appeal received in 2015

Current Status: Completed

Description: Appeal from Order of Fact-Finding and Disposition of the Family Court, Westchester County (Katz, J.F.C.), dated July 15, 2015, which, entered a finding of neglect against the Appellant. The sole issue raised on appeal is whether the evidence presented was sufficient to support such a finding against the father for having failed to exercise a minimum degree of care to protect his young children. Matter fully briefed. By Decision and Order on Motion dated October 26, 2016, the Appellate Division, Second Department affirmed and notice of entry was sent on October 28, 2016. No further action currently necessary.

Name of Accomplishment: Matter of a Support Proceeding Westchester County Department of Social Services o/b/o Latoya T Thompson v. Randall Williamson

When Initiated: Notice of Motion for Leave to Appeal and Stay received in 2016

Current Status: Completed

Description: Appeal from Order of the Family Court, Westchester County (Schauer, J.F.C.), dated August 17, 2016, which, denied finding equitable estoppel to prevent Respondent Father from denying paternity and which ordered genetic blood testing. By Decision and Order on Motion dated October 20, 2016, the Appellate Division, Second Department denied motion for leave to appeal and denied the stay as academic. No further action necessary.

Name of Accomplishment: Latonia Jones v. County of Westchester et al.

When Initiated: Notice of Motion received in 2016

Current Status: Awaiting the Scheduling of Oral Argument

Description: This is an appeal to the United States Court of Appeals for the Second Circuit from the Opinion and Order of the District Court for the Southern District of New York (Román, U.S.D.J.) entered on December 14, 2015 which dismissed Plaintiff's civil RICO claim only and applied the doctrine established by *Younger v Harris*, 401 U.S. 37 (1971) to stay the federal due process and state law claims pending the outcome of the state family court proceedings. Briefs are fully submitted. Awaiting the scheduling of oral argument.

Name of Accomplishment: Matter of MVM Construction LLC v. Westchester County Solid Waste Commission, et al

When Initiated: Notice of Appeal received in 2015

Current Status: Awaiting Scheduling of Oral Argument and Decision

Description: This case is brought before the Appellate Division Second Department as a by the Decision and Order of the New York State Supreme Court, Westchester County dated on September 28, 2015, which transferred this proceeding for disposition pursuant to CPLR § 7804(g) as to whether the challenged determination was supported by substantial evidence. Specifically, the challenged determination found that MVM had admittedly conducted class C waste removal activities without obtaining a license from the Westchester County Solid Waste Commission, and imposed a fine upon such finding. Said Order of the Supreme Court also denied MVM's application for a judgment declaring that ECL article 27 preempts Westchester County from regulating waste removal activities and precludes the enforcement of the permit requirements under the Westchester County Solid Waste and Recyclables Collection Licensing Law. Briefs were fully submitted by August of 2016. Awaiting the scheduling of oral argument and a final decision.

Name of Accomplishment: Matter of MVM Construction LLC v. Westchester County Consumer Protection Department, et al

When Initiated: Notice of Appeal received in 2015

Current Status: Awaiting Scheduling of Oral Argument and Decision

Description: This case is brought before the Appellate Division Second Department as a by the Decision and Order of the New York State Supreme Court, Westchester County dated on September 22, 2015, which transferred this proceeding for disposition pursuant to CPLR § 7804(g) as to whether the challenged determination denying MVM a Home Improvement License was supported by substantial evidence. Specifically, challenged determination was supported by the testimony of the Deputy Sealer which elicited that on three separate occasions

the license applications for MVM Contracting Corp were either denied or revoked in the years 2000, 2005 and 2008 and Petitioner later admitted that its application for a Home Improvement License contained an erroneous sworn statement of a material fact regarding whether the company or any of its principals had ever had a trade license denied, revoked or suspended; as well as other errors. Briefs were fully submitted by April of 2016. Awaiting the scheduling of oral argument and a final decision.

Opinions

The Bureau provides legal advisory opinions to county officers and officials. The County Attorney also decides appeals under the Freedom of Information Law Appeals from decisions of other county departments and agencies, regarding access to their records.

Legislation

Pursuant to the Laws of Westchester County, the County Board of Legislators has the power to act by Local Law, Act or Resolution. In addition, the County Board of Legislators may request that the New York State Legislature pass state legislation granting the county certain specific powers that it would not otherwise possess under New York State law.

The Appeals Bureau is responsible for reviewing and drafting certain pieces of local and New York State legislation for the County Executive and the County Board of Legislators as may be appropriate under the circumstances. Each request for legislation is initially reviewed for legality, including such issues as whether such legislation would be preempted by state or federal law, whether such legislation requires a mandatory or permissive referendum prior to becoming effective and whether such legislation is subject to SEQRA review. Moreover, assuming legislation is legally appropriate, a committee report including the intent of the law or act, a transmittal letter and a resolution for a public hearing, accompanying all local laws, is also prepared.

Prevention & Training Programs:

Ongoing Initiative Workplace Violence Prevention Program

Description: In a joint effort with Human Resources, Public Safety and Public Works, the Law Department participates in the ongoing development, implementation and monitoring of the County's Workplace Violence Prevention Program. Through the Workplace Violence Prevention Committee, reports and occurrences of workplace violence are monitored and reviewed, and appropriate recommendations made therefrom, in order to track and reduce the occurrence of workplace violence in the County.

Conducting Freedom of Information Law ("FOIL") Training for County Employees

When Initiated: 2004

Current Status: Presentations available upon e-mail request.

Dollars Saved: The dollars that have been saved as a result of this ongoing initiative cannot be calculated by a special dollar figure. Nevertheless, the absence of, or decrease in, the amount of legal opinions and/or lawsuits which may result from errors in responding to requests for release of information certainly results in a cost savings to the County.

Description: This presentation, to be provided by members of the Law Department, will contain information, including the most recent updates to the Freedom on Information Law and general

guidance to County officers and employees who are designated to be the FOIL officers of their particular County department, in accordance with the New York State Public Officers Law and the Laws of Westchester County. The objective of these seminars is to educate County employees on various FOIL issues and procedures.

Contracts Bureau

Name of Accomplishment: Development of Affordable Units that Affirmatively Further Fair Housing in accordance with County Policy and the Housing Settlement.

When Initiated: 1990s

Current Status: Ongoing

Description: Providing various legal services in connection with the development of Affordable Units that Affirmatively Further Fair Housing, including but not limited to: negotiation and preparation of transaction documents, including but not limited to Purchase Agreements, Sale Agreements and Intermunicipal Agreements, legal advice, drafting documents necessary to enable a Declaration of Restrictive Covenants to be filed against the property thereby ensuring affordability, negotiation services and preparation of authorizing legislation including legislation in connection with the use of funds from the following capital projects BPL10 - New Homes Land Act (“NHLA”), BLA01 - Housing Implementation Fund (“HIF”) and BPL50 Fair and Affordable Housing (“AFFH”), as well as Community Development Block Grant (“CDBG”) funds and grants from the New York State Affordable Housing Corporation (“AHC”) in support of the following projects:

- 104 Pine Street, Cortlandt – 1 AFFH homeownership unit;
- 23 General Heath Place, Chappaqua – 1 AFFH homeownership unit;
- 164 Phyllis Court, Yorktown – 1 AFFH homeownership unit;
- Route 22, Lewisboro – 46 AFFH rental units.
- 230 Westchester Avenue, Buchanan – 1 AFFH homeownership unit;
- 602 Route 22, Croton Falls – 1 AFFH homeownership unit;
- 72 Croton Avenue, Cortlandt – 1 AFFH homeownership unit and 1 AFFH rental unit
- 10 West Main Street, Hastings-on-Hudson: 4 units;
- 108 Nottingham, Unit G, Bedford: 1 unit;
- 142 Stone Meadow Drive, Lewisboro: 1 unit;
- 163 Halstead Avenue, Unit 1B, Harrison: 1 unit;
- 194 Ketchum Avenue, Buchanan: 1 unit;
- 22 Pierce Street, Cortlandt: 1 unit;
- 280 Bleakley Street, Buchanan: 1 unit;
- 34 Lockwood Road, Cortlandt: 1 Unit;
- 3771 Valleyview Street, Yorktown: 1 unit;
- 3841 Valleyview Street, Yorktown: 1 unit;
- 5 Liberty Way, Somers: 3 units;
- 734 Warren Avenue, Mount Pleasant: 1 unit;
- 9 Watson Street, Cortlandt: 1 unit;
- 3318 Old Yorktown Road, Cortlandt: 1 unit
- 100 Danforth Avenue, Dobbs Ferry: 10 units
- 15 Granite Springs Road , Yorktown – 1 affordable AFFH ownership unit
- 1633 Strawberry Road, Yorktown - 1 affordable AFFH ownership unit
- 124 Lake Kitchawan Drive, Lewisboro – 1 affordable AFFH ownership unit
- 162 Bedford Road – North Castle – approximately 36 AFFH ownership units
- 324 Saw Mill River Rd. North Castle – 2 affordable AFFH ownership units
- 3271 Lincoln Drive Yorktown – 1 affordable AFFH ownership unit
- 3758 Old Jefferson Valley Road Yorktown – 1 affordable AFFH ownership unit

- 3408 Deerhaunt Street Yorktown – 1 affordable AFFH ownership unit
- 322 Kear Street Yorktown – 12 affordable AFFH rental units
- 437 Saw Mill River Road – 2 affordable AFFH ownership units
- 114 Quail Court Yorktown – 1 affordable AFFH ownership unit
- 208 Centre Street, Buchanon: 1 homeownership unit;
- 6 Myrtle Drive, Somers: 1 homeownership unit;
- 70 West Street, Unit A-8, Harrison: 1 homeownership unit;
- 914 Wynwood Road, Unit 2R, Pelham Manor: 1 homeownership unit;
- 5 Stanley Avenue, Ossining: 1 homeownership unit;
- 240 Halstead Avenue, Unit B2, Harrison: 1 homeownership unit;
- 256 Westchester Avenue, Pound Ridge: 1 homeownership unit;
- 34 Bittersweet Lane, Unit 234, Lewisboro: 1 homeownership unit;
- 17 Broadway, Unit 2C, Harrison: 1 homeownership unit;
- 112 Village Road, Unit F, Yorktown: 1 homeownership unit;
- 130 Nottingham Road, Unit 106B, Bedford: 1 homeownership unit
- 184 Farrague Avenue, Hastings-on-Hudson: 2 homeownership units;
- 289 Manville Road, Pleasantville: 1 homeownership unit;
- 300 King Street, Chappaqua: 2 homeownership units;
- 25 Oak Road, Montrose: 1 homeownership unit;
- 27M Walden Court, Yorktown: 1 homeownership unit;
- 57 Route 6, Somers: 58 rental units;
- 20 Tighe Road, Somers: 1 homeownership unit;
- 7 Ridge Street, Bedford: 1 homeownership unit;
- 3 Maryland Avenue, Armonk: 1 homeownership unit;
- 30 Grove Road, North Castle: 1 homeownership unit;
- 41 & 42 Old Bedford Road, Lewisboro: 6 homeownership units;
- 41 Church Street, Bedford: 1 homeownership unit;
- 2906 Old Yorktown Road, Yorktown: 1 homeownership unit;
- 580 Bedford Road, Pleasantville: 1 homeownership unit;
- 72 Croton Avenue, Cortlandt: 1 homeownership unit and 1 rental unit;
- Theodore Fremd, Rye: 41 rental units;
- Saw Mill Lofts, Hastings-on-Hudson: 12 rental units;
- Somers Avalon, Somers: 10 rental units;
- 52 Washington Avenue, Hastings-on-Hudson: 3 units;
- Harrison Avalon, Harrison: 10 units;
- 150 Lake Street, White Plains: 67 rental units;
- 29 South Ridge Rd, Rye Brook: 8 units;
- 229 King Street, New Castle: 1 ownership unit;
- Chappaqua Crossing, New Castle: Creation of, purchase and reconveyance of a 99 year leasehold of a portion of the old Reader’s Digest Bldg. in order to create 28 AFFH rental units;
- Hunts Place, Chappaqua - 36 affordable AFFH rental units;
- Freedom Gardens, Yorktown: 1 rental unit;
- 300 King St., Chappaqua: 2 AFFH homeownership units;
- 100 Cedar St, Dobbs Ferry: 1 AFFH homeownership unit;

- 25 Oak Road, Montrose (Town of Cortlandt) : 1 AFFH homeownership unit;
- 208 Harris Road, Unit DA5, Bedford Hills: 1 homeownership unit;
- 491 Franklin Street, Rye Brook: 1 homeownership unit;
- 20 Oregon Avenue, Unit B, Eastchester: 1 homeownership unit;
- 258 Saw Mill River Road, Millwood (Town of New Castle) : 1 homeownership unit;
- 208 Harris Road, Bedford Hills (Unit HA6) : 1 homeownership unit;
- 70 West St, Unit A-17, Harrison: 1 homeownership unit;
- 60 Oregon Avenue, Eastchester: 1 homeownership unit;
- 240 Halstead Avenue, Unit C-4, Harrison: 1 homeownership unit;
- 106 Lake Kitchawan, Lewisboro: 1 homeownership unit;
- 101 Nottingham, Unit BB, Bedford: 1 homeownership unit;
- 11 Westview Avenue, North Salem: 1 homeownership unit;
- 19 Park Avenue, Goldens Bridge, Town of Lewisboro: 1 homeownership unit, 1 rental unit;
- 1680 Strawberry Road, Unit 5, Yorktown: 1 rental unit;
- 69 Ravensdale, Hastings-on-Hudson: 2 homeownership units, 2 rental units;
- Hidden Meadow at Somers (16 Route 6, Somers); 8 homeownership units, 8 rental units;
- 19 Park Avenue, Lewisboro: 1 homeownership unit, 1 rental unit;
- 10 Byron Place (the Cambium Condominium), Town of Mamaroneck; 10 homeownership units;
- 147 – 175 Railroad Avenue, Bedford; 12 rental units;
- 125-129 S. Fifth Avenue, Mount Vernon – 66 affordable rental units
- 22 Old Route 22, Armonk – 10 affordable AFFH ownership units
- 101 Washington Avenue, Pleasantville - 1 affordable AFFH rental unit
- 176 Henry Street, Buchanan - 1 affordable AFFH ownership unit
- 80 Bowman Avenue, Rye Brook – 16 affordable AFFH ownership units

Name of Accomplishment: Development of Affordable Units that Affirmatively Further Fair Housing in accordance with County Policy and the Housing Settlement.

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice and counsel in connection with the creation of the Development Subsidy Agreement to be entered into between the County and AFFH Developers in order contribute BPL50 development subsidy funding to subsidize the construction of new AFFH Developments.

Name of Accomplishment: Housing Action Council, Inc. (“HAC”): Agreement with HAC to act as County agent with Affordable Housing Purchases

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal advice, counsel, legal research and drafting services in connection with the procurement of an agreement to provide pre-closing document review and post-closing property management and marketing services in connection with the County’s purchase of various condominium units and multi-family homes in order to comply with the Settlement Agreement and to create affordable housing units.

Name of Accomplishment: Financial and Banking Agreements

When Initiated: N/A

Current Status: Ongoing

Description: Providing legal advice, counsel, legal research, and drafting services to the Department of Finance in connection with the procurement and contracting with various banking and financial services on behalf of the County, including but not limited to deposit agreements, collateral agreements, ATM placement agreements, tri-party agreements and bank card agreements.

Name of Accomplishment: Updates to the County Investment Policy

When Initiated: N/A

Current Status: Ongoing

Description: Providing legal advice, counsel, legal research, and drafting services to the Department of Finance in connection with the periodic revisions and updates of the County's Investment Policy, including but not limited the preparation of BOL legislation and revisions to the County's Investment Policy.

Name of Accomplishment: Neighborhood Stabilization (NSP) Program

When Initiated: 2009

Current Status: Ongoing

Description: Providing legal advice and counsel to the Planning Department with regard to the drafting of agreements between 1) the County and the State, and 2) the County and contractors in connection with the NSP affordable housing program, including 200 plus units located in, among others, the municipalities of Yonkers, New Rochelle and Mount Vernon.

Name of Accomplishment: Biological Nutrient Removal ("BNR")

When Initiated: 2008

Current Status: Ongoing

Description: Assisting the County Executive's Office and Department of Environmental Facilities with respect to on-going compliance with the Order on Consent concerning the County's wastewater treatment plants that discharge into the Long Island Sound with the New York State Department of Environmental Conservation, as amended' including but not limited to:

Preparation of intermunicipal agreements and legislation for same in connection with a new Order on Consent between the County and the New York State Department of Environmental Conservation to settle administrative claims concerning alleged violations of the State Pollutant Discharge Elimination Permit for the New Rochelle WasteWater Treatment Plant. Review of proposed new intermunicipal agreement involving all four municipalities and feasibility of consolidation of same.

Preparation of draft intermunicipal agreement with the New York City Department of Environmental Protection regarding Nitrogen Trading as allowed for in the aforesaid Order on Consent.

Name of Accomplishment: Non-New Rochelle WasteWater Treatment Plant Municipalities Excessive Inflow and Infiltration Intermunicipal Agreements

When Initiated: 2015

Current Status: Ongoing

Description: Assisting the County Executive's Office and Department of Environmental Facilities with respect to violations of the County Sewer Act for excessive inflow and infiltration by the "Non-New Rochelle Municipalities" for the County to comply with its State issued SPDES permits, preparation of

intermunicipal agreements and legislation for same, meetings and correspondence with the municipalities that have not yet agreed to intermunicipal agreements.

Name of Accomplishment: County Water Districts No. 1 & No. 3 Major Projects

When Initiated: 2007

Current Status: Ongoing

Description: The Law Department continues to assist the Department of Environmental Facilities in connection with numerous water improvement projects including:

WD305 – Eastview Pumping Station: Preparation of legislation for the design portion of the project, preparing legislation and application to the NYS Comptroller for approval of bonding for the entire project for the design, construction management and construction of a drinking water pumping station, transmission main and all necessary appurtenances to supply UV treated drinking water for Water District No. 3 from New York City Department of Environmental Protection’s UV Disinfection Treatment Facility at Eastview.

Name of Accomplishment: County Water Districts Miscellaneous Projects

When Initiated: 2007

Current Status: Ongoing

Description: Assist the Department of Environmental Facilities in connection with numerous miscellaneous projects including preparation of legislation for capital project WD104-Shaft 22 & Kensico Dam Performance Measurement, Non-Disclosure Agreements, Water Demand Management Planning Agreement with New York City Department of Environmental Protection (“NYCDEP”), monthly conference calls with NYCDEP regarding various matters, including review of proposed new Water Supply Agreements for Water District Nos. 1 and 3, Eastview UV connection and NYCDEP Kensico –Eastview project.

Name of Accomplishment: Watershed / East of Hudson

When Initiated: 2007

Current Status: Ongoing

Description: Assist the Departments of Planning and Environmental Facilities in connection with the disbursement of East of Hudson (“EOH”) grant funds to various municipalities for certain approved water improvement projects, including preparation of legislation and agreements, including:

Storm Water Retrofit Engineering Study – Legislation is prepared and submitted in connection with the disbursement of approximately \$375,000 of EOH Funds to the EOH Corporation for a Storm Water Retrofit Engineering Study. Intermunicipal agreement prepared, approved and executed.

New Castle Sewage Diversion – Extensive negotiations, discussions and legislation prepared and submitted in connection with the disbursement of approximately \$16,000,000 of EOH Funds to the Town of New Castle for a sewage diversion project. Legislation approved. Tri-Party Intermunicipal Agreement prepared, approved and executed.

Town of Bedford Septic System Repair/Replacement Reimbursement Program – Legislation prepared, including an intermunicipal agreement for disbursement of approximately \$3,500,000 of EOH Funds in connection with a program implemented by the Town of Bedford whereby property owners are to be reimbursed up to fifty percent of the costs to repair or replace failing septic systems (the

“Program”). At the request of the Board of Legislators, preparation and submission of new legislation to amend the commencement date of the program so that more property owners would be eligible to participate in the Program. Prepared amendment to the intermunicipal agreement with the Town of Bedford to effectuate same.

Town of Bedford Septic System Repair/Replacement Program – Legislation prepared and currently under review to reduce the EOH Fund amount allocated for this program from \$3.5 million to \$750,000. Amendment to Intermunicipal Agreement to be prepared.

Town of Bedford Salt Facility Replacement – Legislation prepared and submitted including an intermunicipal agreement with the Town of Bedford for disbursement of approximately \$175,000 of EOH Funds for the construction of a new salt storage facility in the Town of Bedford. Intermunicipal agreement prepared, approved and executed.

Town of New Castle Vacuum Truck – Legislation prepared and approved including an intermunicipal agreement with the Town of New Castle for disbursement of approximately \$143,000 of EOH Funds for the purchase of a vacuum truck. Intermunicipal agreement prepared, approved and executed.

Town of North Salem – Legislation prepared and approved including an intermunicipal agreement with the Town of North Salem for disbursement of approximately \$175,000 of EOH Funds for the purchase of a vacuum truck. Intermunicipal agreement prepared, approved and executed.

EOH Fund Administration – Legislation prepared and approved for County reimbursement of approximately \$155,000 of EOH Funds for administrative costs.

CKWIC Stormwater Retrofit Projects Years 1-5 Operation & Maintenance – Legislation prepared for disbursement of \$1.5 million of EOH Fund earnings for operation and maintenance costs for projects undertaken for the first five years of the stormwater retrofit plan.

CKWIC Retrofit \$10 million Amendment – Legislation package prepared, submitted and approved for \$3 million carryover of leftover funds from Years 1-5 Stormwater Retrofit Projects to Years 6-10 of the approved stormwater retrofit plan. Amendments to Letter Agreement, IMA and Corporation Agreement prepared, approved and executed.

Town of Bedford \$9.25 Million Creation of new sewer district - Legislation to be prepared for an EOH Fund disbursement up to \$9.25 million for the creation of a new Katonah/Bedford Hills sewer district. Draft intermunicipal agreement prepared and submitted to Bedford attorneys for review prior to submission of legislation.

Name of Accomplishment: Agreement with NYCDEP For Delegation of Certain Administration and Enforcement Regarding Subsurface Sewage Treatment Systems

When Initiated: 2015

Current Status: Ongoing

Description: Assisted in the review and analysis of terms of, and the legislation to authorize the County to enter into, the NYCDEP-proposed agreement by which it would delegate to the County certain administration and enforcement tasks regarding subsurface sewage treatments systems.

Name of Accomplishment: Sewer District Modifications

When Initiated: N/A

Current Status: Ongoing

Description: Work with the Department of Environmental Facilities to prepare necessary legislation to authorize the modification of various sewer districts throughout the County.

Name of Accomplishment: Temporary and Permanent Easements for Department of Environment Facilities Projects

When Initiated: 2012

Current Status: ongoing

Description: Prepared temporary and permanent easements for the Ludlow Street Pumping Station project and the North Yonkers Trunk Sewer Rehabilitation project, pending approval by the property owner; after approval, will seek all County approvals and recording of the easements.

Name of Accomplishment: NYSDEC's 'NYALERT' System

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal advice regarding, and drafted agreements to facilitate, the County's use of NYCDEC's 'NYALERT' for generating particular, legally-required notifications in certain instances when untreated or partially treated sewage is released.

Name of Accomplishment: Contracts and other matters for Board of Legislators

When Initiated: N/A

Current Status: Ongoing

Description: Assist Board staff with contracts and contract related questions. Prepare numerous contracts for the Board and related A&C resolutions. Draft opinions, provide advice and counsel on numerous issues, attend Committee meetings.

Name of Accomplishment: Legislation for Board of Legislators

When Initiated: N/A

Current Status: Ongoing

Description: Prepare legislation upon request of Board staff for various matters.

Name of Accomplishment: New York Medical College

When Initiated: 2013

Current Status: Ongoing

Description: Provide legal advice, counsel, legal research, and drafting services to the Department of Public Works and Transportation in connection with various projects for the New York Medical College.

Name of Accomplishment: Crawbuckie and Mariondale Portion of the Riverwalk Project in Ossining.

When Initiated: 2011

Current Status: Ongoing

Description: Provide legal advice to the Planning Department and drafted authorizing legislation, easements, agreements with private landowners and an IMA with the Village of Ossining in connection with the planning, design and construction of a new segment of River Walk.

Name of Accomplishment: Miscellaneous Westchester County Health Care Corporation Matters

When Initiated: 1998

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services, including drafting of necessary legislation, Board of Acquisition and Contract resolutions and agreements to various County Departments in connection with:

- FACT forensic medical care for children/adolescents and adults with concerns for acute sexual abuse or assault through its Forensic Acute Care Team (CXX)
- Medical consulting agreement (DCR)
- Tax Lot designation (FNC)
- Review license conditions for WCHCC's subsidiary's contractor's use of North 60 for use related to a construction project.

Name of Accomplishment: Various Department of Emergency Services Matters

When Initiated: 2009

Current Status: Ongoing

Description: Provide legal advice, legal research, draft legislation, resolutions and agreements for the County in connection with:

- Catering Contracts
- Emergency Procurement
- Grants regarding the Urban Area Security Initiative, HazMat; Homeland Security; Local Emergency Management Performance; Statewide Interoperable Communications Grant
- Regional Partnership Agreement with Putnam and Dutchess for the Hazmat grant program;
- Hawthorne and Valhalla fire services agreements
- Sprinter Van agreement with NYS.
- Agreement with Contingency Management Consulting Group agreement to update implementing procedures for the Westchester County Radiological Emergency Response Plan for Indian Point
- Request for Proposal, for Emergency Planning for People with Disabilities Consultant Services
- Request for Proposal, for Consultant Services to Develop Comprehensive Emergency Management Plans for Westchester County and Local Municipalities
- Request for Proposal for HSEEP Exercise Planning Services and Municipal Tabletop Exercises

Name of Accomplishment: Structured Negotiations Agreement with Disability Rights Advocates.

When Initiated: 2015

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services, including drafting of necessary legislation, Board of Acquisition and Contract resolution and agreement, for the County in connection with a Structured Negotiations Agreement with Disability Rights Advocates, a National and International Center for the Advancement of People with Disabilities, a/k/a Disability Rights Advocates, a California non-profit benefit corporation ("DRA") and Westchester Disabled on the Move, Inc., to

among other things, strengthen the County’s emergency preparedness programs and services and ensure compliance with the Americans with Disabilities Act (“ADA”), 42 U.S.C. § 12131 *et seq.*, and Section 504 of the Rehabilitation Act, 29 U.S.C. § 794; and respond to DRA’s allegations that the needs of persons with disabilities are not adequately addressed in the County’s emergency preparedness programs and services, without the need for litigation.

Name of Accomplishment: Tax Anticipation Notes

When Initiated: 2015

Current Status: Completed

Description: Provided legal advice and drafting services to the Finance Department in connection with various financing arrangements including the County’s issuance of tax anticipation notes.

Name of Accomplishment: New York State Environmental Facilities Corporation Short and Long Term Financings/Refundings

When Initiated: 2013

Current Status: Ongoing

Description: Provide legal counsel to the Finance Department in connection with the sale of County bonds to the New York State Environmental Facilities Corporation.

Name of Accomplishment: Various Department of Public Safety Matters

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal advice, legal research, drafted legislation, resolutions and agreements for the County in connection with multiple matters including:

- STOP DWI – multiple agreements
- Shared WCTLTC Ticket Revenue Agreement with Rye Brook and Tuckahoe whereby local police will assist DPS in enforcing the County’s For-Hire Vehicle Law.
- Traffic and Criminal Software (TRaCS) Agreements
- Vehicle Equipment Lease – White Plains
- School Resource Officer – Lakeland
- Cyber Task Force MOU
- SLETPP Grant
- Leadership Training Agreement
- WEST Publishing - Consolidated Lead Evaluation and Reporting (“CLEAR”) system
- School Resource Officer Agreements for the North Salem and Somers Central School Districts.
- IMAs with various municipalities and Putnam to utilize the County’s firing range located in Valhalla, New York.
- SHPS Bomb Squad Initiative Grant Agreement Resolution.
- Century Protective Services, exercise option to renew security guard services at 25 Operations Drive, Valhalla, as well as Department of Social Services facilities in Westchester County, New York.
- IMA with Tuckahoe for overnight dispatch services.

- Government Payment Services, renewal of agreement for a remote pay system which allows scofflaws to pay their fines remotely.
- RJT Motorist Services, license agreement to provide booting and towing services for the WCTLTC for those who violate the County's For-Hire Vehicle Law.
- STOP DWI – multiple agreements
- Shared WCTLTC Ticket Revenue Agreement with Tarrytown –Village Police will assist DPS in enforcing the County's For-Hire Vehicle Law.
- IMA with Mount Kisco to provide supplemental police services
- IMAs with various municipalities in the County for the Mutual Aid and Rapid Response Plan
- Bid for lead abatement services in connection with the County shooting range.
- Drug Enforcement Task Force
- Acceptance of a grant from the United States Department of Homeland Security under its Port Security Grant Program

Name of Accomplishment: Renew Cell Tower License Agreements throughout Westchester County

When Initiated: 2012

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the Real Estate Director and the Department of Information Technology in connection with a renewing license agreements with numerous entities (e.g.: Sprint, AT&T, Con Edison, Entergy, T-Mobile, Verizon) for the use of County property to install, operate and maintain radio equipment and antennas.

Name of Accomplishment: Development of North 60

When Initiated: 2012

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the County Executive's Office in connection with an RFQ for the development of certain County-owned land comprised of the north portion of the Grasslands Reservation ("North 60") for the creation of a research and development complex, which could support the County's growing medical and bio-tech industry. Legislation authorizing the County to enter into a lease agreement with Fareri Associates, LP for the North 60 for the creation of a research and development complex in support of the County's growing medical and bio-tech industry submitted to the BOL for consideration.

Name of Accomplishment: North 60 Consulting Agreements

When Initiated: 2016

Current Status: Ongoing

Description: Provide legal advice and drafting services to the Board of Legislators to enter into with consultants to help review the legislation for the North 60 lease.

Name of Accomplishment: Weekly Review of Acquisition and Contract (A&C)

Resolutions/Preparation for A&C Meetings

When Initiated: Many years ago

Current Status: Ongoing

Description: As the majority of A&C resolutions are prepared by the procuring departments and not the Law Department as a cost savings measure, the Bureau's Asst. Chief Deputy conducts legal compliance

reviews for all resolutions submitted to A&C for Preboard on a weekly basis. Such review includes, but is not limited to, determining whether the resolution belongs at A&C; whether it has received any other necessary prior legal approvals; whether the resolution's cover memorandum and backup materials show compliance with the laws, rules and regulations applicable to the particular procurement; if a problem is found or questions arise, concerning a particular resolution, communicating with the submitting department to attempt to fix the legal issue and/or clarify a legal question concerning the resolution and if the problem or question can be resolved before the A&C meeting, working with the Department on resolving the issue.

The Law Department also provides legal advice and counsel to A&C staff in preparation for weekly A&C meetings and questions that arise relating to bids and bid openings.

Name of Accomplishment: Hangar 26 Lease with EJM Building Services LLC / NETJETS INC.

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal advice, research, drafting and other counsel in regard to a new lease for Hangar 26 at Westchester County Airport.

Name of Accomplishment: Partial Hangar D Lease with PMI Global Services Inc.

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal advice, research, drafting and other counsel in regard to a new lease for PMI Global Services Inc. for a portion of Hangar D at Westchester County Airport.

Name of Accomplishment: Partial Hangar D Lease with Tisma Inc.

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal advice, research, drafting and other counsel in regard to a new lease for Tisma Inc. for a portion of Hangar D at Westchester County Airport.

Name of Accomplishment: Hangar "F" RFP

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to the selection of a new tenant for Hangar "F" at the Westchester County Airport. Legislation currently pending approval of the Board of Legislators.

Name of Accomplishment: Terminal Use Agreement Changes

When Initiated: 2015

Current Status: Ongoing

Description: Provide legal advice, legal research, drafting and other counsel to DPWT to amend Section 712.462 of the Laws of Westchester County in order to make the existing limitations on air carrier operations contained therein more flexible. Legislation is currently under review by the BOL.

Name of Accomplishment: Airport Itinerant Landing Fees

When Initiated: 2015

Current Status: Ongoing

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to instituting landing fees for itinerant aircraft operators utilizing Westchester County Airport to ensure that all aircraft operators using the Airport contribute to its economic base in a fair, reasonable, and non-discriminatory manner.

Name of Accomplishment: Million Air Lease

When Initiated: 2015

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to lease negotiations to create a new fixed base operator at Westchester County Airport.

Name of Accomplishment: Flexjet Lease

When Initiated: 2015

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to lease negotiations for a new prime tenant at Westchester County Airport.

Name of Accomplishment: Beczak Environmental Education Center

When Initiated: 2015

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to lease negotiations to maintain this tenant at 35 Alexander Street in Yonkers.

Name of Accomplishment: South County Trailway

When Initiated: 2014

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to Planning in regard to easements necessary to complete Phase 7 of the Trailway.

Name of Accomplishment: Sale of 375 Executive Blvd., Elmsford

When Initiated: 2015

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DPWT in regard to the sale of underutilized real property. Closing completed in June 2016.

Name of Accomplishment: Contracts for 6-n Counsel

When Initiated: 2013

Current Status: Ongoing

Description: Prepared and processed amendments to contracts for 6-n counsel with various law firms in order to extend them through 2016 (with an option remaining for 2017), in order to ensure that the County can receive legal services and representation in connection with civil litigation in which the County is or may become involved pursuant to the County's liability and casualty self-insurance ("6-n") program.

Name of Accomplishment: Qualified Energy Conservation Bonds

When Initiated: 2011

Current Status: Ongoing

Description: Providing legal assistance, working with County Bond Counsel, to the Office of the County Executive and the Board of Legislators regarding the use of Qualified Energy Conservation Bonds (QECBs) for the purpose of financing the acquisition, construction and installation of renewable energy systems. Most recently, drafted legislation, resolutions, and agreements to authorize the sub-allocation of QECBs to several municipalities for certain projects.

Name of Accomplishment: Facilitating Safety Improvements to Jackson Avenue

When Initiated: 2013

Current Status: Ongoing

Description: Providing legal assistance to the Department of Planning, the Department of Public Works and Transportation, and the Department of Parks, Recreation and Conservation to help them facilitate roadway safety improvements to Jackson Avenue, for which the New York State Legislature authorized the County to alienate a small piece of Sprain Ridge Park.

Name of Accomplishment: Family Justice Center

When Initiated: 2009

Current Status: Ongoing

Description: Providing legal assistance to the Office for Women regarding the Family Justice Center at the White Plains Court Complex, including the preparation, review, and analysis of necessary documents, and the performance of related legal research, in order to ultimately facilitate the provision of services at the Family Justice Center (e.g., counseling services, legal services, and victim advocacy services).

Name of Accomplishment: Merestead Property

When Initiated: 2011

Current Status: Ongoing

Description: Providing legal assistance to the Department of Parks, Recreation, and Conservation for various issues concerning the Merestead property.

Name of Accomplishment: Mountain Lakes Park

When Initiated: 2012

Current Status: Ongoing

Description: Providing legal assistance to the Department of Parks, Recreation, and Conservation for various issues concerning Mountain Lakes Park.

Name of Accomplishment: Various Requests for Proposals for the Department of Parks, Recreation, and Conservation

When Initiated: N/A

Current Status: Ongoing

Description: Providing legal assistance to the Department of Parks, Recreation, including the preparation of the actual requests for proposals and analysis of various related legal questions, for various requests for proposals, including those for the former restaurant (“Cantina”) site in V.E. Macy Park, the former gas stations on the Bronx River Parkway, and the Wightman Mansion at Lenoir Preserve.

Name of Accomplishment: Contract Reform Working Group

When Initiated: 2011

Current Status: Ongoing

Description: Providing legal assistance to the County Executive's Office and its Contract Reform Working Group ("CRWG") in its work to streamline the County's contracting documents and processes. Among other assistance provided to the CRWG to date, Law assisted with legal issues associated with the development and launch of the 'Vendor Portal', including its 'Vendor Document Repository' module, its 'Budget Module', and its 'Claims Module', and the pending 'Program Integrity Module'.

Name of Accomplishment: Order of Succession Documentation

When Initiated: Many years ago

Current Status: Ongoing

Description: Providing legal assistance to the heads of various departments to facilitate the proper documentation and filing of the order of succession for his/her department (including successions under emergency circumstances), in accordance with the Laws of Westchester County and state law.

Name of Accomplishment: Certificates of Authorization for New Heads of Departments for Contract Execution

When Initiated: Many years ago

Current Status: Ongoing

Description: Preparing certificates of authorization, in accordance with Board of Acquisition and Contract resolutions prepared and adopted pursuant to §161.11(1)(b) & (c), for each new department head, in order to permit them to execute contracts for the County on behalf of their department (i.e., one certificate for contracts specifically authorized by the Board of A&C and one certificate for so-called "short forms", which do not require specific Board of A&C approval), and had the prepared certificates signed by the County Executive.

Name of Accomplishment: IT Professional Services Requests For Proposals and Contracts

When Initiated: 2015

Current Status: Completed

Description: Provided legal assistance to the Department of Information Technology by preparing agreements for various professional consulting services for the County to enter into based upon the results of the Requests for Proposals, with which Law previously assisted, and the particular services needed.

Name of Accomplishment: Disposal of County real property no longer needed for County purposes.

When Initiated: 2004

Current Status: Ongoing

Description: Working toward closing certain surplus parcels approved for sale and deemed surplus by the Board of Legislators.

Name of Accomplishment: Taxi Pilot Program for Paratransit Services.

When Initiated: 2011

Current Status: Ongoing

Description: Drafting documents and providing legal advice to the Office for the Disabled and the Department of Public Works and Transportation in connection with the expansion of a Pilot program to

offer taxi rides for eligible customers of the paratransit program in lieu of utilizing paratransit vehicles within certain areas of the County.

Name of Accomplishment: Grant agreements with State Office for Indigent Legal Services and Agreements with the Legal Aid Society of Westchester and the Westchester County Bar Association to provide indigent legal services

When Initiated: 2012

Current Status: Ongoing

Description: Work with the Legal Aid Society of Westchester (the “Legal Aid Society”) to apply for various grants offered by the State Office for Indigent legal Services to improve the quality of indigent legal representation in Westchester County. Extensive discussions regarding amendment to the 2016 Agreement with the Westchester County Legal Aid Society, County Executive’s Office, and Departments of Budget and Finance to provide for grant advances to assist the Legal Aid Society in providing indigent legal representation, prepared amendment to agreement, prepared resolutions for extensions to Distribution #3, Counsel at First Appearance, and Distribution #5, and for the creation of a Regional Immigration Assistance Center, review monthly invoices and grant advance requests submitted by the Legal Aid Society.

Name of Accomplishment: Liens Filed on County Construction Projects

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal advice and counsel to the Department of Public Works and Transportation and Westchester Community College regarding public liens filed by contractors, subcontractors, suppliers and material men in connection with County construction projects.

Name of Accomplishment: Supplementation Services to Update the Laws of Westchester County

When Initiated: N/A

Current Status: Ongoing

Description: Coordinate the updates to the Laws of Westchester County to ensure that the hard copies and on-line versions of the Laws are regularly and accurately updated with all current Local Laws, Executive Orders and all other updates.

Name of Accomplishment: Customer Installation Commitment Agreements with the New York Power Authority (“NYPA”)

When Initiated: 2009

Current Status: Ongoing

Description: Providing legal advice and counsel to the Department of Public Works and Transportation in connection with various ongoing energy savings projects at County-owned buildings such as the reconstruction of the Armory, Building Management System Upgrades at the New Jail and Headquarters Building at the Norwood E. Jackson Correctional Center; Woodfield Cottage and the Radio Tower Control Building in Valhalla, New York; and the Richard J. Daronco Courthouse Tower in White Plains, New York, including drafting of necessary legislation, A&C resolutions and agreements. Pursuant to these agreements, NYPA provides consulting services in connection with increasing energy efficiency and reducing consumption resulting in millions of dollars of long term savings to the County.

Name of Accomplishment: Amendment to PILOT Agreement with NYPA

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal advice and counsel to the Department of Finance, including, preparation of legislation, resolutions and the amendment in order to amend the PILOT agreement with NYPA for the NYPA owned building located at 123 Main Street, White Plains.

Name of Accomplishment: Westchester County Deferred Compensation Board

When Initiated: 1984

Current Status: Ongoing

Description: Act as legal counsel to the Westchester County Deferred Compensation Board (the “Board”), including attendance at Board meetings, preparation of meeting minutes, the provision of legal advice and performance required filings with the State of New York. In addition, legal counsel and advice was provided to the Board in connection with the procurement of an independent consultant to act as the Board’s fiduciary in connection with Board functions, including preparation of the RFP, negotiation and preparation of contract documents and ensuring compliance with all applicable State and Federal laws, rules and regulations concerning retirement plans.

Name of Accomplishment: NYSERDA Grant Application and Agreement to Accept Funding for the Possible Creation of County Microgrid:

When Initiated: 2015

Current Status: Ongoing

Description: Provide legal advice and counsel to the Department of Public Works and Transportation in connection with the preparation and submission of a grant application to the New York State Energy and Development Authority (“NYSERDA”) in connection with the first stage of the three-stage NY Prize Community Grid Competition in order to assess the feasibility of a community microgrid in Valhalla, New York that would initially encompass portions of the Westchester County Complex and the Westchester Community College. Subsequent to the award from NYSERDA, providing legal advice and counsel to the Department of Public Works and Transportation in connection with the negotiation of the grant agreement and negotiation and preparation of a subcontract with NYPA to perform said feasibility study.

Name of Accomplishment: Board of Elections Voting Machine Moving Contracts

When Initiated: 2011

Current Status: Ongoing

Description: Provide legal advice and counsel to the Board of Elections and the Department of Public Works and Transportation in connection with the preparation of bid specifications, contracts, and procurement of the voting machine moving contracts in connection with the primary and general elections.

Name of Accomplishment: Board of Elections Contract Procurements

When Initiated: 2012

Current Status: Ongoing

Description: Provide legal advice and counsel to the Board of Elections in connection with the preparation of bid specifications, contracts, and procurement of contracts, including the purchase of new voting machines, storage of old lever voting machines and all other agreements. Providing assistance to the Board of Elections in connection with the acceptance of various State and Federal Grants.

Name of Accomplishment: Board of Elections Voting Machine Rental Agreements for Municipality and School District Elections

When Initiated: 2016

Current Status: Ongoing

Description: Provide legal advice and counsel to the Board of Elections in connection with the negotiation and preparation of agreements with local Municipalities and School Districts to enable them to use the County-owned optical voting machines in connection with local elections.

Name of Accomplishment: Department of Public Works and Transportation Construction Projects

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal advice and counsel to the Department of Public Works and Transportation in connection with all construction projects including assistance with the preparation of bid documents, bid award protests, contract disputes, default notices, termination letters, negotiations of payments, correspondence with contractor attorneys and assistance with closeout documentation.

Name of Accomplishment: Westchester Community College Construction Projects

When Initiated: N/A

Current Status: Ongoing

Description: Provide legal advice and counsel to Westchester Community College in connection with all construction projects including assistance with the preparation of bid documents, bid award protests, contract disputes, negotiations of payments, correspondence with contractor attorneys and assistance with closeout documentation.

Name of Accomplishment: Kingsland Point Park Lighthouse

When Initiated: 2013

Current Status: Ongoing

Description: Providing legal advice and counsel to the Department of Parks, Recreation, and Conservation in connection with renewal of the IMA with the Village of Sleepy Hollow for the operation of the maintenance and operation of Kingsland Point Park.

Name of Accomplishment: Scout Field

When Initiated: 2009

Current Status: Ongoing

Description: Providing legal advice and counsel, including the preparation of legislation, to the Department of Parks, Recreation, and Conservation in connection with negotiation and preparation of an IMA with the Town of Eastchester for the use, maintenance and operation of Scout Field as well as for various capital improvements.

Name of Accomplishment: Scout Field/Bronxville Flood Mitigation

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice and counsel, including the preparation of legislation, to the Department of Parks, Recreation, and Conservation in connection with negotiation and preparation of a

license agreement with the Village of Bronxville in order to enable Bronxville to construct a subterranean drainage pipe to alleviate flooding of Laurel Brook.

Name of Accomplishment: Pouring Rights Agreement

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal advice and counsel, to the Department of Parks, Recreation, and Conservation in connection issuing an RFP and negotiation of a pouring rights agreement with Pepsi Cola Bottling Co. for all County Parks property for the term January 2016 through December 2020.

Name of Accomplishment: 101 North Broadway, Yonkers

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice and counsel, to the Director of Real Estate and the Department of Social Services in connection with lease/purchase of property for use as a homeless shelter in Yonkers.

Name of Accomplishment: Chester Avenue, White Plains

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice and counsel to the Director of Real Estate and the Department of Social Services regarding use, possible sale of a portion of County Property currently used as a parking lot.

Name of Accomplishment: County Bus Shelter License, IMA's with Municipalities

When Initiated: 2015

Current Status: Ongoing

Description: Providing legal advice and counsel, including the preparation of an RFP, legislation and drafting license agreement and Intermunicipal agreements, to the Department of Public Works and Transportation, for the provision of a County-wide bus shelter program including a License with Signal Outdoor Advertising, LLC for advertising and maintaining the bus shelters and intermunicipal agreements with all Westchester municipalities with bus shelters in order for the County to continue to maintain the shelters.

Name of Accomplishment: Westchester Children's Museum - Playland

When Initiated: 2008

Current Status: Ongoing

Description: Provide advice and drafting services related to the lease and renovation of the former North Bathhouse for use as the Westchester Children's Museum, for a ten-year term

Name of Accomplishment: Playland Management Agreement

When Initiated: 2010

Current Status: Ongoing

Description: Provide legal advice and assistance to the County Executive's Office and the Department of Parks, Recreation and Conservation with respect to the Restated and Amended Playland Management Agreement with Standard Amusements, LLC. Also, provided advice to and answer questions from the Board of Legislators during their review of the restated and amended management agreement. Continue to provide advice and other legal services during implementation of the Restated Management

Agreement.

Name of Accomplishment: Request for Proposals - Playland Rehabilitation and Upgrades, Rye

When Initiated: 2016

Current Status: Ongoing

Description: Provide legal advice and assistance to the County Executive's Office, Department of Parks, Recreation and Conservation and Department of Public Works and Transportation in connection with the RFP for the design, construction administration, and program management services for Playland capital program.

Name of Accomplishment: Rockland County IMA for Preventative Respite Care

When Initiated: 2013

Current Status: Completed

Description: Drafted Legislation authorizing Rockland County to utilize the County's preventive respite care provider (Children's Village, Inc.) to provide mandated preventive respite care and services to eligible children from Rockland County pursuant to an intermunicipal agreement ("IMA"). Drafted related IMA and A&C resolution.

Name of Accomplishment: Institutional Foster Care

When Initiated: 2016

Current Status: Ongoing

Description: Drafted County Rider and Board of Acquisition and Contract resolutions to adopt State Model Foster Care Agreement for institutional foster care for youth in the County's care and placed in institutional foster care settings by the Family Court.

Name of Accomplishment: Title XX Day Care Agreements

When Initiated: pre-2000

Current Status: Ongoing

Description: Drafted Board of Acquisition and Contract resolution to add new Title XX Day Care providers. Draft day care agreements.

Name of Accomplishment: DSS HUD Continuum of Care Grants and Homeless Services

When Initiated: pre - 2009

Current Status: Ongoing

Description: Drafted Board of Acquisition and Contract resolutions to accept approximately twelve U.S. Department of Housing and Urban Development Grants as well as agreements with agencies for the provision of temporary and permanent rental housing and supportive services pursuant to the McKinney-Vento Homeless Assistance Act.

Name of Accomplishment: Youth Homeless Prevention Initiative

When Initiated: 2014

Current Status: Ongoing

Description: Drafted Board of Acquisition and Contract resolutions and agreements to accept a U.S. Department of Health and Human Services grant to implement the Westchester Youth Homeless Prevention Initiative entitled BraveHearts Initiative and to enter into agreements with Fordham University, Children's Village, Inc. and Student Advocacy, Inc. to provide Trauma Systems Therapy Model training services as well as program evaluation and consulting services.

Name of Accomplishment: Ryan White Assignment

When Initiated: 2015

Current Status: Completed

Description: Drafted Legislation to authorize the County to assign its agreement with the City of New York for the provision of HIV-related services pursuant to Part A of the Federal Ryan White HIV/AIDS Treatment Extension Act for residents of the Counties of Westchester, Rockland and Putnam to Public Health Solutions, effective as of December 1, 2015. Drafted Board of Acquisition and Contract Resolution as well as Assignment Agreement. Provided advice and assistance to Department of Health and drafted notices of assignment to the County's Ryan White providers.

Name of Accomplishment: Requests for Proposals for Department of Social Services

When Initiated: 2013

Current Status: Ongoing

Description: Drafted requests for proposals for the Emergency Housing Assistance Program; establishing eligibility for Social Security benefits; Marketing and Training of Foster and Adoptive Families; a Tier II shelter in Mount Vernon; representative payee services; data solutions for comprehensive background screenings; Mount Vernon Emergency Overnight Shelter; Homeless Prevention; Personal Emergency Response Systems to Recipients of MEDICAID; Emergency Overnight Shelter; Cultural Proficiency Training; Intensive Case Management; Rental Assistance and Supportive Services for the Homeless (including Department of Community Mental Health customers); document scanning and indexing services. Provided legal advice and assistance and prepared required related documents, such as Board of Acquisition and Contract resolutions and agreements.

Name of Accomplishment: Solid Waste Commission Legal Advice and Counsel

When Initiated: N/A

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to the Solid Waste Commission in connection with the operation of the Solid Waste Commission and its retention of experts and consultants, such as judicial hearing officers to serve in connection with the Commission.

Name of Accomplishment: Various Legal Services for the Department of Senior Programs and Services

When Initiated: N/A

Current Status: Ongoing

Description: Provide continuing legal counsel to the Department of Senior Programs & Services with respect to its various federally funded programs for the elderly. Services include providing legal counsel concerning procurement requirements under the Federal Common Grant Rules, preparing RFPs, drafting agreements, legislation and A&C Resolutions to enable the Department to enter into contracts with various agencies and municipalities to implement its various senior services programs. Representative matters include contracts for the following programs:

Title III-B, III-C and III-E

Expanded-In-Home Services for the Elderly ("EISEP")

Wellness In Nutrition Program (formerly "SNAP")

Congregate Services Initiative

AAA Transportation

Senior Community Service Employment Program ("SCSEP")

Community Services for the Elderly (“CSE”)

Name of Accomplishment: Prisoner Transportation

When Initiated: pre-1997

Current Status: Ongoing

Description: Prepared legislation, A&C resolutions and intermunicipal agreements with approximately 25 Westchester County Municipalities (including 23 municipalities organized into four rate zones, as well as five individual municipalities) to transport prisoners, to and from court appearances, who have been remanded to the Westchester County Jail.

Name of Accomplishment: Film Permits - Office of Tourism & Film

When Initiated: N/A

Current Status: On-going

Description: Prepared Film Permit template, advised and negotiated terms, drafted A&C resolutions when needed, for approximately 45 Film Permits Office of Tourism & Film to promote Westchester County as a film location.

Name of Accomplishment: Capital Bonding Legislation/Attend Weekly Capital Projects

Workgroup Meetings

When Initiated: N/A

Current Status: Ongoing

Description: Attend weekly meetings of Capital Project Workgroup to evaluate and provide legal advice regarding ongoing capital projects and capital budget amendments as required. Draft capital bonding legislation and capital budget amendments, as required (112 matters in 2015). Draft revisions to capital bonding legislation as requested by the Board of Legislators. The following are a few of the projects worked on in 2015:

BIT7A – Global Positioning Systems

BIT9A – Geographical Information Systems

BLR11 – Alternative Energy Systems

BPL26 – Flood Mitigation (Loh Park)

BPL40 – Stormwater Management

RB03N – Rehabilitation of Various Bridges

RCC15 – County Center Lighting

RKD04 – Kensico Dam Plaza Infrastructure/Site Work (Ice Skating Rink)

RKD05 – Kensico Dam Reflecting Pool

RMAC3 – V.E. Macy Site Work

RP010 – Playland Storm Reconstruction

RP028 – Playland Structural Restoration

RP042 – Playland Site Improvements

SY085 – Sewer System Rehab

T001S – Articulated Bus Replacement

T0055 – White Plains Trans Center Enhancements

Name of Accomplishment: Airport Grant Agreements

When Initiated: N/A

Current Status: Ongoing

Description: Worked with the Department of Public Works and Transportation to prepare necessary

legislation and A&C resolutions to authorize the County to enter into various grant agreements with the Federal Aviation Administration, Transportation Security Administration, and/or the New York State Department of Transportation to accept funding for various capital projects at the Westchester County Airport, including the following:

A042B: Stormwater Management Program

A0079: Airport Road Rehabilitation

A0118: Runway Rehabilitation

Name of Accomplishment: Renewal of Inter Municipal Agreements with Nassau County

When Initiated: March, 2014

Current Status: Legislation has been approved and the IMA executed.

Description: Worked with the Department of Labs and Research and the Department of Public Safety to develop Inter Municipal Agreements with Nassau County to provide needed crime lab services for the Nassau County Police Department due to the closing of the Nassau County Crime Lab, including but not limited to the preparation of legislation, A&C resolution, and the IMA.

Name of Accomplishment: Labor Negotiation Consultant Services

When Initiated: N/A

Current Status: Completed

Description: Prepared A&C Resolution and 2016 contract for the services of Vincent Toomey to provide labor negotiation services with the County's various labor unions.

Name of Accomplishment: Human Rights Commission

When Initiated: N/A

Current Status: Ongoing

Description: Prepared various ALJ agreements to enforce the County's Human Rights Law.

Name of Accomplishment: Human Rights Commission Intake Office

When Initiated: 2015

Current Status: Completed

Description: Prepared A&C Resolution and contract to renew an agreement with the City of Yonkers pursuant to which the County, through the Executive Director of the County Human Rights Commission, would take discrimination complaints from Yonkers residents one (1) day per week from a satellite office located in Yonkers.

Name of Accomplishment: Various Legal Services for the Westchester DA's Office

When Initiated: N/A

Current Status: On-going

Description: Worked with the DA's office to facilitate contracts for various grants and programs. Drafted A&C Resolutions and contracts and provided on-going legal counsel. Representative matters include:

Westchester County Reentry Task Force

Multidisciplinary Team and Child Advocacy Center Grant Program

Sexual Assault Victims and Elderly Services ("SAVES") Program

STOP Violence Against Women Collaborative Program

Various incidental services necessary for the operation of the DA's office such as translation services, transportation services, messenger services

Psychotherapy services to Crime Victims
 Crimes Against Revenue Prosecution
 Safe Streets Task Force
 Crime Analysis Consultant
 Upgrade of the eDOCS system
 Upgrade of the A/V infrastructure for 4 rooms at the County Courthouse
 Body camera subsidy for local police departments
 Various procurements and agreements for on-line specialized research including but not limited to agreements with both Westlaw and LexisNexis
 Services to have new IT network equipment installed and configured

Name of Accomplishment: Various Expert Witness Contracts for Litigation Bureau

When Initiated: N/A

Current Status: On-going

Description: Assist County Attorney's Office Litigation Bureau in preparing contracts with various expert witnesses needed to assist in defending the County against various lawsuits. Draft A&C resolutions and contracts.

Name of Accomplishment: FAA DBE/ACDBE Policy Statement and Small Business Plan

Requirements

When Initiated: N/A

Current Status: Ongoing

Description: Review existing FAA DBE/ACDBE policies and compliance with said policies with the assistance of expert airport counsel (The Wicks Group). Assist Public Works & Transportation with Federal DBE/ACDBE requirements. Work with expert airport counsel to advise Public Works & Transportation regarding revisions to Federal Airport Concessionaire Disadvantaged Business Enterprise ("ACDBE") program. Review existing agreements covered by FAA requirements.

Name of Accomplishment: Solid Waste Operator RFP

When Initiated: 2013

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DEF in regard to the selection of an operator for the County's solid waste transfer stations and Material Recovery Facility.

Name of Accomplishment: Her Honor Young Women's Mentoring Agreement

When Initiated: N/A

Current Status: Ongoing

Description: Assist Office for Women staff with contracts and contract related questions for volunteer young women's mentoring program participated in by over 45 mentors, each of whom is required to sign an individual agreement. Prepare numerous incarnations of the agreement and related A&C resolutions to meet the varying needs of mentors, and, in some cases, their employers.

Name of Accomplishment: Refuse District Modification of Plan of Improvements

When Initiated: N/A

Current Status: Ongoing

Description: Assist Department of Environmental Facilities staff by reviewing Plan modification, drafting legislation authorizing the County to modify the Plan of Improvements for Refuse Disposal

District No. 1 and bonding legislation for two related capital projects.

Name of Accomplishment: Electronic Recording of Instruments Affecting Real Property

When Initiated: 2012

Current Status: Ongoing

Description: Assist the County Clerk's office in executing agreements used by the County to authorize various parties (law firms, title companies, individuals, etc.) to electronically record instruments affecting real property through the County's existing PREP electronic recording system, in accordance with a new state law and accompanying state regulations.

Name of Accomplishment: Employee Assistance Program

When Initiated: 2010

Current Status: Ongoing

Description: Provide legal assistance to the Department of Community Mental Health in the preparation and/or execution of all documents necessary for the department to provide Employee Assistance Program ("EAP") services to municipal corporations.

Name of Accomplishment: Compliance with State and Federal Requirements Concerning Grants and Other Funding Streams

When Initiated: 2011

Current Status: Ongoing

Description: Providing legal assistance to all departments and offices within the County that receive state and/or federal funds. This legal assistance occurs both on an individual basis, in the form of one-on-one assistance that includes reviewing pertinent documents, performing legal research, and giving advice and education on this topic.

Name of Accomplishment: Various Department of Labs and Research Matters

When Initiated: N/A

Current Status: Ongoing

Description: Provided legal advice, draft resolutions and/or agreements for the County in connection with the operation of the County's Biodefense Laboratory; United States Department of Justice Forensic DNA Backlog Reduction; Microbac Laboratories, Inc. to ensure safe drinking water for County residents; Veolia ES Technical Solutions, Inc. for the proper collection and disposal of chemical waste; Approved Storage & Waste Hauling, Inc. for the proper collection and disposal of medical waste; On Assignment Staffing, Inc. for temporary lab personnel; and Soft Computer to procure new hardware for the LIMS system; and the preparation of bid documents in connection with the procurement of chemical waste pickup and disposal services.

Name of Accomplishment: Compliance with New York State Departmental Regulations regarding Executive Order 38

When Initiated: 2013

Current Status: Ongoing

Description: Perform Legal Research and advise County departments regarding New York State departmental regulations that have been adopted to implement Executive Order 38 regarding administrative costs and executive compensation for not-for-profits that receive state funding from specified state agencies.

Name of Accomplishment: Various Westchester – Putnam Local Workforce Development Board (“WDB”) Matters

When Initiated: N/A

Current Status: Ongoing

Description: Prepare and/or review various documents (e.g., Requests for Proposals and Requests for Quotations, Board of Acquisition and Contract resolutions, and agreements), and otherwise provide legal advice for the County regarding the actions it takes on behalf of the WDB on matters including:

2016 Summer Youth Employment Program

Eligible Training Providers

Volunteer Income Tax Assistance (*VITA*) program

WIOA Youth Work Experience Manager

WIOA Sector Partnership Manager

USDOL Ready To Work Program-funded agreements

Lease for Space at 120 Bloomingdale Road

Name of Accomplishment: Out of District Sewage Discharge Permit of the Town of Putnam Valley.

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice and drafting documents and legislation for the Dept. of Environmental Facilities in connection with the proposed sewage discharge permit for the Town of Putnam Valley. Legislation drafted to exempt the permit from the term limitation for such permits in the Laws of Westchester County.

Name of Accomplishment: Contract for Countywide Bee-Line Paratransit Services

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice and drafting documents for the Westchester County Department of Public Works and Transportation and the Office for the Disabled in connection with the procurement of Countywide Bee-Line Paratransit Services.

Name of Accomplishment: Willson’s Woods Park Road.

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice and drafting documents for the proposed use of Willson’s Woods Park Road by an adjacent property owner in the City of Mount Vernon. Prepare alienation legislation to grant an easement over a portion of Wilson Woods Park Road to the WP East Acquisitions, LLC.

Name of Accomplishment: Public Safety License for space at 19 Skyline Drive in Hawthorne

When Initiated: 2014

Current Status: Ongoing

Description: Prepared authorizing resolutions and provided legal advice to Departments of Public Safety and Public Works & Transportation in connection with the occupancy of space at 19 Skyline Drive in Hawthorne as well as associated renovation work, to be used by the County’s Police Academy and Public Safety’s Narcotics Division while their current locations are being renovated. Prepared multiple license agreements and subsequent amendments to those agreements.

Name of Accomplishment: Construction of the Tarrytown Forcemain in connection with an Order on Consent with the NYSDEC

When Initiated: 2014

Current Status: Ongoing

Description: Drafting documents, including legislation, purchase agreements, easement agreements, and providing legal advice in connection with the acquisition of the necessary property rights from Metro North, the Village of Tarrytown and private property owners in the Village of Tarrytown in order to effectuate the construction of the new Tarrytown Forcemain which will replace the existing Forcemain which has failed multiple times. Prepare real estate documents, such as property deeds and easements in order to take title to the properties. Coordinate and attend the closings of the property transactions. Ongoing legal advice and counsel to the Departments of Environmental Facilities and Public Works & Transportation in connection with the ongoing construction of the Forcemain.

Name of Accomplishment: Retention of law firm of Whiteman, Osterman & Hannah, LLP in Order to Obtain Recovery of Medical Assistance Reimbursements that May be Owed by New York State

When Initiated: 2013

Current Status: Ongoing

Description: Providing legal advice and counsel, including the preparation of legislation and contract documents to the Department of Social Services in connection with the retention of the law firm to obtain recovery of medical assistance reimbursements that may be owed by New York State to the County. Prepare legislation and A&C resolutions authorizing the same. Prepare retainer agreement and related documents. Coordinate with outside counsel.

Name of Accomplishment: Procurement of a New Inmate Healthcare Provider for the Inmates of the Department of Correction

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice to the Westchester County Department of Correction in connection with the procurement of the healthcare provider New York Correct Care Solutions Medical Services, P.C. including but not limited to, legal research and advice regarding the pertinent legislation, the preparation of an RFP, A&C resolution, and contract documents. Provide legal advice and assistance in connection with contract negotiation with the selected vendor.

Name of Accomplishment: Addition of the IBM Watson Research Center to the Ossining Sanitary Sewer District.

When Initiated: 2014

Current Status: Completed

Description: Provide legal advice and drafting services for the Dept. of Environmental Facilities in order to add the IBM Watson Research Center and 12 nearby homes to the Ossining Sanitary Sewer District.

Name of Accomplishment: Various License Agreements with Metro North Railroad

When Initiated: 2016

Current Status: Ongoing

Description: Provide legal advice, drafting and negotiations on behalf of the Department of

Environmental Facilities in order to enter into license agreements with Metro North so that Metro North can cross-over DEF property in order to safely and more expediently maintain its railroad tracks and equipment, thereby promoting public safety.

Name of Accomplishment: Various License Agreements with Algonquin

When Initiated: 2016

Current Status: Ongoing

Description: Provide legal advice, drafting and negotiations on behalf of the Departments of Parks, Recreation and Conservation as well as Environmental Facilities in order to enter into license agreements with Algonquin to enable Algonquin to construct its subterranean pipeline and use County-owned property as staging areas in connection with the same.

Name of Accomplishment: Contracts for Office for Women

When Initiated: N/A

Current Status: Ongoing

Description: Assist staff with contracts and contract related questions. Prepare and review numerous contracts and related A&C resolutions. Since November 1, 2015 (the last budget presentation) the Law Department has assisted the Office for Women with over 29 such matters.

Name of Accomplishment: Youth Bureau Legislation

When Initiated: N/A

Current Status: On-going

Description: Assisted the Youth Bureau in the preparation of legislation, A&C resolutions and contracts to implement its numerous youth development programs.

Name of Accomplishment: RFP for Process Servers for Family Court Proceedings

When Initiated: 2015

Current Status: Complete

Description: Assisted DSS and Law Department Family Court Bureau with preparation of an RFP to solicit proposals for process servers for Family Court proceedings. Also prepared A&C and contract.

Name of Accomplishment: Office of Tourism & Film

When Initiated: N/A

Current Status: On-going

Description: Prepared various contracts and A&C resolutions for Office of Tourism & Film to promote Westchester County as a leisure and business tourism destination. Representative matters include:

Destinations of New York State

I Love NY Grant

Hudson Valley Tourism

New York State Tourism Industry Association

Advertising and marketing services to promote Westchester County as a tourist and business destination

Name of Accomplishment: Kensico Dam Food Refreshment Concession

When Initiated: 2015

Current Status: Under review by PRC

Description: Prepared RFP for PRC to solicit quotations for a food and refreshment concession at Kensico Dam Park

Name of Accomplishment: Bid for Non-Emergency Moving and Storage

When Initiated: 2015

Current Status: Under review by DSS

Description: Assisted DSS with preparation of Bid Document to solicit bids for Non-Emergency Moving and Storage for Homeless Families.

Name of Accomplishment: SICG Radio Project

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the Department of Information Technology in connection with the project involving the implementation of systems for first-responder communication via the VTAC National Interoperability channels, and implementation of microwave links to support the VTAC systems, enhance reliability of wireline connections between 60-Control, DPS HQ, and County sites, and connect emergency communication facilities in Westchester, Rockland, Putnam, and Orange.

Name of Accomplishment: Radio System Replacement Project

When Initiated: 2014

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to the Department of Information Technology in connection with the project to replace three large mission-critical voice radio communication systems currently utilized for police, fire, EMS, and transit (Bee Line) operations in the County. Guaranteed parts and repair service for the three systems will no longer be available from the manufacturer (Motorola) after December 2018, and law enacted by Congress requires operation on the frequencies used by the fire, EMS, and transit system to cease between 2021 and 2023. The project scope includes building replacement systems that will continue to serve police, fire, and EMS users.

Name of Accomplishment: Radio Project Real Estate Sub Committee

When Initiated: 2015

Current Status: Ongoing

Description: Provide legal advice and counsel, research and drafting services to DOIT, DES, DPS, PRC, DPW, CXX in connection with obtaining the requisite permission to utilize non-county owned property in order to install, operate and maintain radio equipment and antennas. Agreements are required for the following locations:

- Adams Lane American Tower Pound Ride
- Benefield Blvd Peekskill
- Hangar E Citiflight Westchester Airport
- Maryknoll American Tower New Castle
- Mountain Avenue Mt Kisco
- Mountain Lakes NYS Police
- Putnam Valley American Tower Putnam Valley
- Putnam Valley American Tower Orange County
- Summit Avenue United Water Portchester

Name of Accomplishment: i.park Yonkers Family Court

When Initiated: 2014

Current Status: Ongoing

Description: Provided legal advice, legal research, drafting and other counsel to DPWT and the Administration in regard to a second amendment to the i.park Lease in order to finalize punch list items for the renovations.

Name of Accomplishment: WCHCC and WIHD sublease agreements

When Initiated: 2014

Current Status: Completed

Description: Provide legal advice, legal research, drafting and other counsel to DPWT and the Administration in regard to subleases with WCHCC and the Westchester Institute for Human Development at Cedarwood Hall.

Name of Accomplishment: Guard Hill Radio Tower

When Initiated: 2013

Current Status: Completed

Description: Providing legal advice and counsel, research and drafting services to the Departments of Emergency Services, Public Safety and Information Technology in connection with a project to improve the infrastructure at the Guard Hill radio site owned by the Town of Bedford, and then to install County radio systems at the site to improve coverage in the area. Improvements at Guard Hill will allow relocation of existing DES radio systems from the roof of the Northern Westchester Hospital Center (NWHC) to the higher Guard Hill site, and will also allow DPS radio systems and first responder radio interoperability systems to be installed at the site.

Name of Accomplishment: Hazard Mitigation Plan

When Initiated: 2013

Current Status: Completed

Description: Provide legal advice and counsel, research and drafting services to the Department of Emergency Services in connection with the adoption of a Multi-Jurisdictional, Multi-Hazard Mitigation Plan for the County in compliance with the criteria set forth in the Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, 42 U.S.C. § 5121, et seq., as amended by the Disaster Mitigation Act of 2000, Public Law 106-390, which established new requirements for pre and post disaster hazard mitigation programs; and the requirements set forth under 44 C.F.R. Part 201, §201.6.

Name of Accomplishment: Child Fatality Review Team

When Initiated: 2010

Current Status: Ongoing

Description: Providing legal assistance to the Family Court Bureau regarding the Child Fatality Review Team by preparing A&C resolutions and preparing and/or reviewing the related agreements.

Name of Accomplishment: Various Matters Concerning the Bee Line System

When Initiated: 2016

Current Status: Ongoing

Description: Providing assistance to the Department of Public Works and Transportation for various matters concerning the Bee Line system, including the analysis of applicable materials and the drafting of any necessary related documents, based on research and analysis.

Name of Accomplishment: Transit Advertising for Bee Line Buses

When Initiated: 2016

Current Status: Completed

Description: Prepared RFP to procure a vendor to market and manage advertising on the Bee-Line system using existing interior and exterior space. Also prepared license agreement and A&C with successful proposer.

Name of Accomplishment: Refuse District Modification of Plan of Improvements

When Initiated: 2016

Current Status: Ongoing

Description: Assist Department of Environmental Facilities staff by reviewing Plan modification, drafting legislation authorizing the County to modify the Plan of Improvements for Refuse Disposal District No. 1 and bonding legislation.

Name of Accomplishment: Refuse District Increase and Improvement of Facilities

When Initiated: 2016

Current Status: Waiting for Agency Report and components of Verified Application from DEF

Description: Assist Department of Environmental Facilities staff by reviewing the Solid Waste Agency Report and drafting legislation authorizing the County to apply to the Office of the State Comptroller for an increase and improvement to Refuse Disposal District No. 1 to carry out necessary District capital improvements.

Name of Accomplishment: Bond Counsel

When Initiated: 2016

Current Status: Pending BOL approval

Description: Prepared RFP to procure law firms to provide bond counsel services to the County. Also prepared legislation to authorize agreements.

Name of Accomplishment: Outside Airport Counsel

When Initiated: 2011

Current Status: pending BOL approval

Description: Prepared legislation to renew the County's agreement with the Wicks Group to provide legal advice concerning matters affecting the County Airport

Name of Accomplishment: Taxi & Limousine Concession at the County Airport

When Initiated: 2016

Current Status: Completed

Description: Prepared RFP to solicit vendor to operate the taxi & limousine concession at the County Airport. Also prepared agreement and A&C resolution with successful proposer.

Name of Accomplishment: West Lake Sewer Easement

When Initiated: 2016

Current Status: Completed

Description: Completed necessary paperwork associated with the recording of a sewer easement with the County Clerk's Office. The easement will permit DEF staff access to NYCDEC property in the Town of Mt. Pleasant to operate and maintain a sanitary sewer remote monitoring system.

Stuart's Farm: Agricultural Conservation Easement

When Initiated: 2016

Current Status: Ongoing

Description: Providing legal advice, counsel, legal research and drafting services to the Department of Planning in connection with a joint project with Westchester Land Trust and the Town of Somers for the creation of an Agricultural Conservation Easement on Stuart's Farm in Somers to preserve the property as farm land.

Name of Accomplishment: DASNY Courthouse Refinancing

When Initiated: 2016

Current Status: Ongoing

Description: Provide legal advice and drafting services to the Finance Department to amend the Lease and Agreement with the Dormitory Authority of the State of New York ("DASNY") for the Daronco Courthouse property in order to allow DASNY to refinance its Court Facilities Lease Revenue Bonds (The County of Westchester Issue), Series 2006 and apply the resulting debt service savings to reduce the Basic Rent paid by the County pursuant to the Lease.

Name of Accomplishment: 2016 Refunding

When Initiated: 2016

Current Status: Completed

Description: Provide legal advice and drafting services, participate in conference calls, to the Finance Department to in connection with the sale and issuance of the \$109,980,000 General Obligation Refunding Bonds-2016 Series A.

Litigation Bureau

FEDERAL COURT CASES:

Davon Banks v. Westchester County Department of Corrections, *et al.*

When Initiated: 2013

Current Status: Case settled for \$6,250.

Dollars Saved: Difficult to determine. Plaintiff was seeking millions of dollars in compensatory and punitive damages.

Description: After the partial granting of the County's motion to dismiss, the plaintiff served an amended complaint, alleging that in March, May, and June 2013, while he was an inmate at the County's jail, his civil rights were violated when he was allegedly mistreated and improperly placed in the jail's special housing unit. Due to the inherent risks of litigation and the potential liability due to the mental status of the inmate-plaintiff, and in order to avoid the further expenses of litigation, the case was settled.

Caisy Frank v. County of Westchester, *et al.*

When Initiated: 2013

Current Status: Case settled for \$5,000.

Dollars Saved: Difficult to determine. Plaintiff was seeking millions of dollars in compensatory and punitive damages.

Description: Plaintiff filed a federal civil rights lawsuit against County police officers alleging that in March 2013, he was arrested for unknown charges and subjected to excessive police force after he kicked the window out of the police vehicle. Due to the inherent risks of litigation and in order to avoid the further expenses of litigation, the case was settled prior to trial.

Edward Morales v. SUNY Purchase College, *et al.*

When Initiated: 2014

Current Status: Case dismissed.

Dollars Saved: Difficult to determine.

Description: Plaintiff alleged that the County violated his civil rights arising out of his allegedly unconstitutional arrest and prosecution, and that the County violated his first amendment rights. The County's motion to dismiss was granted, and the case dismissed on November 20, 2015.

Michelle Grant v. County of Westchester, *et al.*

When Initiated: 2014

Current Status: Case dismissed.

Dollars Saved: Difficult to determine.

Description: Plaintiff, an employee of Abbott House, alleged that the County Department of Social Services conspired with Abbott House to deprive her of her constitutional rights by causing her to lose her job. She further alleged that the Department tried to coerce her into giving false testimony in Family Court and, when she refused, she was fired by Abbott House. The court granted the County's motion to dismiss.

Claudio Porro v. Janet DiFiore, *et al.*

When Initiated: 2013

Current Status: Case dismissed.

Dollars Saved: Difficult to determine.

Description: Plaintiff alleged that former District Attorney Janet DiFiore violated his civil rights, subjected him to malicious prosecution, and deprived him of his personal property. The County's motion for summary judgment was granted, and the case was dismissed.

Voltaire v. Westchester County, et al.

When Initiated: 2011
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that two of her children were wrongfully removed from her custody, that her parental rights were falsely terminated, that she was physically assaulted by an employee of the County, and that her character was defamed. The court granted the County's motion to dismiss the complaint.

Morris Builders, L.P. v. Westchester County, et al.

When Initiated: 2014
 Current Status: Case settled.
 Dollars Saved: Difficult to determine, initial demand was for over \$7,000,000.
 Description: Plaintiff/developer had a long term lease with the County Industrial Development Agency ("County IDA") related to the Austin Avenue Development Site in Yonkers. Plaintiff alleged, among other things, breach of contract as certain park lots, which it claimed were intended to be part of the leased premises, were purportedly not transferred properly in the 1980's. Ultimately, it was determined that a sale of the entire development site was in the best interest of all parties. Of the sale proceeds, the County received \$15,000,000. at closing and will receive another \$4,000,000. as certain benchmarks are met.

STATE COURT CASES

Robert Sabella v. Town of Bedford and County of Westchester

When Initiated: 2015
 Current Status: Plaintiff's application to file a late notice of clam was denied.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff-petitioner sought an application to file a late notice of claim to allege that on August 15, 2014, while riding in the northbound lane of Cantitoe Street in Bedford, he was thrown from his motorcycle in order to avoid being struck by a motor vehicle approaching a four-way intersection as a result of the alleged defective conditions of the roadway. The County opposed the motion on the basis that it was prejudiced by the delay, particularly because it could no longer investigate the claim, and that the claim lacked merit. The court denied plaintiff's application and, as a result thereof, plaintiff was unable to commence an action against the County.

Omario Powell v. The City of New York, The City of Yonkers and the County of Westchester, et al.

When Initiated: 2014
 Current Status: County's motion to change venue and dismiss the complaint was granted.
 Dollars Saved: Difficult to determine. Plaintiff was seeking millions of dollars in compensatory and punitive damages.
 Description: Plaintiff initially commenced his lawsuit in Bronx County alleging that on August 2, 2013, while he was a passenger in a motor vehicle, he was pulled out of the vehicle he was in by police officers from the City of New York, the City of Yonkers, and the County of Westchester and subjected to excessive force, malicious prosecution, false arrest and imprisonment, causing emotional distress. Upon the County's motion, the venue was changed to Westchester County, and ultimately dismissed.

Daijon Burroughs by his father and natural guardian Derron Burroughs and/or Derron Burroughs individually v. County of Westchester and its Department of Parks, Recreation and Conservation

When Initiated: 2014
 Current Status: Case settled for \$75,000.
 Dollars Saved: Difficult to determine, but plaintiff's demand was \$275,000.
 Description: Plaintiff alleges that on July 11, 2013, while the infant plaintiff was on the Derby Racer ride at Playland, he fell off the ride as a result of the alleged negligence of the County. Due to the inherent risks of litigation and in order to avoid the further expenses of litigation, the case was settled prior to trial.

Slader v. Westchester County, et al.

When Initiated: 2012
 Current Status: Case settled for \$10,000.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleges that on June 20, 2011, while he was an inmate at the County's jail and after he left the kitchen area where he worked, he was unlawfully sexually touched during a search for contraband, causing him to sustain personal injuries and emotional distress, among other things. Due to the inherent risks of litigation and in order to avoid the further expenses of litigation, the case was settled.

Visiting Nurse Services, Inc. v. Westchester County

When Initiated: 2015
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Visiting Nurse Services ("VNS") filed a third-party complaint against the County in a wrongful death/medical malpractice action regarding the death of a six-week old child. VNS claimed the County Department of Health negligently handled a referral they received to service the deceased child. Upon the County's motion, the court determined there was no basis for contractual indemnification, common law indemnification, and as the County had not acted negligently in the performance of its duties, there was no basis for contribution.

Romey Santos-Merced v. Westchester County

When Initiated: 2014
 Current Status: Settled for \$25,000.
 Dollars Saved: Costs of litigation.
 Description: Claimant sought to arbitrate her claim for uninsured motorist coverage under the County's statutory coverage liability. The County obtained a court-ordered stay of arbitration pending claimant's compliance with discovery. Upon completion of discovery and medical examinations, the case was settled.

Lenora Kinchen v. Westchester County, Human Rights Commission

When Initiated: 2016
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Petitioner commenced a Civil Practice Law and Rules article 78 proceeding against the County, alleging the Human Rights Commission ("HRC") arbitrarily and capriciously determined there was no probable cause to her claims of housing discrimination against her landlords. Upon the County's motion, the court determined that the HRC had made the subject determination within the proper time frame and that the petition was otherwise moot because the petitioner had not exhausted the available administrative remedies.

Luz Lopez v. Westchester County

When Initiated: 2015
 Current Status: Claim withdrawn.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff filed a notice of claim regarding a slip and fall on a local sidewalk. The County avoided litigation by providing sufficient proof to the plaintiff showing that the County was not responsible for the area in question.

Camille Segretti v. Westchester County

When Initiated: 2014
 Current Status: Case discontinued.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff filed a complaint naming several municipalities, including the County, as defendants, for a slip and fall on a maintenance grate in the City of White Plains. After the County provided sufficient proof to the plaintiff showing that the County was not responsible for the area in question, the matter was discontinued as against the County.

Raphael Pantoja v. Westchester County, Westchester County District Attorney, et al.

When Initiated: 2015
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff filed a complaint, alleging wrongful arrest, malicious prosecution, and numerous civil rights violations. Upon the County's motion, the complaint was dismissed and the plaintiff was directed to seek leave of the Court prior to filing any further actions regarding his past prosecutions or incarcerations.

Maria Marta Gutierrez-Contreras v. Westchester County, et al.

When Initiated: 2015
 Current Status: Case discontinued.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff fell in the cross-walk of an intersection in the vicinity of a County road. After discovery, including several depositions, plaintiff and co-defendants discontinued the action against the County by stipulation.

Ronald Ackridge v. Westchester County, County Jail, et al.

When Initiated: 2016
 Current Status: Matter dismissed.
 Dollars Saved: Difficult to determine.
 Description: Petitioner filed a writ of habeas corpus, alleging improper imprisonment at the County jail. Upon the County's submission, the writ was dismissed.

County of Westchester v. City of Yonkers

When Initiated: 2016
 Current Status: Injunction in place.
 Dollars Saved: Not applicable.
 Description: This action was brought to obtain an injunction against the City of Yonkers to prevent the arbitrary closing of a homeless shelter run on behalf of the Department of Social Services. A temporary injunction was obtained and remains in place, while the County works with the City regarding the

building. This action prevented dozens of homeless individuals from being deprived shelter when the weather was turning colder.

In the Matter of Clean Venture, Inc. v. County of Westchester, *et al.*

When Initiated: 2015
 Current status: Case dismissed.
 Dollars Saved: \$151,200 in actual contract costs. A loss may have resulted in expenses related to termination of the existing contract, including payment for services rendered thereunder.
 Description: The County successfully defended its decision to accept a bid that was received after the deadline, but was in the exclusive control of a common carrier and was not delivered by said common carrier in accordance with the bidder's directives.

In the Matter of James, *et al.* v. Board of Elections

When Initiated: 2016
 Current status: Case dismissed.
 Dollars Saved: Unable to quantify.
 Description: The County successfully defended its decision to reject designating petitions where a candidate failed to properly identify the category and the title of the public office sought.

Rubin v. Westchester County, *et al.*

When Initiated: 2014
 Current Status: Case discontinued.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that she was caused to trip and fall on the sidewalk in front of the premises located at 1890 Palmer Avenue in the Village of Larchmont. Plaintiff further alleged that she sustained a fractured left elbow as a result of the fall. The County obtained a stipulation of discontinuance with prejudice.

Slawinski v. Westchester County

When Initiated: 2012
 Current Status: Case settled for \$67,500.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that he was caused to slip and fall inside the men's bath house at Tibbets Brook Park because of the County's failure to properly maintain the facility. Plaintiff further alleged that he sustained a fractured left ankle as a result of the fall. Due to the inherent risks of litigation, the matter was settled by payment of \$67,500.

Magnotta v. County of Westchester

When Initiated: 2012
 Current Status: Case settled for \$60,000.
 Dollars Saved: Difficult to determine. Plaintiff had demanded \$125,000.
 Description: Plaintiff, an elderly woman, alleged that she was walking on the grounds of the Kensico Dam Plaza when she was caused to trip and fall over a raised brick paver abutting a concrete walkway near the restrooms. Plaintiff fractured her nose, sprained her left wrist and suffered minor tearing to her rotator cuff, left arm. Due to the inherent risks of litigation, and to avoid further expenses of litigation, the matter was settled by payment of \$60,000.

Cusumano v. County of Westchester, et al.

When Initiated: 2012

Current Status: Case dismissed.

Dollars Saved: Plaintiff had demanded monetary damages in excess of \$500,000 and equitable relief to direct the County to file a corrected subdivision map.

Description: Plaintiff sued the County and others for equitable relief and monetary damages. After plaintiff's purchase of real property improved with a single family dwelling, he discovered that the water and sanitary sewer lines servicing the property did not exist as depicted on the subdivision map filed with the County years earlier. After extensive discovery, the County moved for summary judgment on the basis that it owed no special duty to plaintiff to ensure that the map was correct and that it had no authority to correct existing filed maps.

City of White Plains v. County of Westchester, et al.

When Initiated: 2011

Current Status: Case settled with the County contributing \$10,000 to the total settlement of \$140,000.

Dollars Saved: Plaintiff had demanded \$450,000.

Description: The complaint alleged that an electrically driven water pump servicing the City of White Plains exploded due to air captured in the system during a test of the blow-off valve equipment. Plaintiff alleged faulty procedures during the test and the County's failure to notify the City of White Plains as a member of the Westchester County Water District No. 1 of the test. After protracted discovery and negotiations, the above settlement was attained.

Mansour-Mohamed v. County of Westchester, et al.

When Initiated: 2015

Current Status: Case dismissed.

Dollars Saved: Difficult to determine as extent of plaintiff's physical injuries not evaluated due to dismissal prior to discovery.

Description: Plaintiff alleged she was injured when her vehicle rolled over on the Taconic State Parkway. Plaintiff sued several municipal entities claiming dangerous and hazardous road conditions resulted in the vehicle rollover. The County moved to dismiss the action based upon the County's lack of ownership and control of this particular location of the accident. The court dismissed plaintiff's complaint as against the County.

Tremblay v. County of Westchester

When Initiated: 2013

Current Status: Case settled for \$290,000.

Dollars Saved: Plaintiff's settlement demand was \$950,000.

Description: Plaintiff alleged that she tripped on broken concrete and fell backwards while standing and swaying to band music during an evening concert at Playland. Plaintiff broke both her arms and wrists as a result of the floor. Plaintiff underwent multiple surgeries to repair her injuries and alleged that she could not work for approximately seven months. After the denial of the County's motion for summary judgment, the case was settled.

Gregory McFarland v. County of Westchester, et al.

When Initiated: 2014

Current Status: Case dismissed.

Dollars Saved: Difficult to determine.

Description: Plaintiff alleged that he tripped on a defective drainage grate while working on a roadway maintained by the County and sustained a knee injury. After completion of discovery, the County

moved for summary judgment arguing, among other things, no prior notice. The court granted the County's motion and dismissed the matter.

Vanessa Hughes v. County of Westchester, et al.

When Initiated: 2015
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that she slipped and fell on a sidewalk in the City of Mt. Vernon which she further alleged was maintained by the County. The County filed a motion to dismiss immediately upon being served with the summons and complaint. The court granted the County's motion without the need to engage in any discovery.

Donna Lubbon v. County of Westchester, et al.

When Initiated: 2015
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that she slipped and fell on a sidewalk in the City of Mt. Vernon which she further alleged was maintained by the County. The County filed a motion to dismiss immediately upon being served with the summons and complaint. The court granted the County's motion without the need to engage in any discovery.

Daryl Maxwell v. County of Westchester, et al.

When Initiated: 2009
 Current Status: Case settled for \$5,000.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that the Department of Correction negligently failed to arrange for his temporary release from jail to attend his mother's funeral despite having approved his funeral pass. He further claimed that he was denied telephone calls to his immediate family after the passing of his mother, thereby preventing him from grieving with his family and causing emotional distress.

Elijah Raheem Johnson by his mother and natural guardian, Rebecca Johnson v. County of Westchester, et al.

When Initiated: 2013
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: The three-year old plaintiff allegedly fell out of a window into an alley from an apartment in the City of Yonkers as a result of a defective window guard. It was alleged that the Department of Social Services located and paid the rent on the apartment as part of a homeless prevention program. It was further alleged that the County should have inspected the apartment prior to placement of the family. The plaintiff suffered numerous spinal fractures and was in a partial body cast for approximately three months. The court granted the County's motion for summary judgment and dismissed the case against the County.

Evelyn Garcia v. County of Westchester, et al.

When Initiated: 2014
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that she was caused to step into an open uncovered drainpipe located in Port Chester, which she alleged was maintained by the County. The court granted the County's motion for summary judgment and dismissed the case against the County.

Maria Toro v. County of Westchester, et al.

When Initiated: 2015
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that she slipped and fell on a sidewalk in the City of Yonkers which she alleged was maintained by the County. The County filed a motion to dismiss immediately upon being served with the summons and complaint. The court granted the County's motion without the need to engage in any discovery.

Faith Rufino v. County of Westchester, et al.

When Initiated: 2015
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff alleged that she slipped and fell on a sidewalk in the City of Yonkers, which she alleged was maintained by the County. The County filed a motion to dismiss immediately upon being served with the summons and complaint. The court granted the County's motion without the need to engage in any discovery.

DeFilippi v. County of Westchester, et al.

When initiated: 2012
 Current status: Case settled for \$5,000.
 Dollars Saved: Difficult to determine. Plaintiff claimed disc herniation, pain to neck, back, arms and head, costochondritis of the sternum, and psychological trauma.
 Description: The plaintiff, a Playland Park patron, alleged that he was struck by a remote control airplane operated by another individual within the park. The defendant plane operator settled with the plaintiff for \$80,000, compared to the County only paying \$5,000.

SMALL CLAIMS

Amber Wilson v. Westchester County Department of Social Services

When Initiated: 2016
 Current Status: Case dismissed.
 Dollars Saved: Difficult to determine.
 Description: Plaintiff brought action in the small claims court seeking reimbursement for rent and other costs. The case was dismissed.

STATE DIVISION OF HUMAN RIGHTS (“SDHR”)/EQUAL EMPLOYMENT OPPORTUNITY COMMISSION (“EEOC”)/ US DEPARTMENT OF EDUCATION OFFICE OF CIVIL RIGHTS (“OCR”) MATTERS:

R.P. vs. Westchester County Department of Health

When Initiated: 2015
 Current Status: The charges relating to age discrimination and retaliation were dismissed by Determination and Order After Investigation on March 9, 2016.
 Dollars Saved: Difficult to determine.
 Description: Complainant alleged age discrimination and retaliation because she previously filed an EEOC Complaint in 2011. The SDHR found that there was no probable cause to believe that DOH engaged in an unlawful practice and dismissed the case.

N. S. v. County of Westchester, et al.

When Initiated: 2015
 Current Status: Case Settled for \$90,000.
 Dollars Saved: Difficult to determine.
 Description: Complainant, a former at-will employee at the Westchester Community College Foundation (“Foundation”), alleged in an EEOC complaint that she was discriminated against based upon her race. Complainant alleged that she was subjected to a hostile work environment and subsequently terminated because of her efforts to promote diversity at the Foundation and WCC. The County submitted a position statement in September 2015, and the matter was referred to mediation. Due to the inherent risks of litigation, and the potential liability for payment of the complainant’s legal fees, the matter was settled.

RECOVERY OF MEDICAID CLAIMS/LIENS:

New York Social Services Law enables the County’s Department of Social Services (“DSS”) to procedurally enforce its substantive right to pursue repayment from the responsible third parties by the placement of a lien for public and medical assistance on personal injury claims and suits against third parties to the extent of the expenditures made on the recipient’s behalf. The Social Services Law (“SSL”) also authorizes the placement of claims on estate assets for any assistance received by the decedent ten years prior to the date of death. DSS’ recovery is realized when the claim of the public assistance recipient is resolved and/or when the estate is settled by the executor or administrator.

In the Matter of J.M.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$82,000 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of F.E.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$13,000 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of L.S.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$1,375 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of E.Z.

When initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$3,000 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies.

In the Matter of I.E.

When Initiated: 2016
 Current Status: Resolved.

Dollars Saved: Recovery of \$2,311.07 in Medicaid expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies expended as a result of an injury in accordance with SSL §104-b.

In the Matter of S.S.

When Initiated: 2016
 Current Status: Resolved.
 Dollars Saved: Recovery of \$5,483.08 in Medicaid expenditures and \$1,832.50 in Temporary Assistance expenditures.
 Description: The County successfully asserted its rights to recover Medicaid monies expended as a result of an injury in accordance with SSL §104-b.

In the Matter of O.S.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$24,990.61 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of L.S.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$10,699.22 in Public Assistance expenditures.
 Description: The County successfully asserted its right and recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of M.Z.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$4,642.52 in Public Assistance expenditures.
 Description: The County successfully asserted its right and recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of S.M.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recover of \$5,000 in Medicaid expenditures and \$10,658.13 in Public Assistance expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for a hearing. The County also recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of C.W.

When Initiated: 2014
 Current status: Resolved.
 Dollars Saved: Recovery of \$8,105.49 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of L.G.

When Initiated: 2014
 Current status: Resolved.
 Dollars Saved: Recovery of \$1,472.64 in Medicaid expenditures and \$10,277.62 in Public Assistance expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient. The County also recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of P.F.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$15,000 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for a hearing.

In the Matter of A.M.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$69,572.21 in Public Assistance expenditures.
 Description: The County successfully asserted its right and recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of E.B.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$3,016.22 in Medicaid expenditures and \$1,398.06 in Public Assistance expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for a hearing. Further, the County was able to recover the Public Assistance provided to the recipient.

In the Matter of C.O.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$1,975.19 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for a hearing.

In the Matter of E.H.

When Initiated: 2016
 Current status: Resolved
 Dollars Saved: Recovery of \$19,988.83 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for a hearing.

In the Matter of S.B.

When Initiated: 2010
 Current status: Resolved.
 Dollars Saved: Recovery of \$76,745.53 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of C.C.

When Initiated: 2013
 Current status: Resolved.
 Dollars Saved: Recovery of \$5,000 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient, and negotiated a reduction without the need for a hearing.

In the Matter of A.G-H.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$940.40 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of F.C.

When Initiated: 2013
 Current status: Resolved.
 Dollars Saved: Recovery of \$479.75 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of A.G-H. (second individual with same first initial)

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$586.59 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of D.B.

When Initiated: 2013
 Current Status: Resolved
 Dollars Saved: Recovery of \$8,883.30 in Medicaid expenditures
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of G. H.

When Initiated: 2014
 Current Status: Resolved
 Dollars Saved: Recovery \$91,051.09 in Medicaid expenditures.
 Description: The County was the remainderman of the First Party Supplemental Needs Trust for the benefit of G.H. Upon the death of G.H., the trust terminated and the Department recovered the remainder of trust assets-\$91,051.09.

In the Matter of J.M.

When Initiated: 2004
 Current Status: Resolved.
 Dollars Saved: Recovery of \$1,350.58 in Medicaid expenditures
 Description: The Medicaid recipient received a recovery from a personal injury matter. The County recovered \$1,350.58 of the settlement proceeds representing compensation for medical expenses in accordance with New York Social Services Law.

Estate of P.P.

When Initiated: 2015
 Current Status: Resolved.
 Dollars Saved: Recovery of \$122,337.29 in Medicaid expenditures
 Description: This recovery is from the proceeds of the sale of the real property of a permanently institutionalized recipient.

In the Matter of M.R.

When Initiated: 2013
 Current Status: Resolved.
 Dollars Saved: Recovery of \$7,617.44 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of D. S.

When Initiated: 2006
 Current Status: Resolved.
 Dollars Saved: Recovery of \$62,000. in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

Estate of J.W.

When Initiated: 2008
 Current Status: Resolved.
 Dollars Saved: Recovery of \$142,599.78 in Medicaid expenditures.
 Description: The estate of the decedent received a recovery from a personal injury matter. The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of A.W.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$170.84 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of E.M.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$1,921.12 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of N.H.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$68,125 in Medicaid expenditures and \$1,657.24 in Public Assistance expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient. The County also recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of J.A.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$5,732.56 in Public Assistance expenditures.
 Description: The County successfully asserted its rights, and recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of L.M.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$2,000 in Medicaid expenditures and \$3,672.61 in Public Assistance expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient. The County also recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of J.C.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$474.89 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of N.M.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$3,259.64 in Public Assistance expenditures.
 Description: The County successfully asserted its rights, and recovered Public Assistance rendered to the recipient.

In the Matter of C.B.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$2,250 in Public Assistance expenditures.
 Description: The County successfully asserted its rights, and recovered the full amount of Public Assistance rendered to the recipient.

In the Matter of M.T.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$10,392.05 in Public Assistance expenditures.
 Description: The County successfully asserted its rights, and recovered Public Assistance rendered to the recipient.

In the Matter of E.M.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$4,166.66 in Public Assistance expenditures.
 Description: The County successfully asserted its rights, and recovered Public Assistance rendered to the recipient.

In the Matter of B.C.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$730.24 in Medicaid expenditures.
 Description: The County successfully asserted its right pursuant to SSL §104-b to recover Medicaid expenditures made as a result of a personal injury to the recipient.

In the Matter of R.C.

When Initiated: 2016
 Current status: Resolved.
 Dollars Saved: Recovery of \$50,000 in Medicaid expenditures.
 Description: The County successfully asserted its rights pursuant to SSL §104-b and §369 to recover Medicaid expenditures made as a result of a personal injury to the recipient, and from the recipient's estate funds.

In the Matter of I.A.C.

When Initiated: 2015
 Current status: Resolved.
 Dollars Saved: Recovery of \$94,739.59 in child support judgments due to the County.
 Description: During a court-ordered search for liens under SSL §104-b and §369 in connection with a personal injury matter, judgments against the decedent payable to the County for child support were discovered, and the County recovered the funds.

In the Matter of N.S-G.

When Initiated: 2001
 Current status: Resolved.
 Dollars Saved: Recovery of \$1,831,261.26 in Medicaid expenditures.
 Description: The County successfully fought to have the commuted value of the remainder of an annuity transferred into a supplemental needs trust (“SNT”), and then collected all Medicaid expended during the lifetime of the recipient from the SNT at its dissolution.

ONGOING

Various Boards

Provide legal advice to the Westchester County Ethics Board, Plumbing Board of Examiners, and Electrical Licensing Board, including attendance at Board meetings.

Collection matters

Provide legal advice to the departments of Health, Solid Waste Commission, and Consumer Protection in connection with the collection of fines imposed.

Freedom of Information Law (FOIL)

Provide legal advice to all County departments, boards, and commissions regarding FOIL requests.

Personnel and policy issues

Provide legal advice to all County commissioners/department heads, boards, and commissions on personnel and policy issues.

Public Improvement Liens

Provide legal advice to the Departments of Public Works and Transportation and Finance in connection with liens filed on public improvement projects.

Public Safety Civil Unit

Provide legal advice to the Department of Public Safety’s Civil Unit in connection with eviction warrants, arrest warrants, orders of attachment and seizures and property executions.

Supplemental Needs Trusts

Review special needs trusts submitted to the County Department of Social Services to ensure compliance with New York Social Services Law and Medicaid.

Wage Garnishments/Court and Trust Funds

Provide legal advice to the Department of Finance and College in connection with wage garnishments of County employees and the release of Court and Trust funds.

Family Court Bureau

Name of Matter: Westchester County Child Fatality Review Team (CFRT)

When Initiated: January 1, 2006

Current Status: August 2013, successfully applied for an additional \$52,066 to extend the term of the CFRT Coordinator. Coordinator hired in April 2011. Since June of 2013, the Westchester CFRT has been the sole official Cribs for Kids partner in Westchester County. Each contract year, the Westchester CFRT Cribs for Kids Program has provided between 40-85 pack and plays to health care and social service professionals who in turn provide the pack and plays to the families/caregivers of infants who otherwise would be without a safe sleeping environment. The Westchester CFRT's Cribs for Kids program requires that these professionals provide in-home education and follow-up for the families/caregivers of the infant in need. Education includes training in the safe use and set up of the pack and plays itself and in-home education related to safe-sleep practices, including checking for compliance. In addition to the pack and plays, the program provides fitted crib sheets imprinted with safe-sleep messaging in Spanish and English; written materials in Spanish and English; and board books with safe sleep messaging. In addition, the team's efforts resulted in a County wide safe sleep campaign.

Dollars Saved: Grant will relieve current staff of coordination responsibilities. Grant supports public information regarding child deaths and prevention of future child deaths. **Description:** The mission of the CFRT is to improve the safety and well-being of children by changing systems that are failing to protect children. A secondary mission is to educate the public about how children die. This is accomplished through a collaborative effort by government and community based agencies. The emphasis of the CFRT is a team approach to review child fatalities, review processes and history of the family with WCDSS, and to implement practices aimed at preventing future deaths.

Name of Matter: Westchester County Multi-Disciplinary Team (MDT)/CAC

When Initiated: May 2004

Current Status: Active. Established an OCFS accredited MDT in early 2009. Received CAC accreditation from the National Children's Alliance and NYS OCFS in late 2009. Forensic interview training received during 2012.

Dollars Saved: The Westchester County MDT seeks to reduce trauma to child victims of abuse by ensuring that allegations of child sexual and/or physical abuse are investigated in a collaborative fashion. Collaborative sex abuse investigations eliminates duplication of efforts and ensures successful Family Court prosecution thereby decreasing the amount of monies expended by Westchester County for investigation and prosecution of child sex abuse matters.

Description: The Westchester County MDT members include the District Attorney's office, the County Attorney's office, Victim's Assistance Services, local police jurisdictions and DSS. It also includes the Children's Advocacy Center, and works collaboratively with the CAC to ensure timely case reviews, case tracking and joint investigations.

Name of Matter: Training Initiative –Westchester County Department of Probation

When Initiated: January 2008

Current Status: Ongoing

Description: In an effort to have juvenile matters identified properly and treated appropriately the Family Court bureau attorneys continue to work with and train probation officers as a need is identified, and remain in continuous contact the probation department.

Name of Matter: Training Initiative – Local Police Departments in Westchester County

When Initiated: January 2007

Current Status: Ongoing

Description: In an effort to have juvenile matters identified properly and treated appropriately the Family Court bureau attorneys continue to train local police departments as a need is identified, and remain in continuous contact with the youth police officers in the larger police jurisdictions.

Name of Matter: Westchester County Attorney advising DSS in District Offices

When Initiated: March 2007

Current Status: Active

Dollars Saved: Not Applicable

Description: The CAO currently provides legal services at the DSS District Offices. These services include staffing at the DO's, and lunch and learn trainings as well as monthly meetings with senior DSS staff to review ongoing concerns.

Name of Matter: Westchester County Attorney collaboration with Project Impact (Integrated Municipal Police Anti-Crime Teams)

When Initiated: March 2010

Current Status: Active

Dollars Saved: Not Applicable

Description: IMPACT is a collaboration of partners that include the Westchester County District Attorney's Office, Westchester County Attorney's Office, NYS Department of Corrections & Community Supervision (Parole), Westchester County Probation, NYS Liquor Authority, Westchester County Police, NYS Police, New Rochelle Police, Greenburgh Police Department, White Plains Police Department and the US Attorney's Office. The partnership allows for coordination and information sharing between local, state and federal law enforcement agencies in their unified effort to address illegal guns, gangs, drug crimes, and violent youth offenses.

Name of Matter: Paperless Project

When Initiated: May 2012

Current Status: Active

Dollars Saved: This initiative will save money and time by reducing expense created by copying and storage of unnecessary documents.

Description: The Family Court initiates hundreds of cases each year and these proceedings generate thousands of pages that must be copied and stored. Many of these documents are needed by the attorneys and DSS throughout the proceedings. Commencing in May, 2012 the Family Court Bureau has partnered with IT to facilitate scanning and ensuring that all documents are scanned and that they are accessible to the staff at their work stations.

Name of Matter: Training Initiative – The County Attorney Association of New York, the New York Association of Counties

When Initiated: January 2015

Current Status: Ongoing

Dollars Saved: Not Applicable

Description: In an effort to have juvenile delinquency matters prosecuted and treated appropriately the

Family Court bureau attorneys have collaborated with Albany County Attorney's Office, The County Attorney Association of New York, the New York Association of Counties, and the Albany County Bar Association to provide training for county attorneys and local police departments.